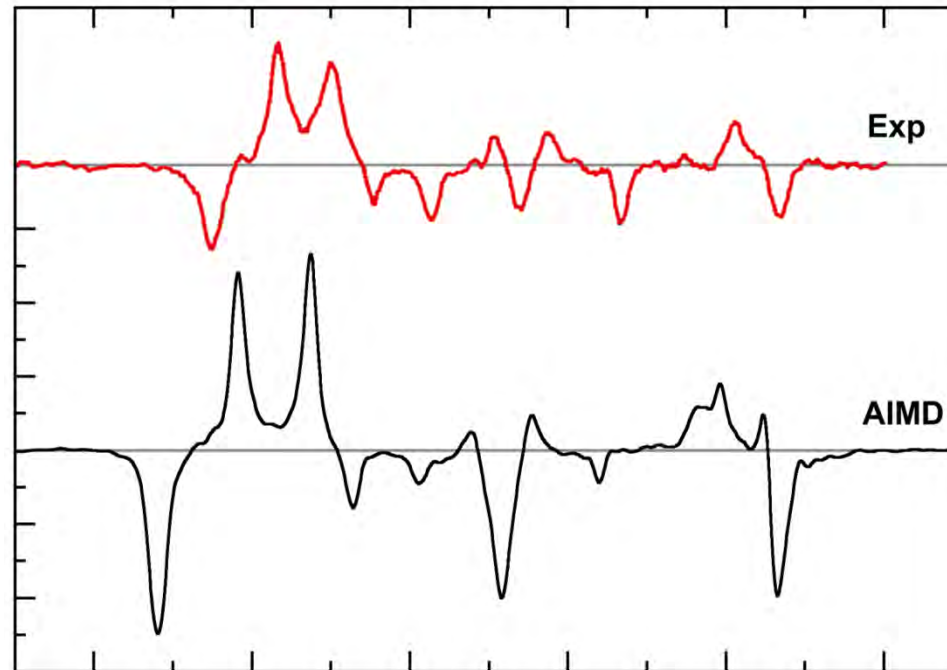


# Predicting Vibrational Spectra of Periodic Bulk Phase Systems



**Martin Brehm**

**Martin-Luther-Universität Halle–Wittenberg**

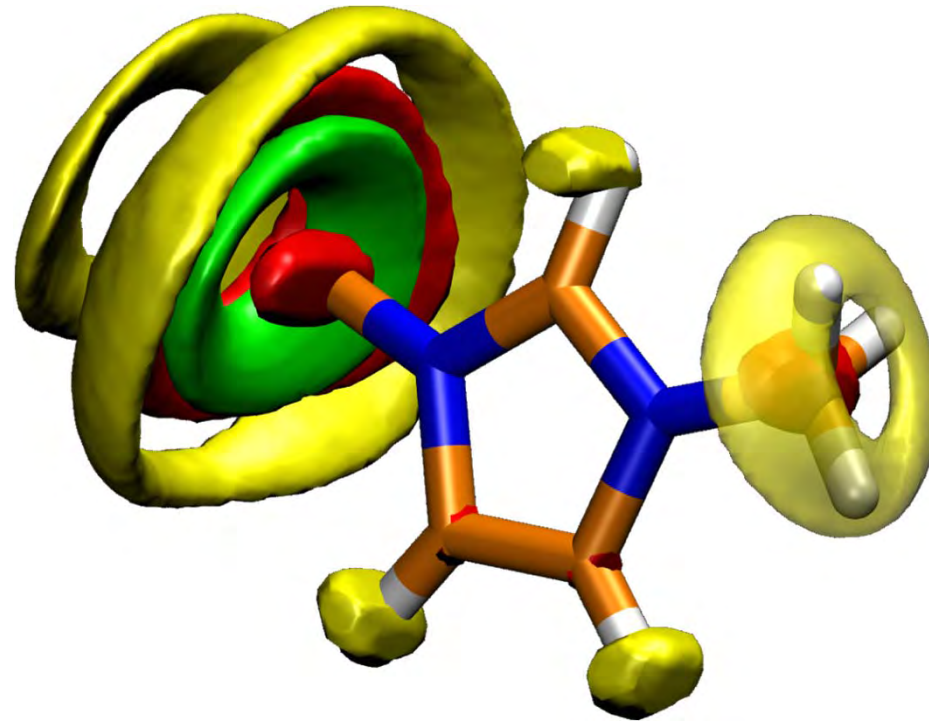
**Martin\_Brehm@gmx.de**

# **Outline**

- 1.) Short Introduction to TRAVIS**
- 2.) Computing Vibrational Spectra**
- 3.) Compression of Volumetric Data**
- 4.) Practical Workflow for Spectra**
- 5.) What we will do in the Exercise**

# TRAVIS

A free Analyzer and Visualizer  
for MC and MD Trajectories



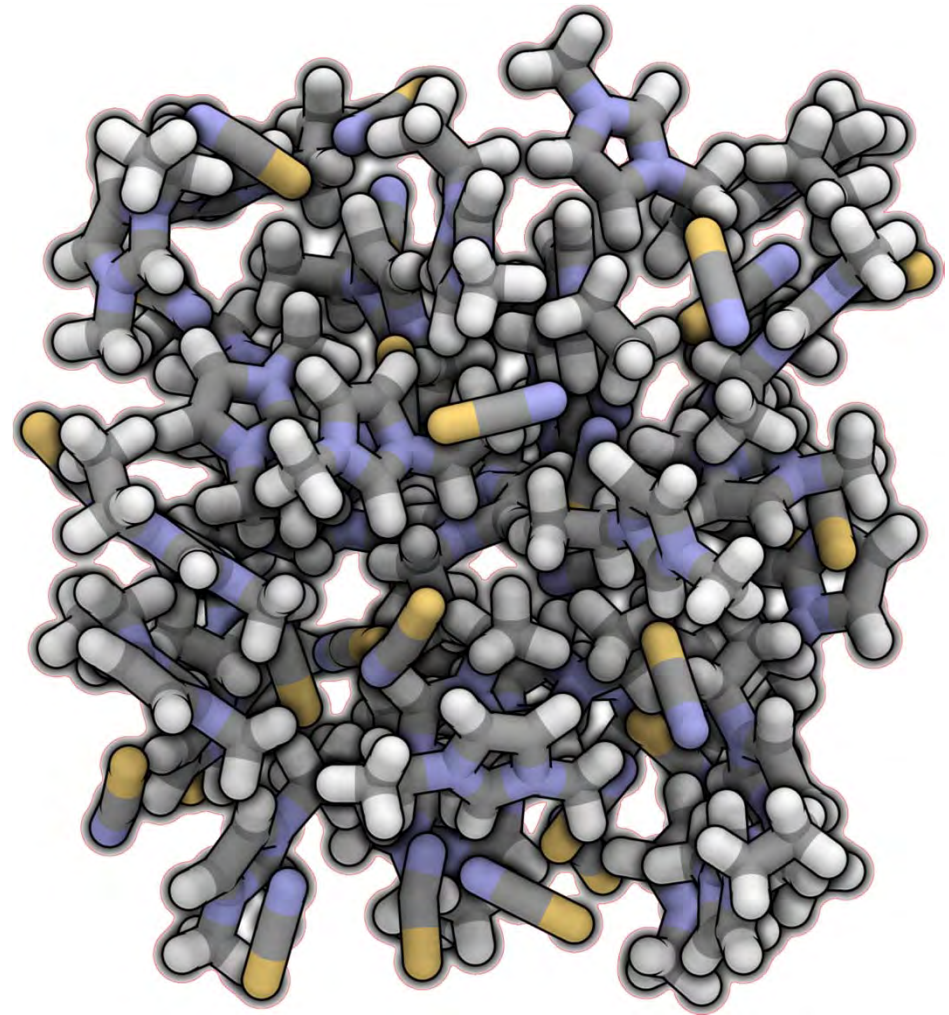
<http://www.travis-analyzer.de>

# Analyzing Trajectories

- Direct result of all MD/MC simulations is a trajectory
- Contains positions and velocities of all atoms at each time

→ is a path through  
 $6N$ -dimensional space

*„Nice to look at, but cannot  
be evaluated directly.“*



Mappings for the reduction of dimensionality are required.

# Introducing TRAVIS

- Program package for doing these analyses
- Open-source freeware; licensed under GNU GPL 3
- $\approx$  220 000 lines of C++ code

```
#####/
## |#####|#####|#####|#####|#####|
## |#####|#####|#####|#####|#####|
## |#####|#####|#####|#####|#####|
## |#####|#####|#####|#####|#####|
## |#####|#####|#####|#####|#####|
#####/

Trajectory Analyzer and VISualizer
(C) Martin Brehm, Kirchner Group, University of Leipzig, 2009-2011.
Open-source freeware; Licensed under the GNU General Public License v3
http://www.uni-leipzig.de/~travis

Please cite:
M. Brehm and B. Kirchner, J. Chem. Inf. Model., 2011, 51 (8), pp 2007-2023.

There is absolutely no warranty on any results obtained from TRAVIS.

# Source code from Sep 01 2011.
# Compiled at Sep 1 2011 15:57:35.
# Compiler Version: 4.1.2 20070115 (SUSE Linux)
# Target Platform: Linux
# Compile flags: DEBUG ARRAYS
# Building on master Wed Sep 7 21:08:00 2011.
```

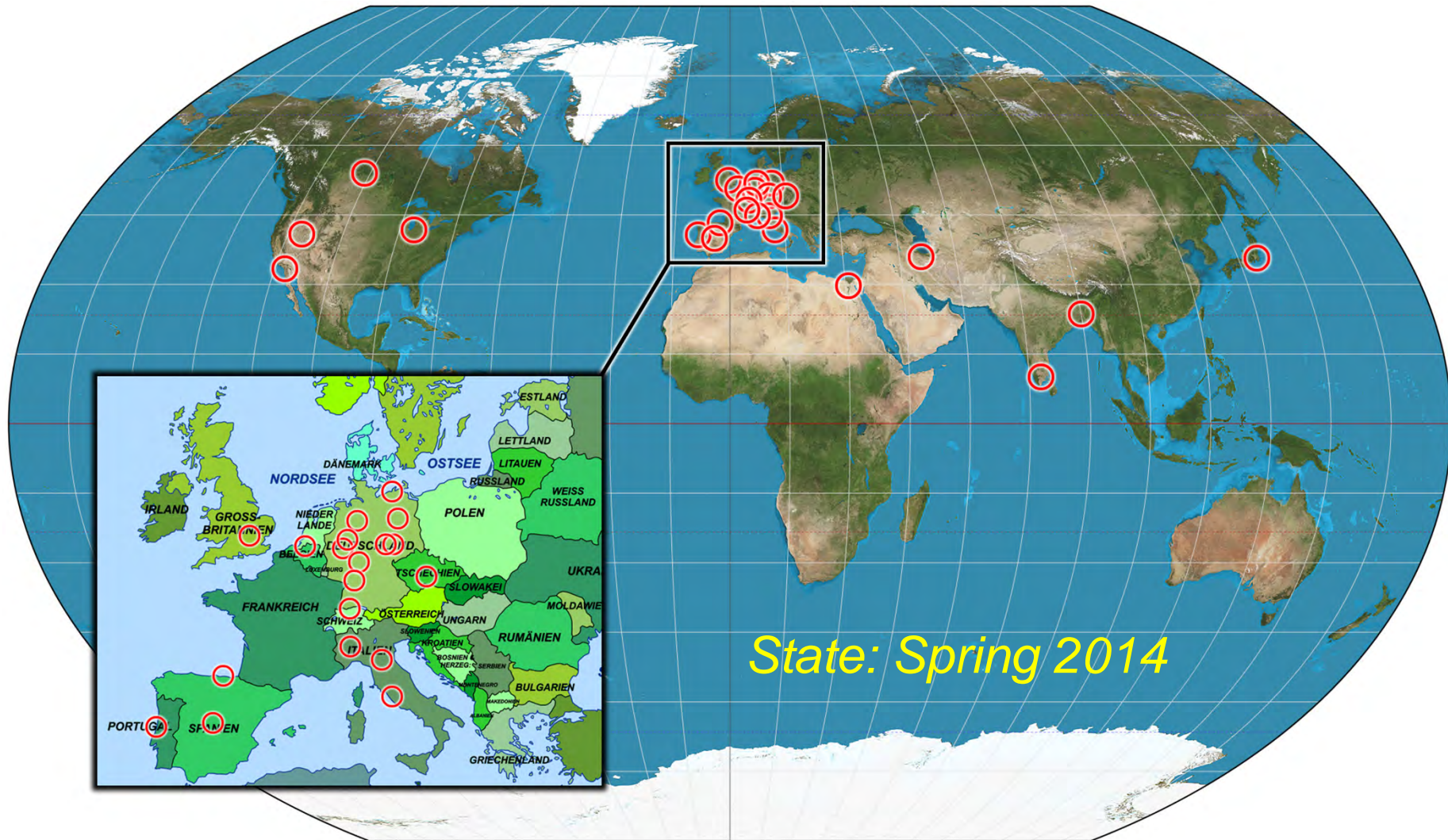
- Platform independent (Windows / Linux / Mac)
- Published in 2011, cited more than 230 times since then:

Martin Brehm and Barbara Kirchner: „TRAVIS - A Free Analyzer and Visualizer for Monte Carlo and Molecular Dynamics Trajectories“  
*J. Chem. Inf. Model.* **2011**, 51 (8), pp 2007–2023 .

<http://www.travis-analyzer.de>

# Introducing TRAVIS

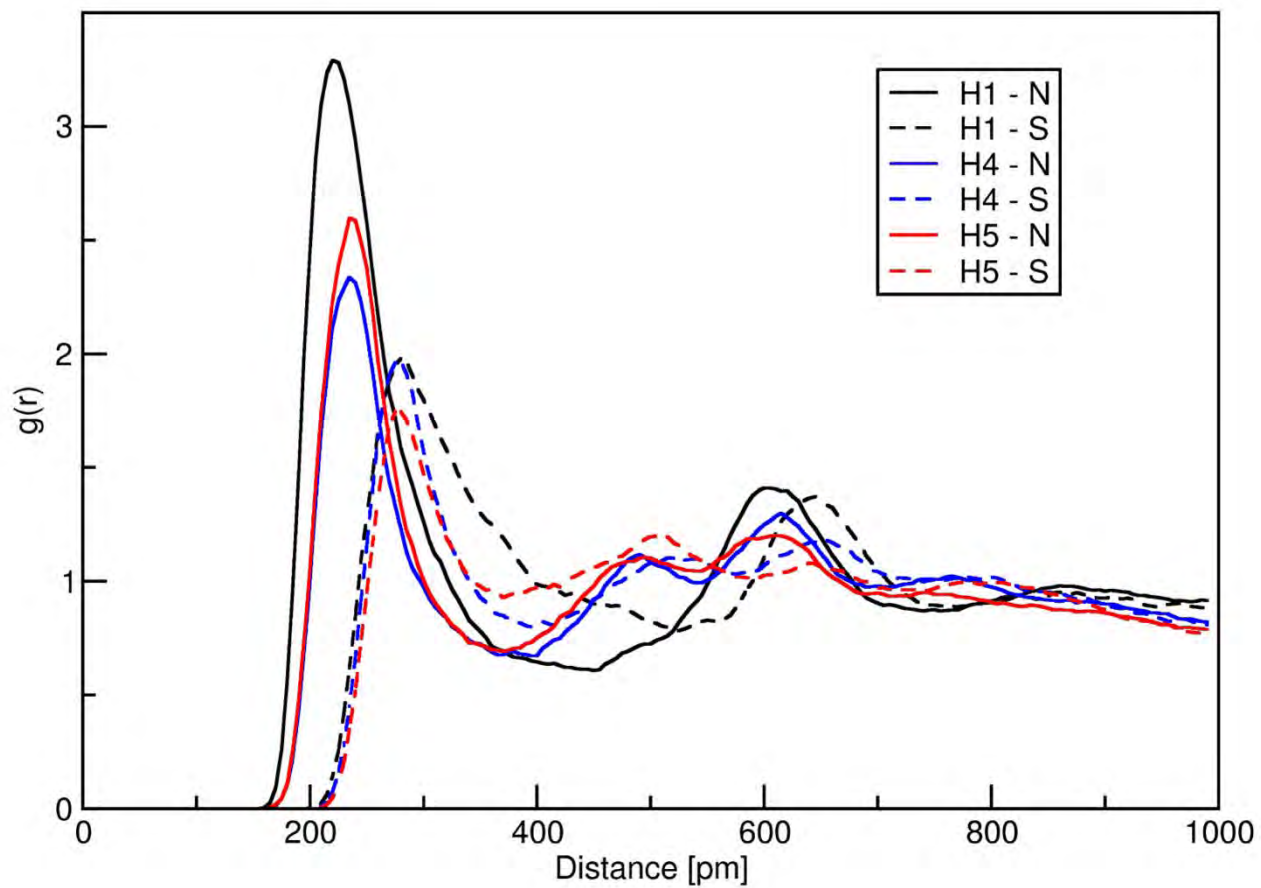
Several dozen working groups around the world use TRAVIS  
*(I only know of the groups which had problems 😊)*



# General Features

- Interactive text mode user interface (asks questions), but also scripting support
- Reads many popular trajectory file formats (xyz, pdb, mol2, AMBER, LAMMPS, DLPOLY)
- No limits on system size (works well with  $> 10^5$  atoms)
- Support for periodic boundaries and changing cell vector (*e.g.*, from NPT simulations)
- Automatic molecule recognition (recognizes also molecules that are broken by wrapping)
- Atom labels based on purely topological algorithm

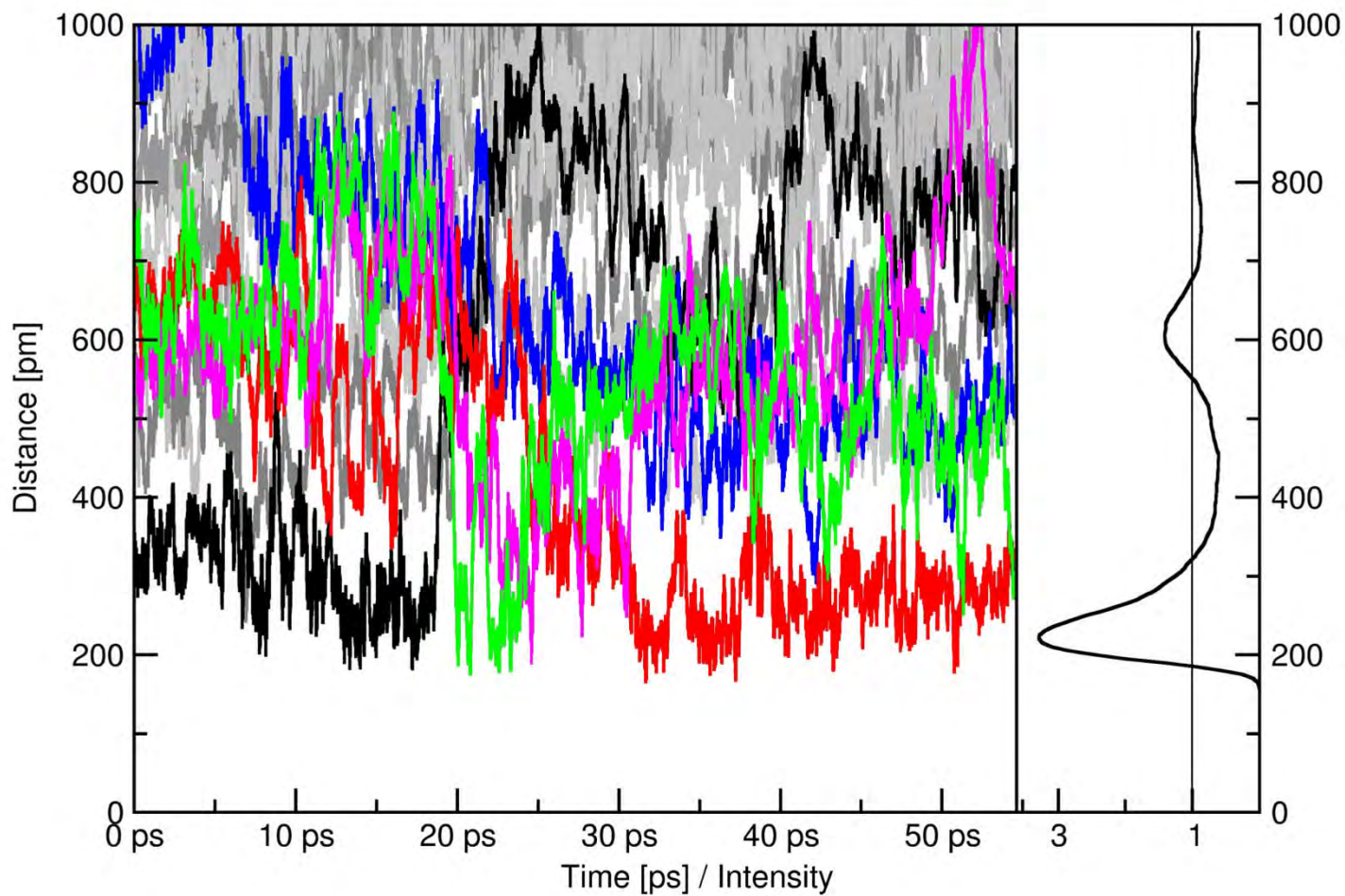
# Structural Analyses



Radial Pair Distribution Functions

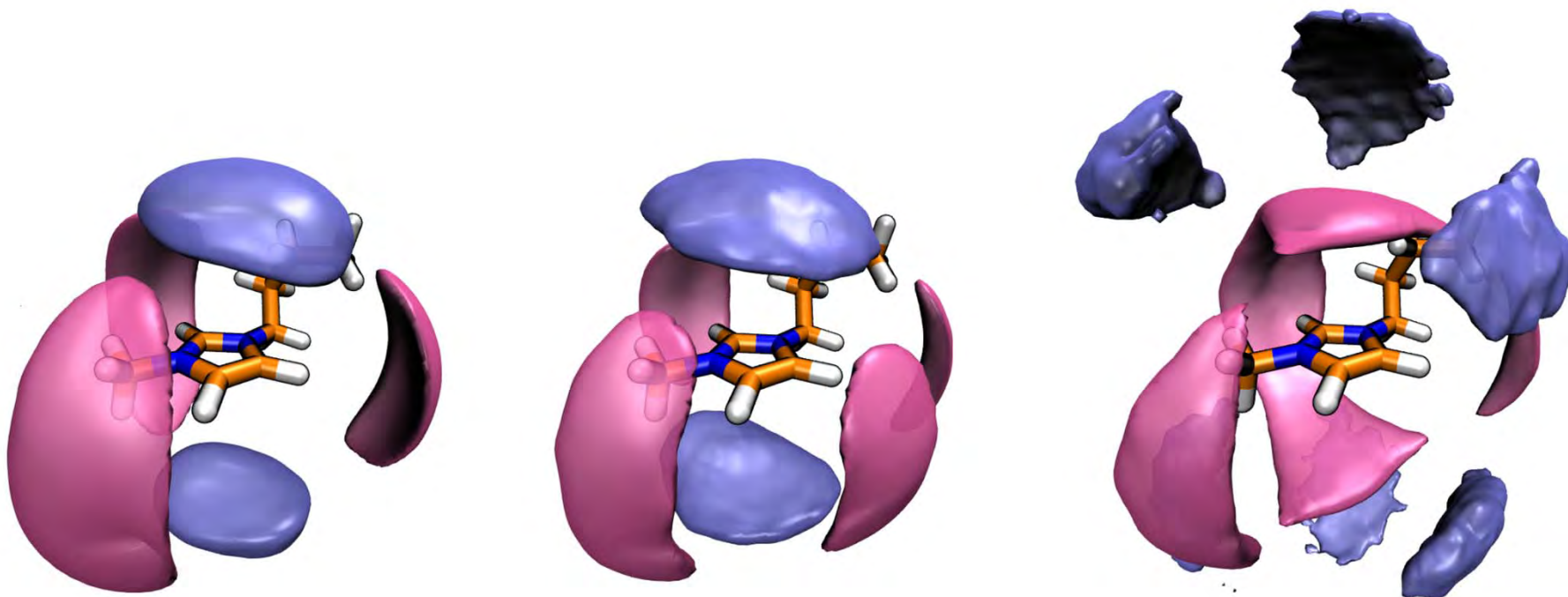


# Structural Analyses



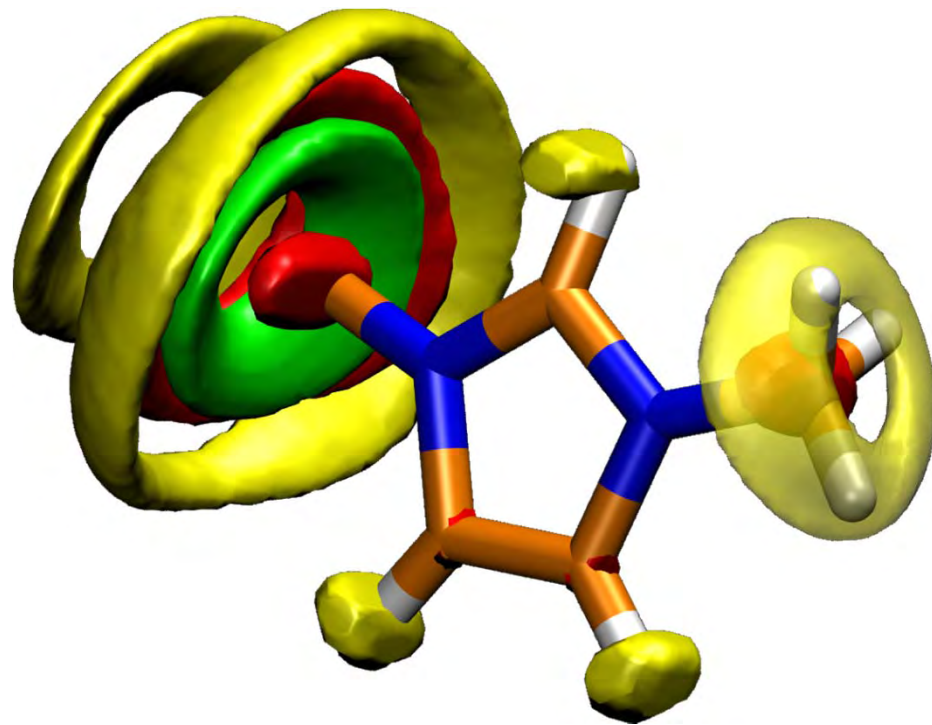
Temporal Distance Development and distribution

# Structural Analyses



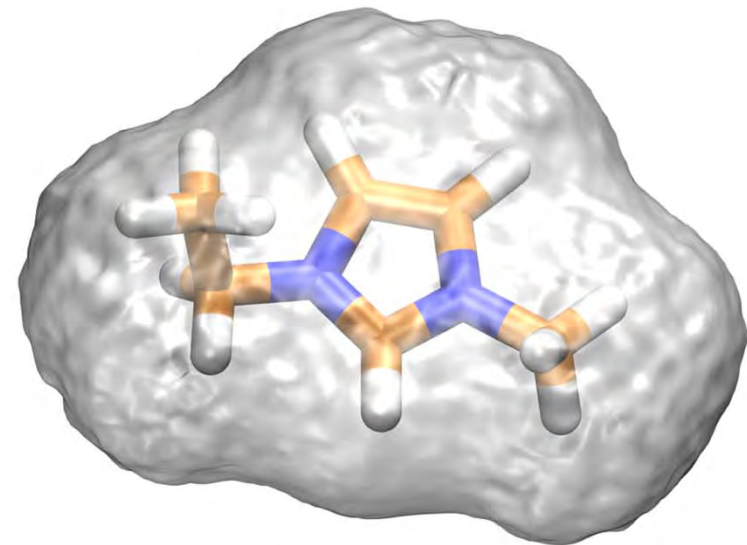
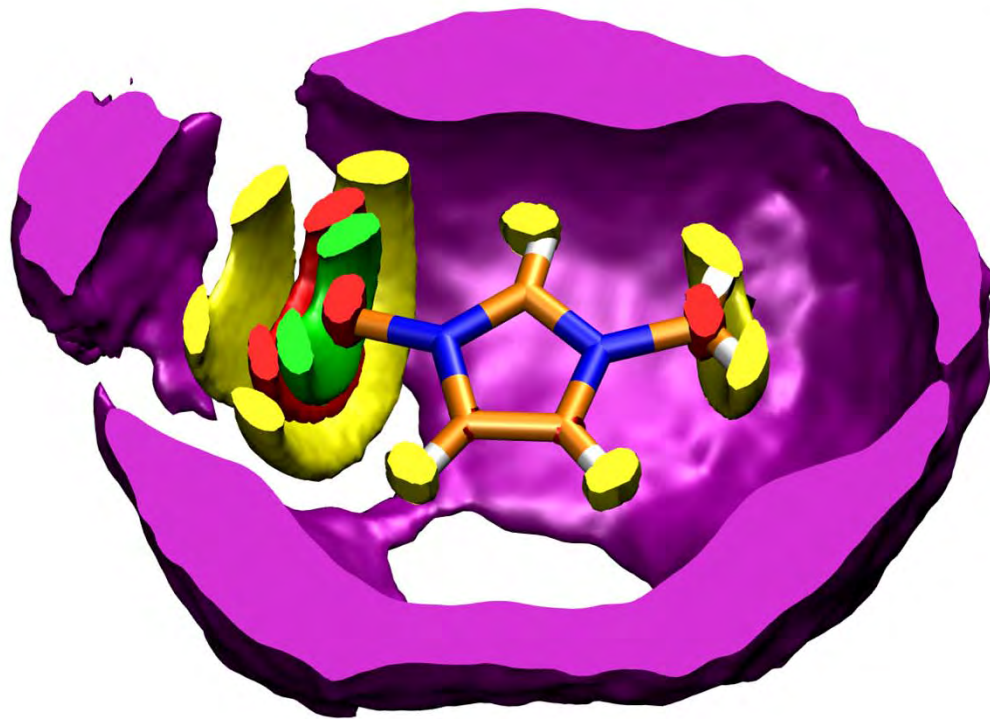
Spatial Distribution Functions

# Structural Analyses



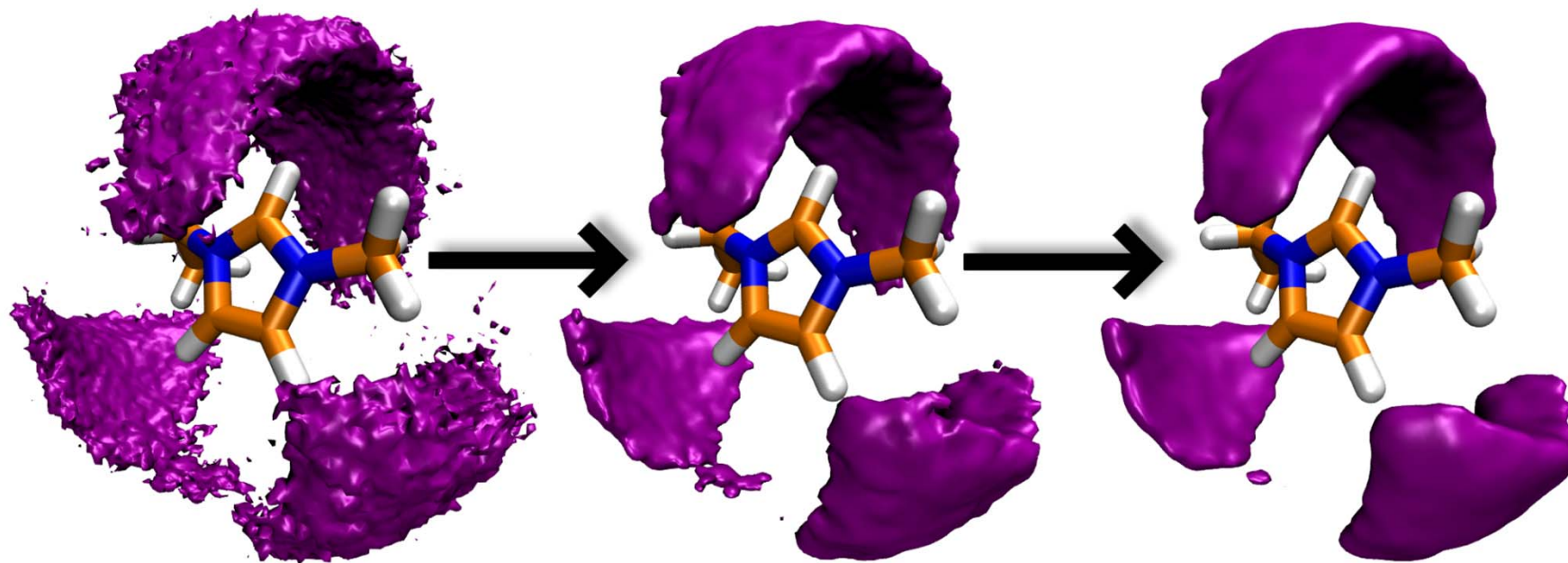
Spatial Distribution Functions

# Structural Analyses



Spatial Distribution Functions

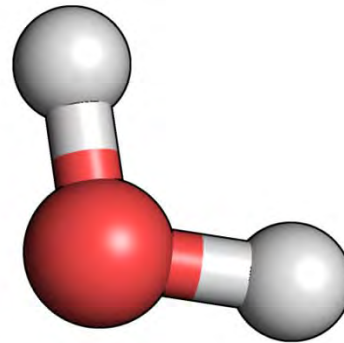
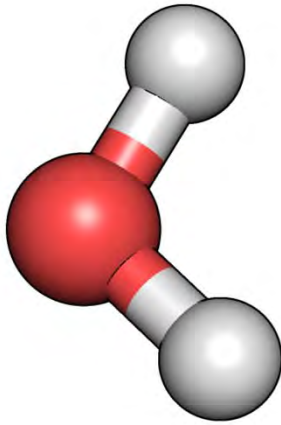
# Structural Analyses



Smoothing of Spatial Distribution Functions

# Combined Distribution Functions

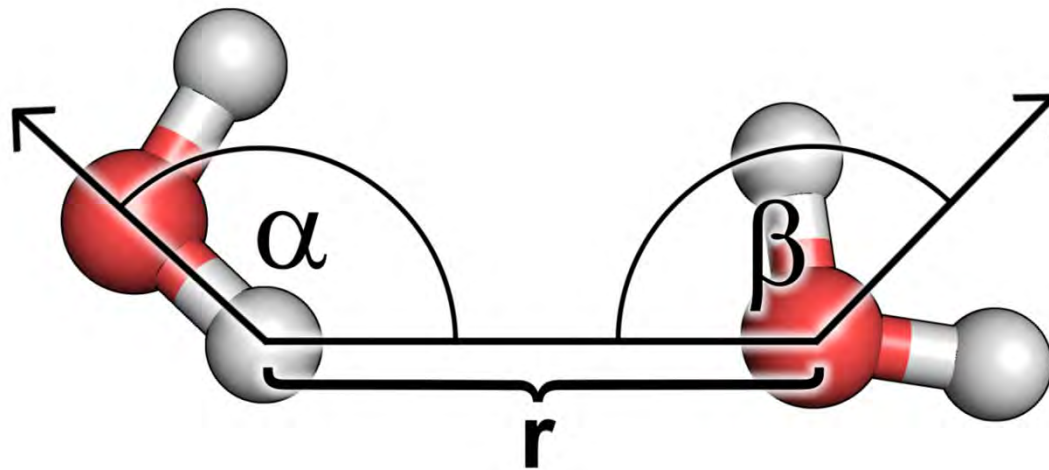
- One example for a new feature that did not appear in literature before
- Consider these 2 water molecules



- Define a distance and two angles

# Combined Distribution Functions

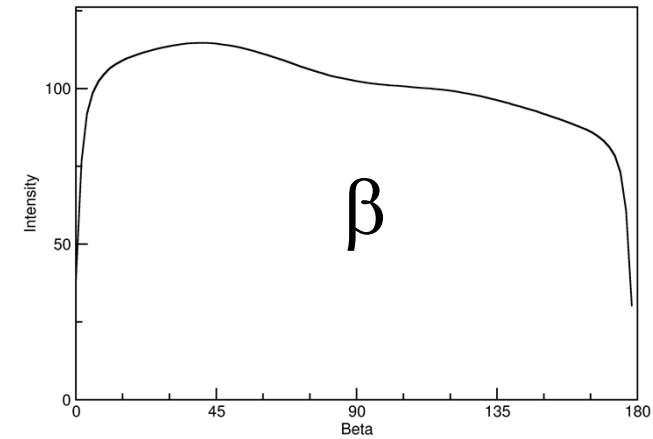
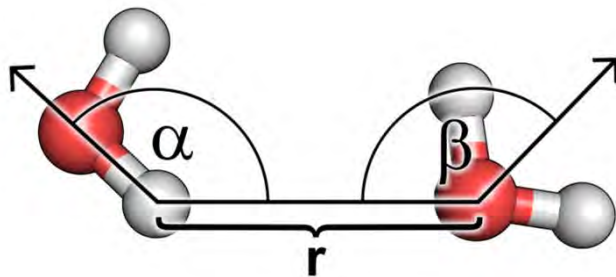
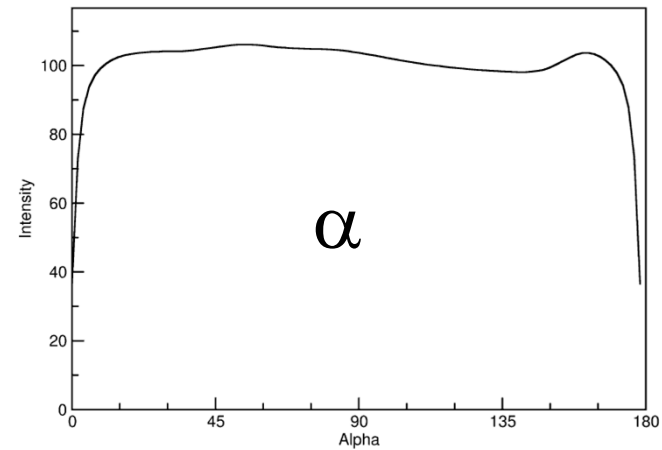
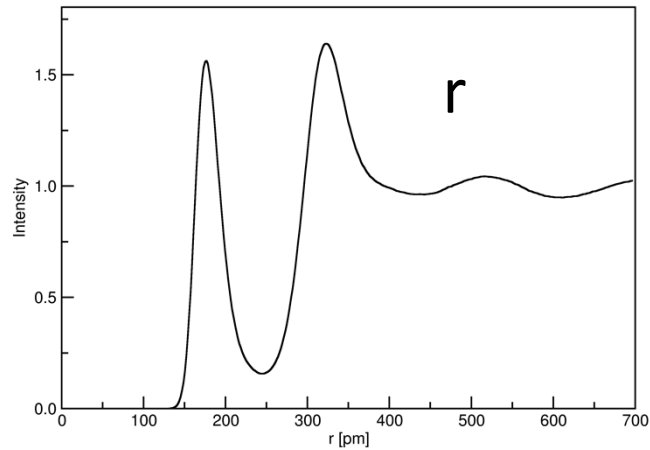
- One example for a new feature that did not appear in literature before
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- Define a distance and two angles

# Combined Distribution Functions

- Plot distribution functions for these 3 quantities

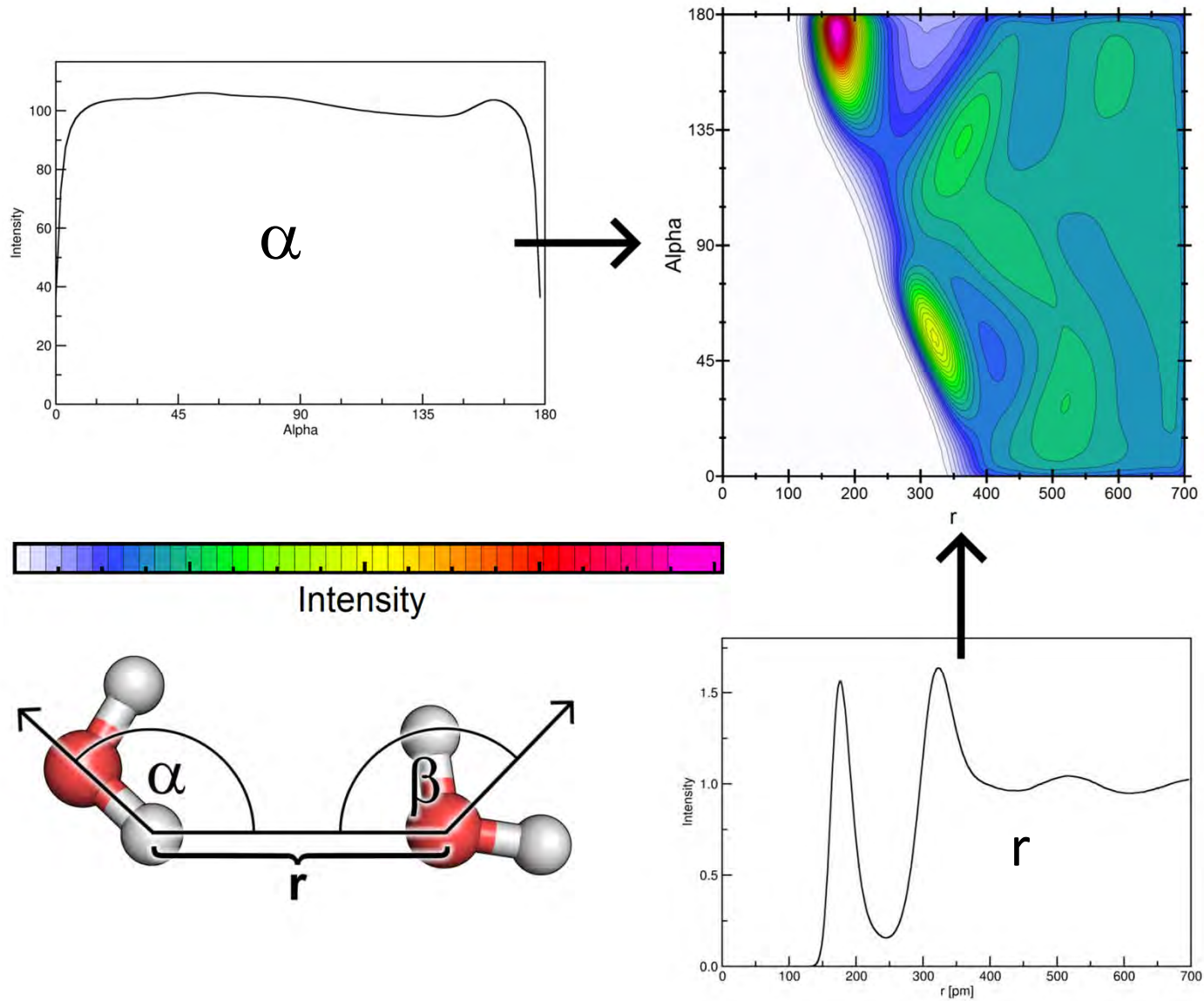




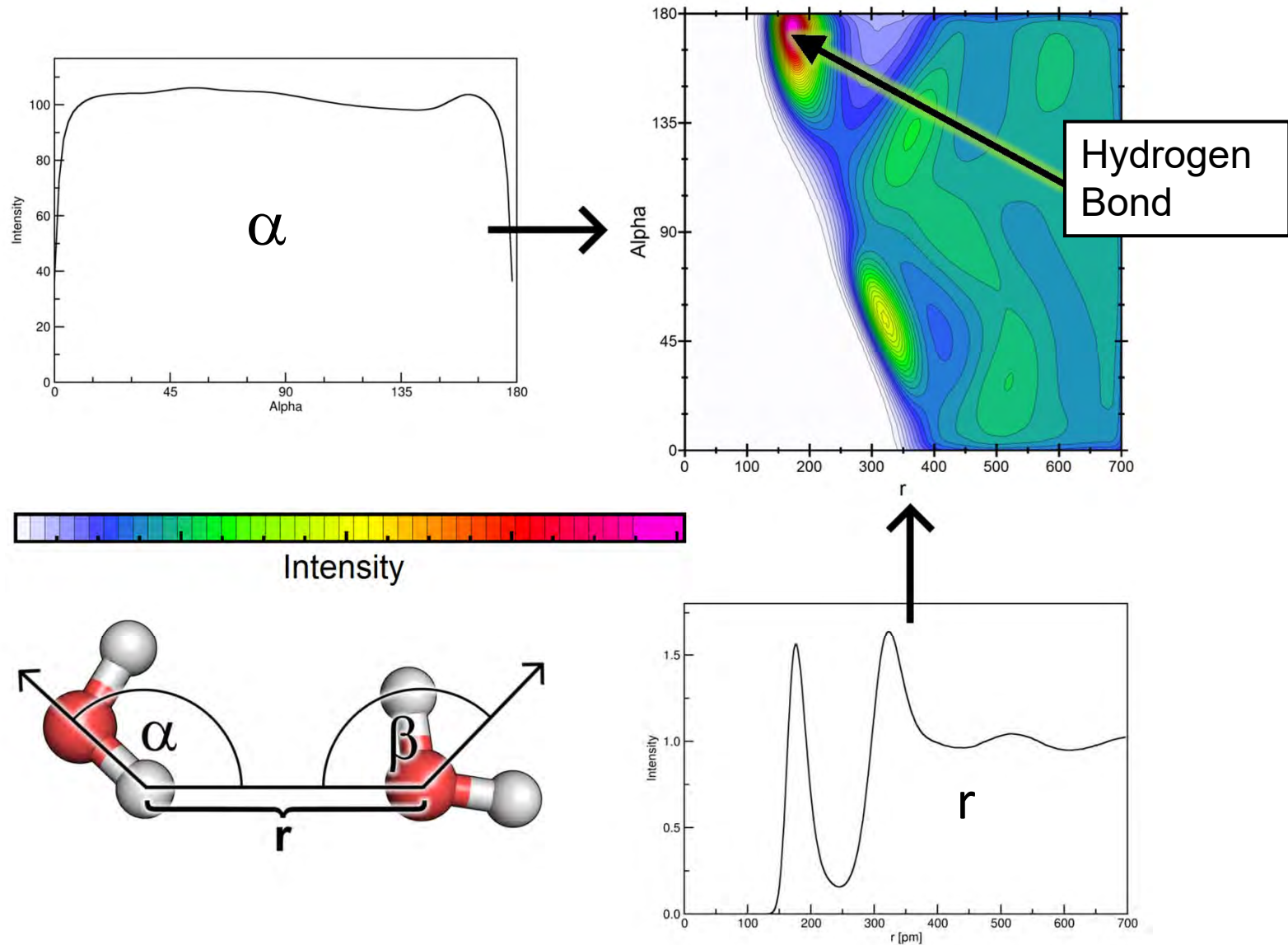
# Combined Distribution Functions

- So far nothing new
- Dependence of these quantities on each other is left out (but very important)
- Idea: Combine certain scalar quantities to yield  
Combined Distribution Functions (CDFs)

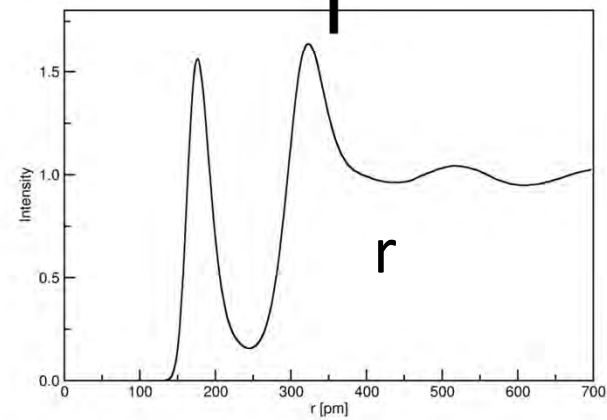
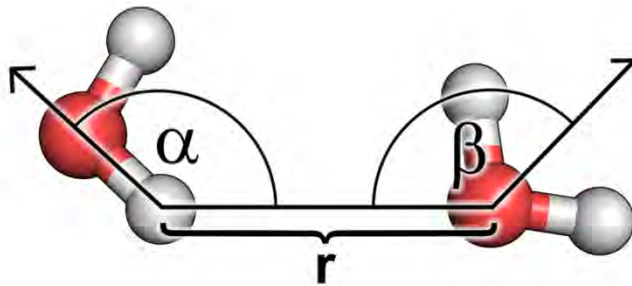
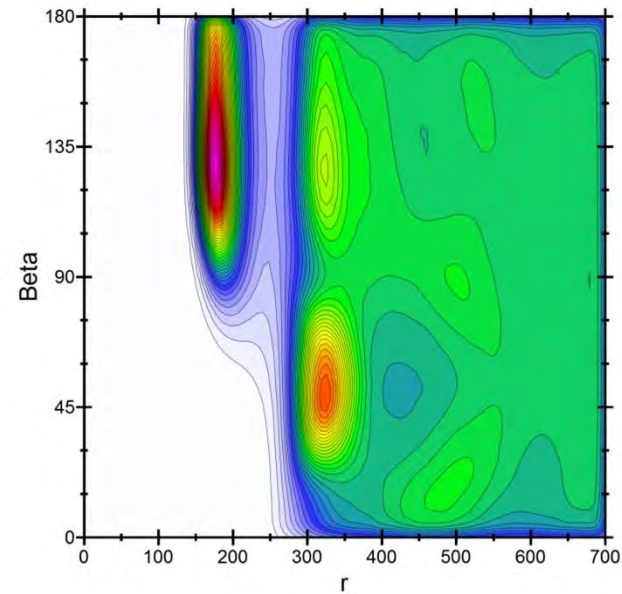
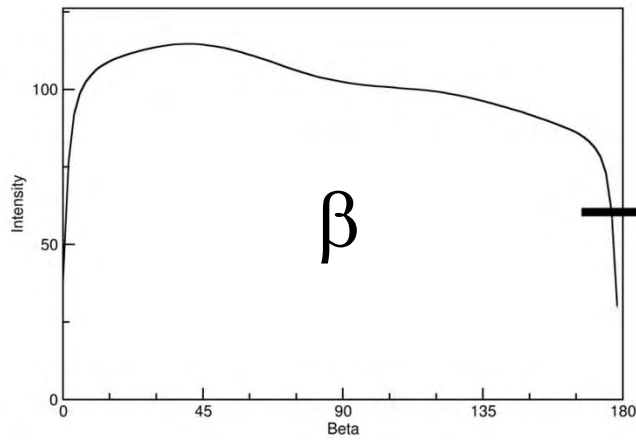
# Combined Distribution Functions



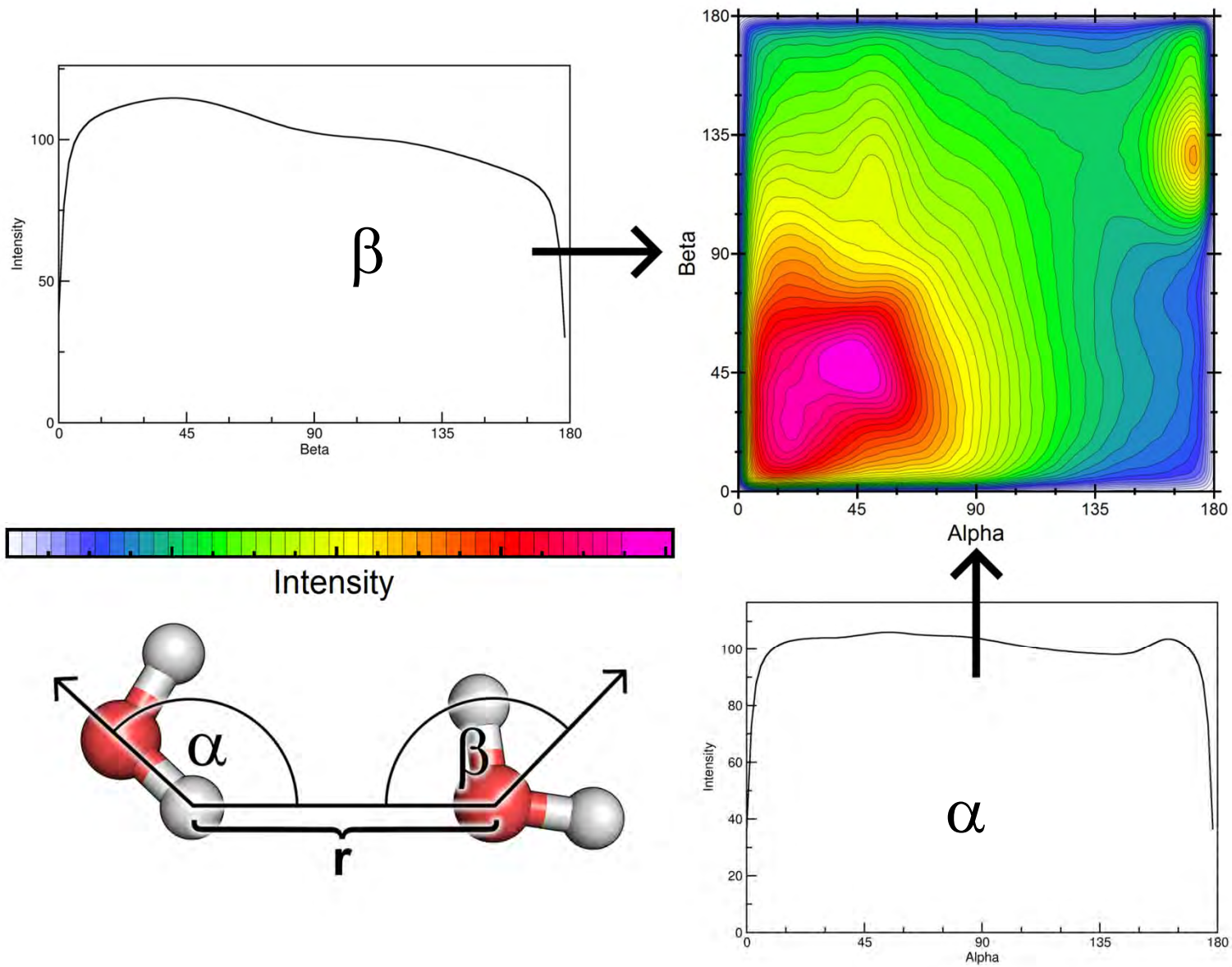
# Combined Distribution Functions



# Combined Distribution Functions



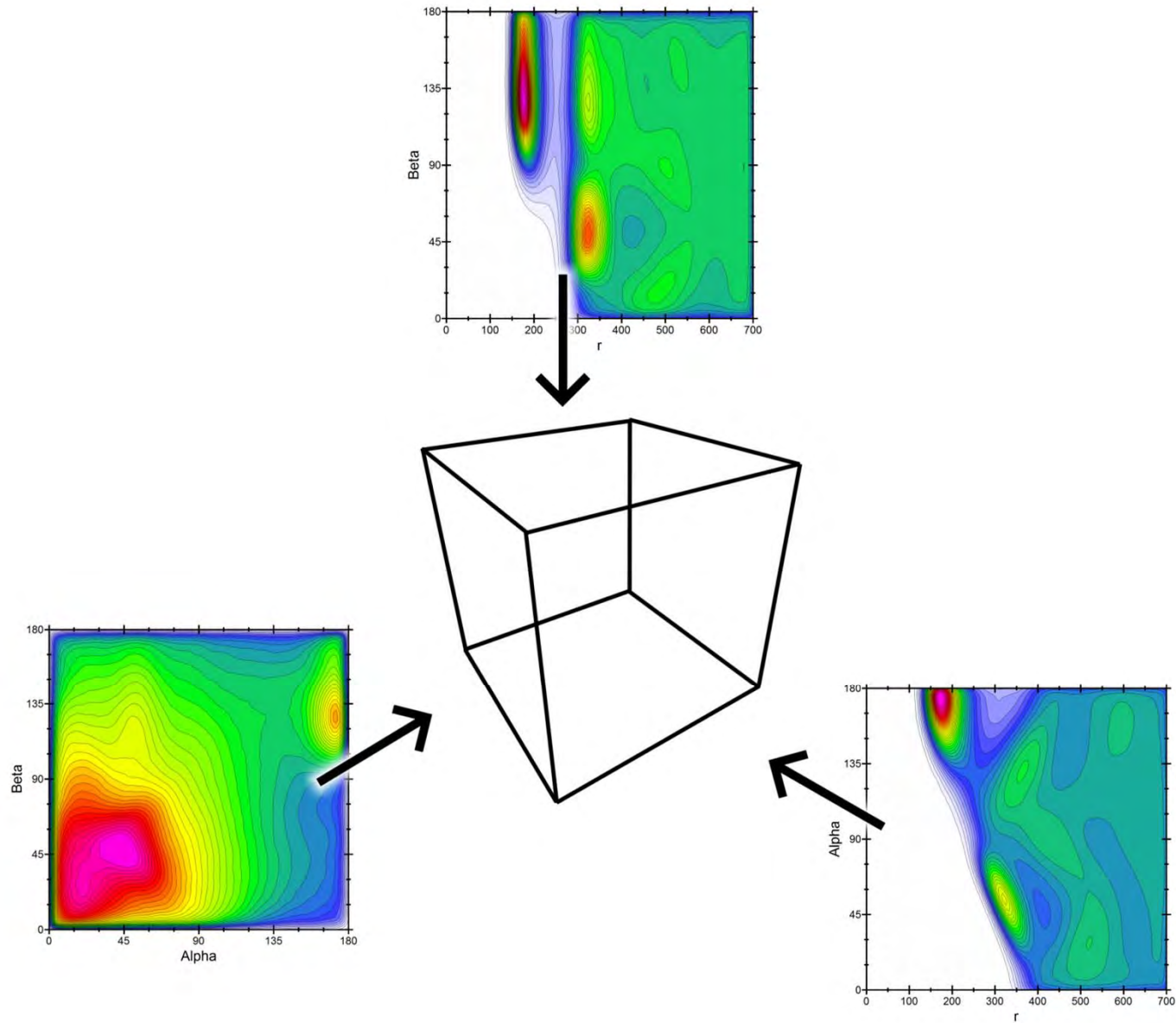
# Combined Distribution Functions



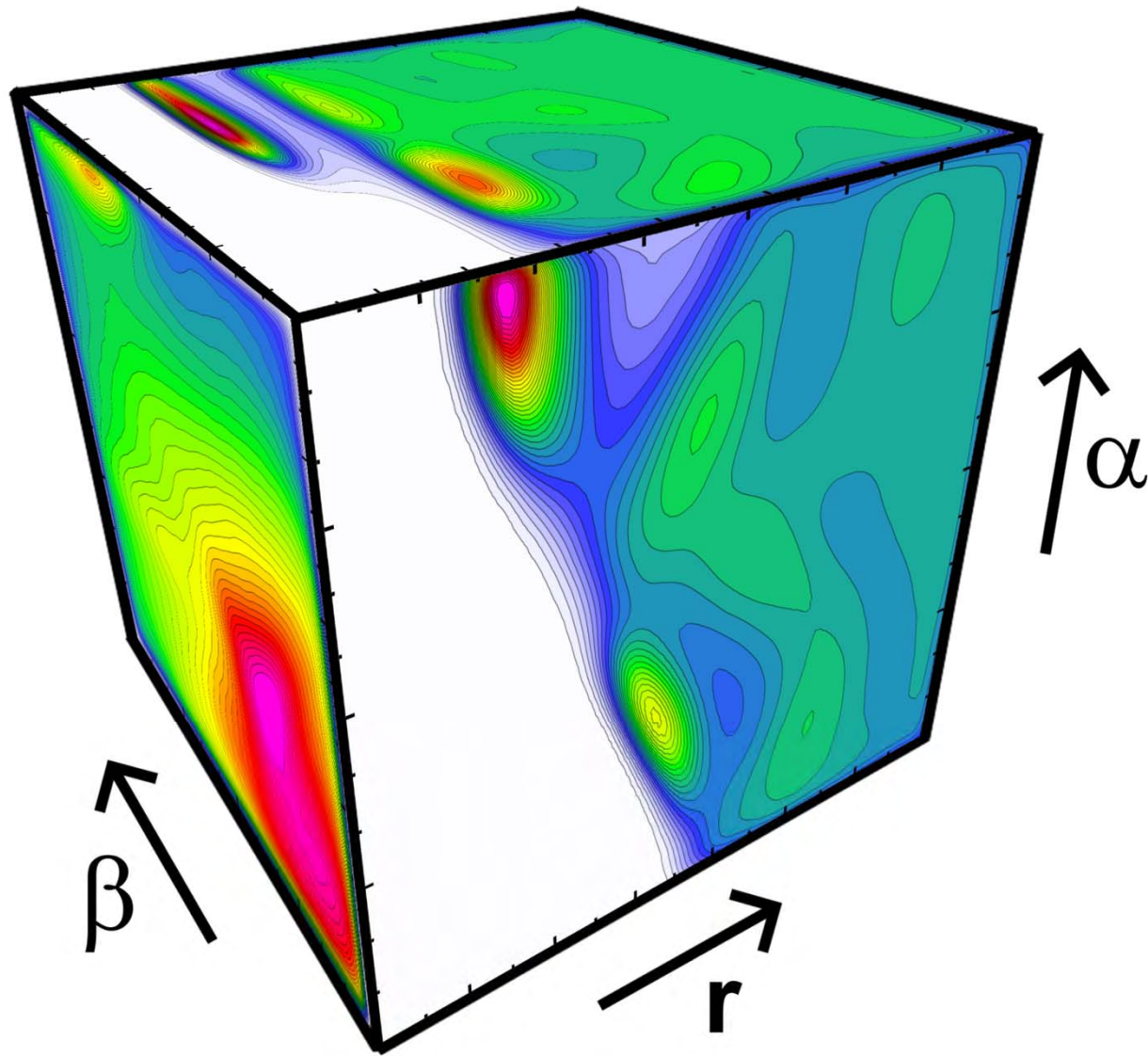
# Combined Distribution Functions

- Now we have a 2D distribution
- Much more information can be read out
- What about higher-dimensional histograms? 😊

# Combined Distribution Functions

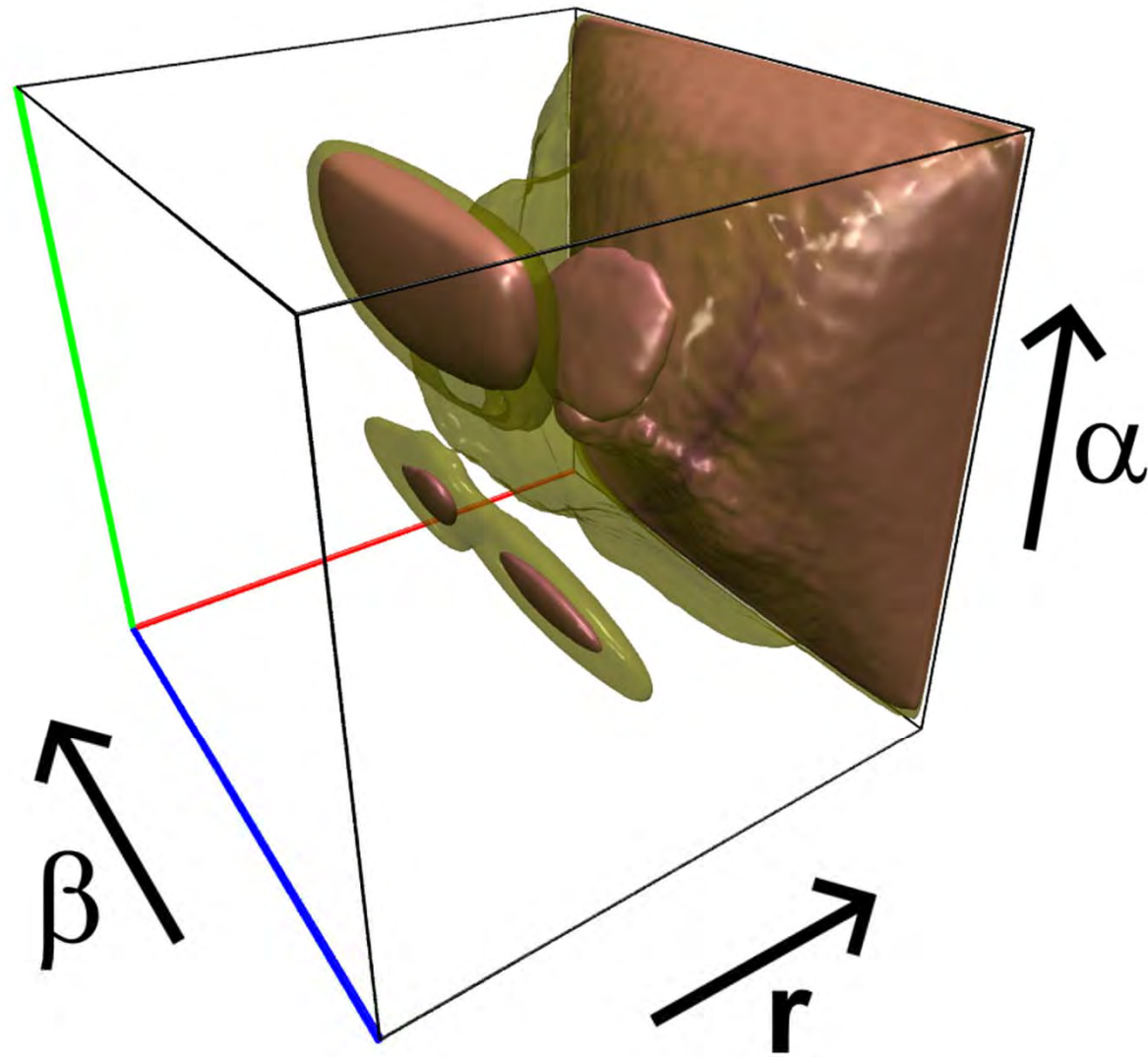


# Combined Distribution Functions

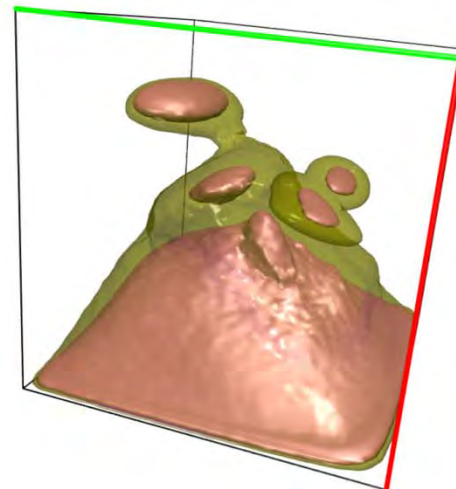
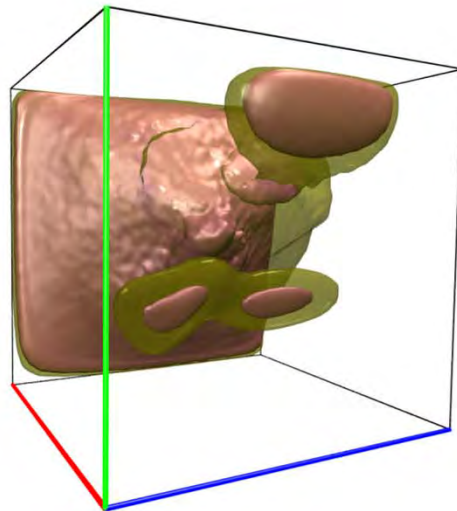
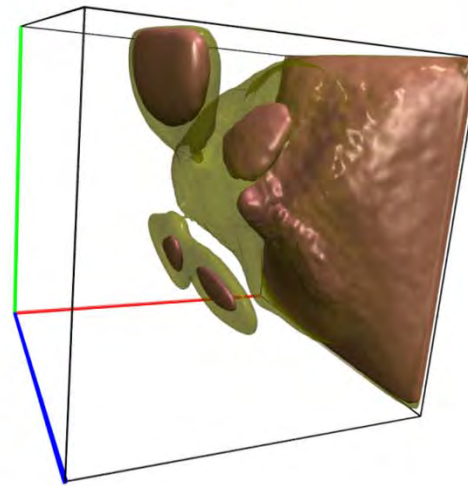
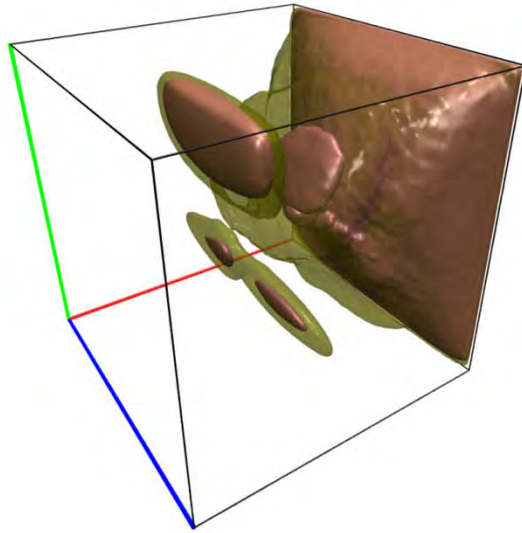




# Combined Distribution Functions



# Combined Distribution Functions

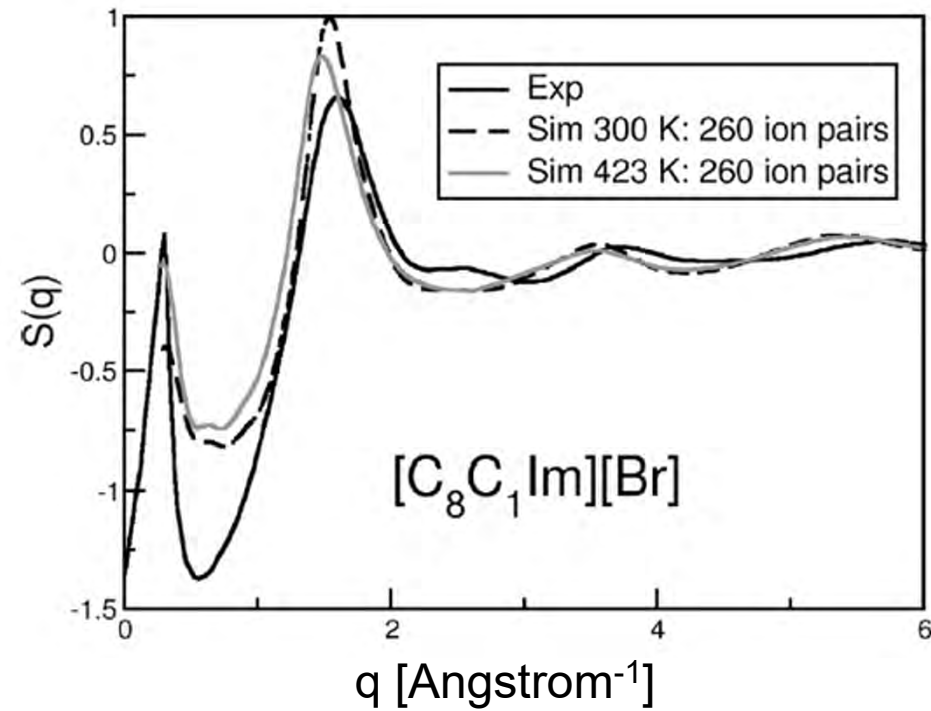


# Combined Distribution Functions

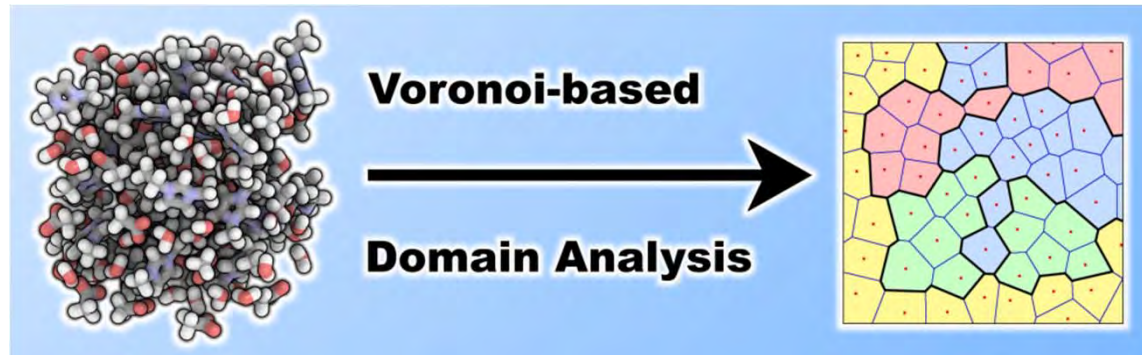
- What can be combined?
  - Any distance between two atoms in the system
  - Any angle between three atoms (or two vectors)
  - Any dihedral angle (between 4 atoms or 3 vectors)
  - Absolute velocity of atoms
  - Velocity / force vectors
  - Dipole moments / vectors of molecules
- Combinations can be of any dimensionality  
(shown here only 2D and 3D)

This gives trillions of different combinations!

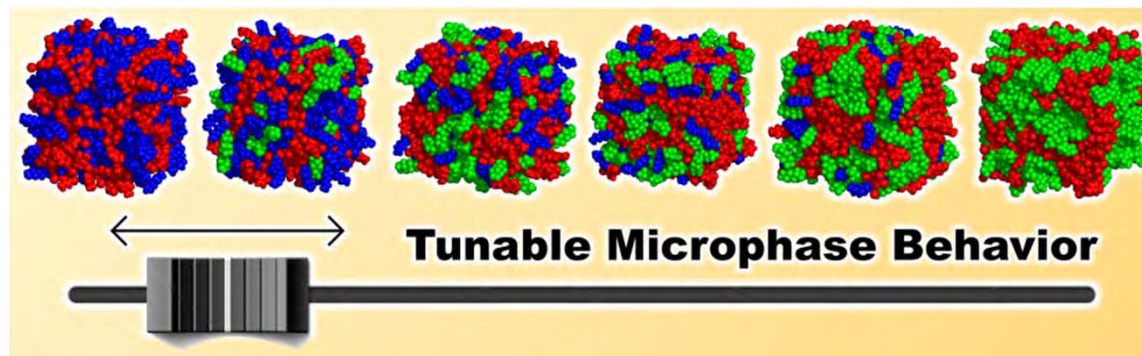
# Structure Factors (Neutron / X ray)



# Voronoi-based Domain Analysis

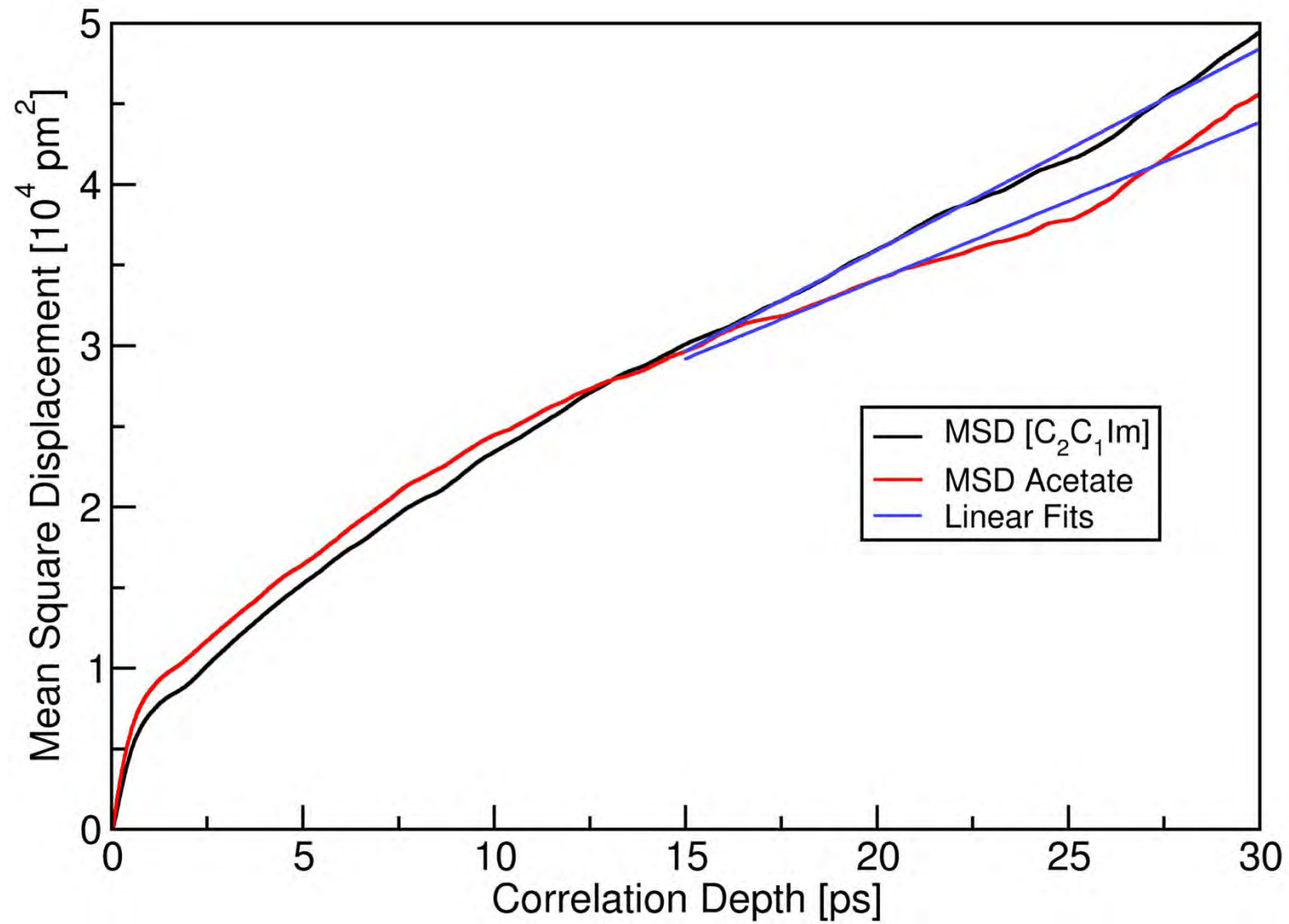


M. Brehm, H. Weber, M. Thomas, O. Holloczki, B. Kirchner: "Domain Analysis in Nanostructured Liquids: A Post-Molecular Dynamics Study at the Example of Ionic Liquids", *ChemPhysChem* **2015**, *16*, pp 3271-3277.



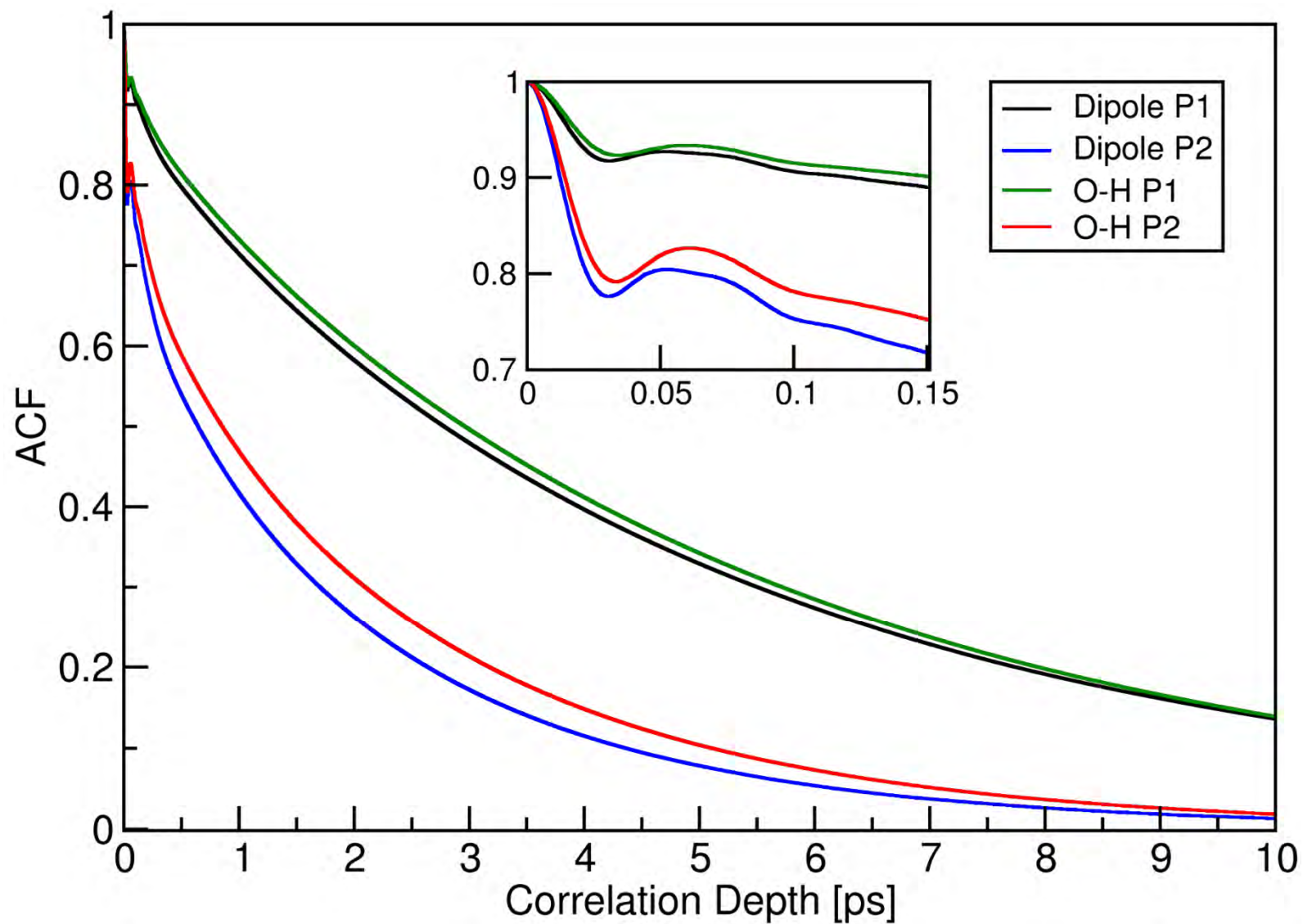
O. Holloczki, M. Macchiagodena, H. Weber, M. Thomas, M. Brehm, A. Stark, O. Russina, A. Triolo, B. Kirchner: "Triphasic Ionic-Liquid Mixtures: Fluorinated and Non-fluorinated Aprotic Ionic-Liquid Mixtures", *ChemPhysChem* **2015**, *16*, pp 3325-3333.

# Dynamical Analyses



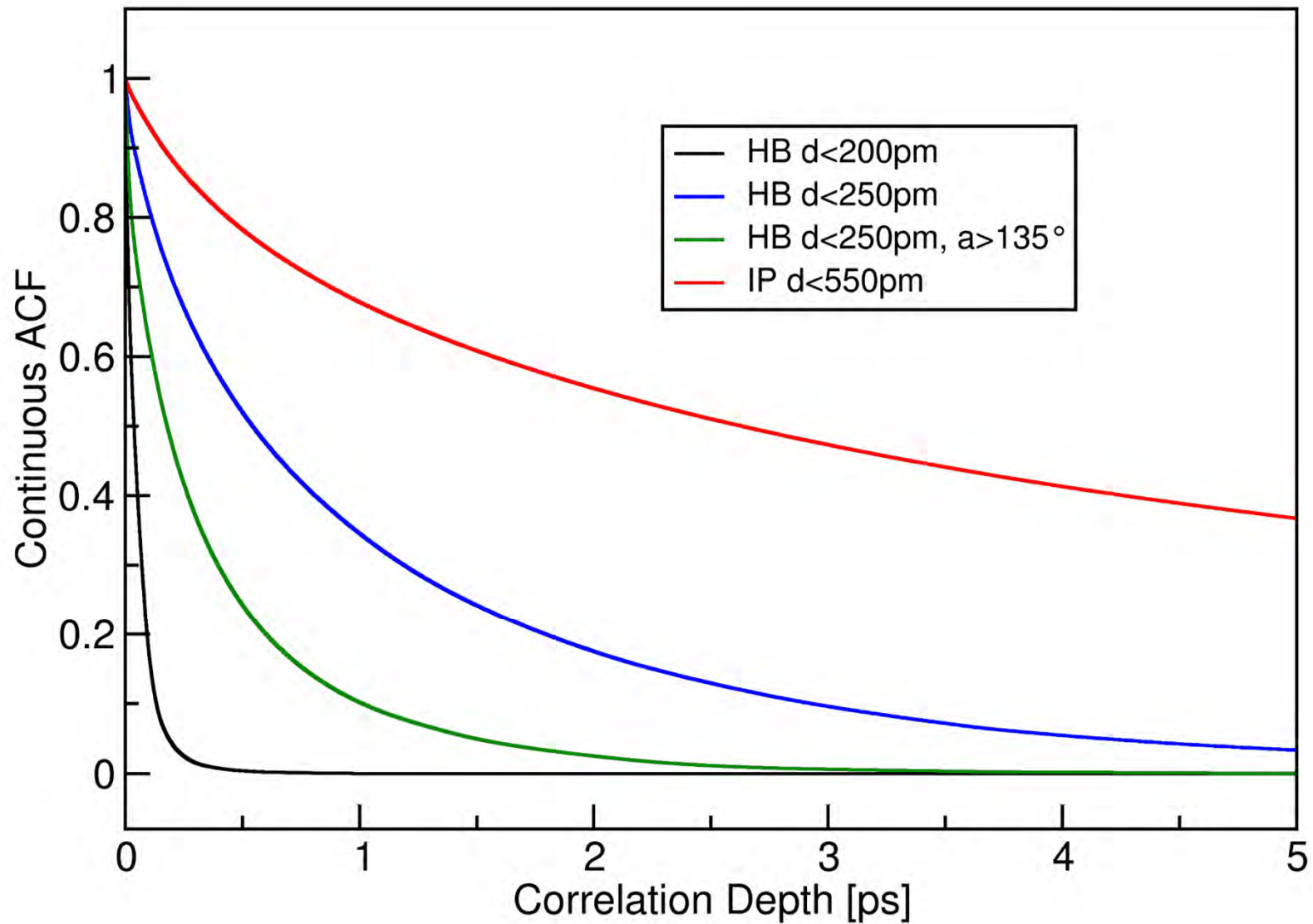
Mean Square Displacement & Diffusion Coefficients

# Dynamical Analyses



Vector Reorientation Dynamics

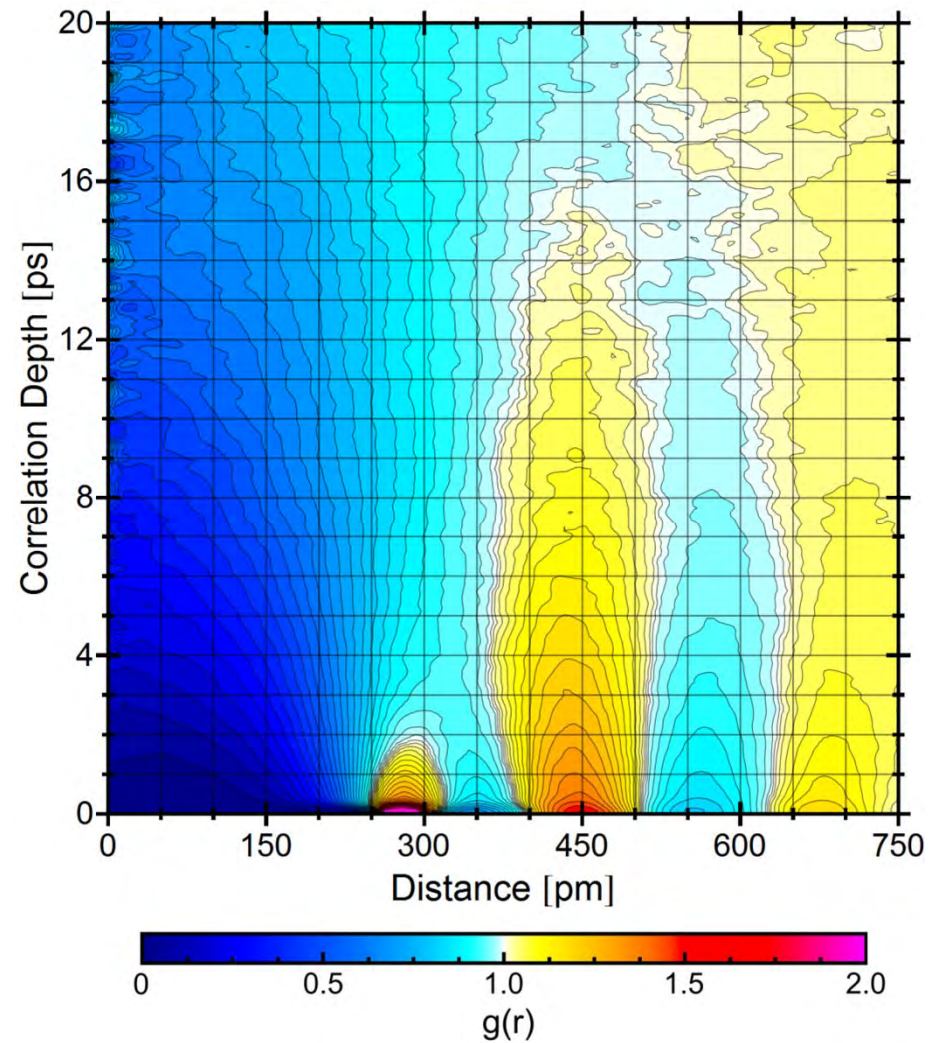
# Dynamical Analyses



Lifetime of Aggregates (e.g., hydrogen bonds)

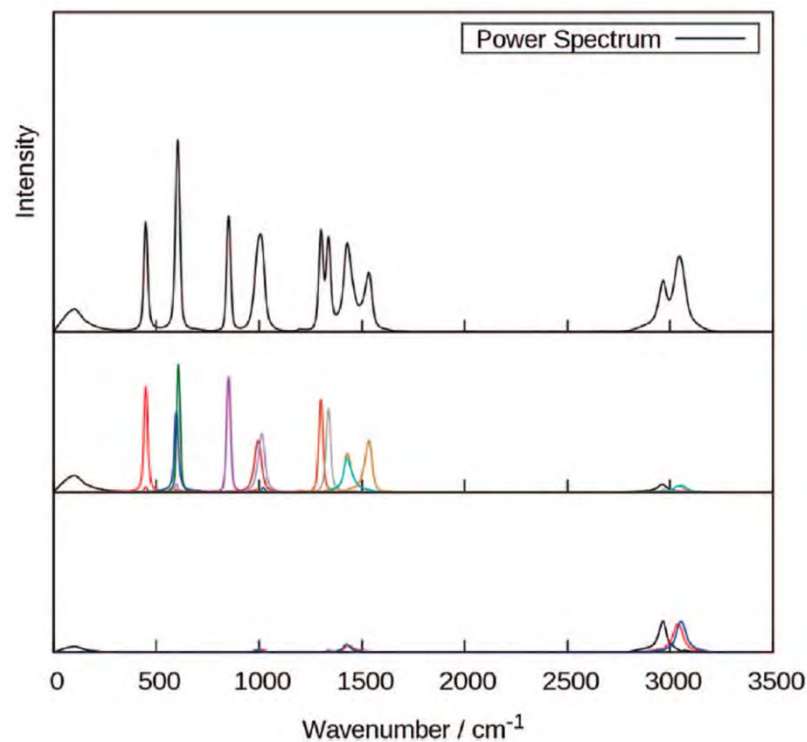


# Dynamical Analyses

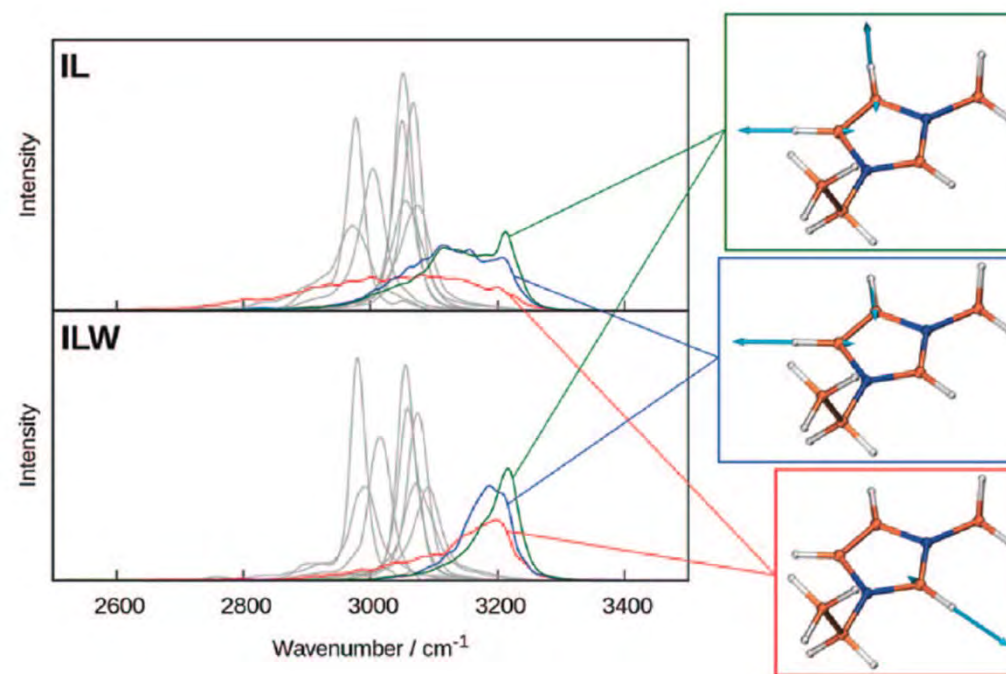


Van Howe Correlation Function & Dynamic Structure Factor

# Spectroscopic Analyses



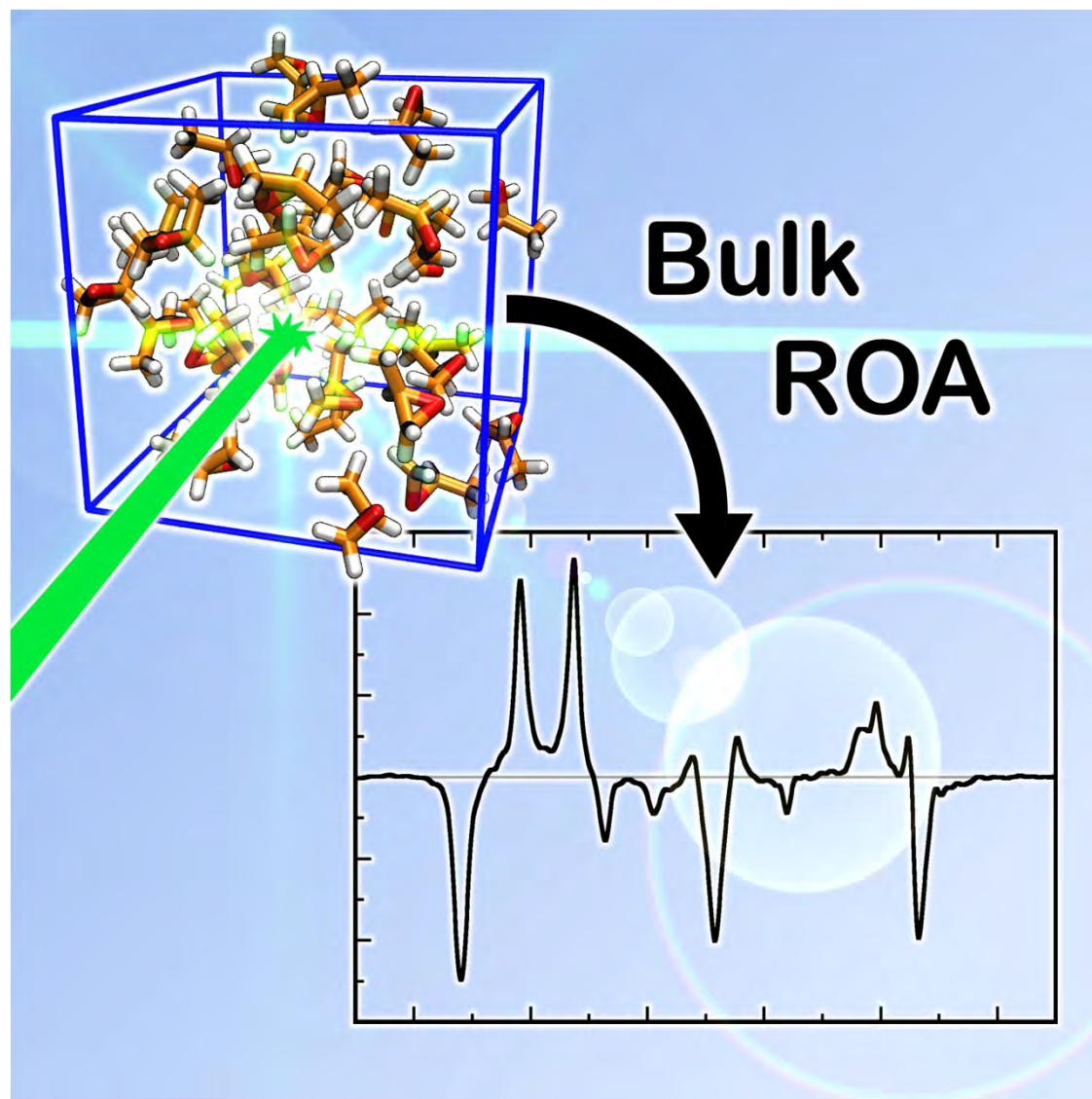
## Normal Modes from bulk phase AIMD



M. Thomas, M. Brehm, O. Holloczki,  
Z. Kelemen, L. Nyulaszi, T. Pasinszki, B. Kirchner,  
*J. Chem. Phys.* **2014**, *141*, 024510.



## 2. Computing Vibrational Spectra



# Vibrational Spectroscopy

Standard	Chiral
Infrared (IR)	Vibrational Circular Dichroism (VCD)
Raman	Raman Optical Activity (ROA)

# Vibrational Spectroscopy

Standard	Chiral
Infrared (IR)	Vibrational Circular Dichroism (VCD)
Raman	Raman Optical Activity (ROA)

## Two ways of Improvement:

1. Make calculations on small molecules more accurate
2. Perform calculations on larger/complex systems

# Vibrational Spectroscopy

Standard	Chiral
Infrared (IR)	Vibrational Circular Dichroism (VCD)
Raman	Raman Optical Activity (ROA)

## Two ways of Improvement:

1. Make calculations on small molecules more accurate
2. **Perform calculations on larger/complex systems**

# Vibrational Spectroscopy

Standard	Chiral
Infrared (IR)	Vibrational Circular Dichroism (VCD)
Raman	Raman Optical Activity (ROA)

## 99% of computed spectra: Double-harmonic approximation

- Only 1 molecule in vacuum (*no solvent effects*)
- Requires minimum structure (*no conformational flexibility*)
- No anharmonic effects (*bad for hydrogen bonds, etc.*)
- No band shapes (*discrete line spectrum*)



# Vibrational Spectroscopy

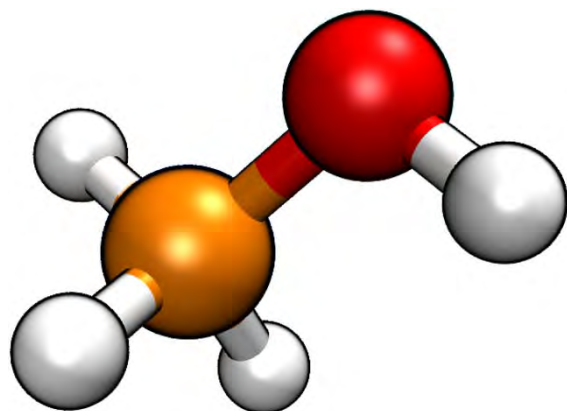
## More powerful approach: Spectra from AIMD

- Periodic bulk phase (*full treatment of solvent effects*)
- Phase space sampling (*full conformational flexibility*)
- Many anharmonic effects covered (*overtones, combination bands, ...*); see PhD thesis of M. Thomas
- Nicely reproduces band shapes (*hydrogen bonds, ...*)

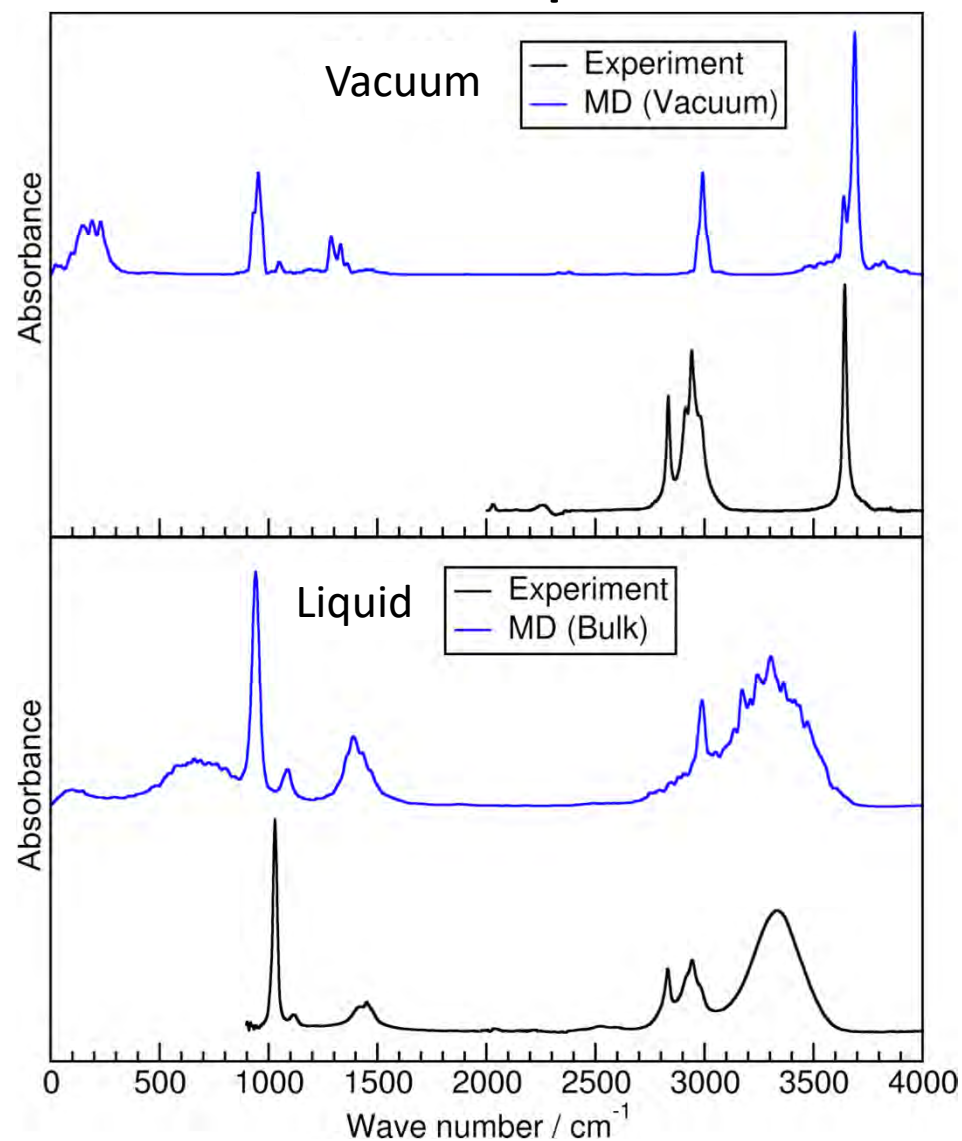
**First published shortly before year 2000 (*feasibility of AIMD!*)**

Spectra are obtained as the Fourier transform of certain time correlation functions along the trajectory.

# Application: Methanol



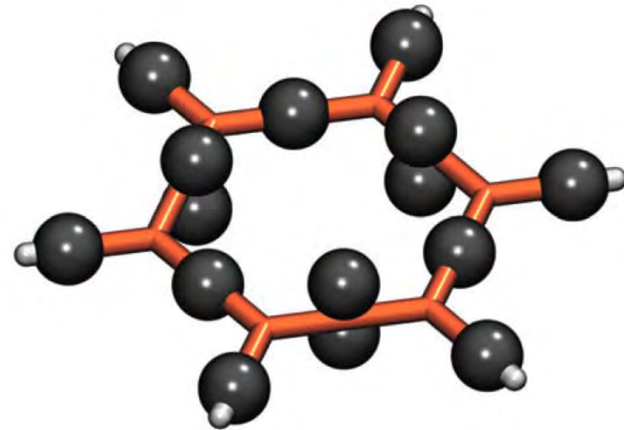
## Infrared Spectra



M. Thomas, M. Brehm, R. Fligg, P. Vöhringer,  
B. Kirchner, *Phys. Chem. Chem. Phys.* **2013**,  
15, pp 6608-6622.

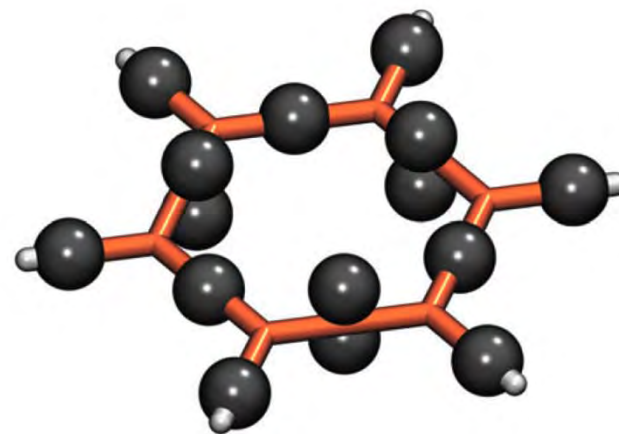
# Wannier Centers

- Molecular orbitals are not unique; every unitary transformation yields another set of valid MOs
- Idea: Find set of MOs with minimal (spatial) spread
- Those are called Wannier orbitals
- The center of mass of each Wannier orbital is called Wannier center
- Suggested by G. Wannier for solid-state systems in 1937



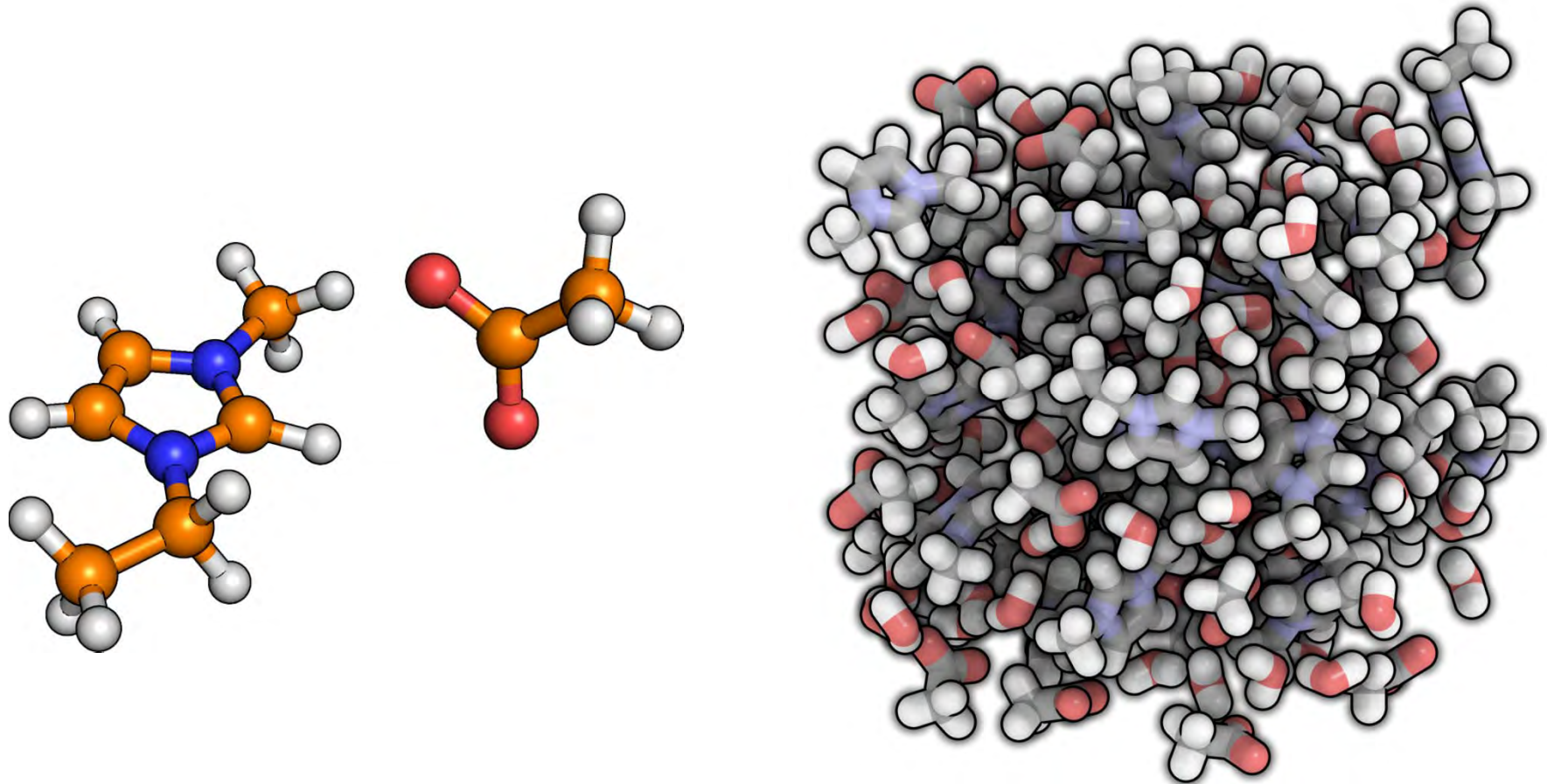
# Wannier Centers

- Can be used to compute molecular dipole moments
- For only 1 molecule in vacuum, dipole from Wannier centers exactly matches „true“ QM dipole (Berry phase)
- Standard algorithm uses Jacobi diagonalization to construct suitable unitary transformation; rather slow...
- CP2k offers a very modern and efficient method, called „Crazy Angle algorithm“ 😊
- Virtually all IR / Raman spectra from AIMD rely on Wannier centers for molecular dipole moments

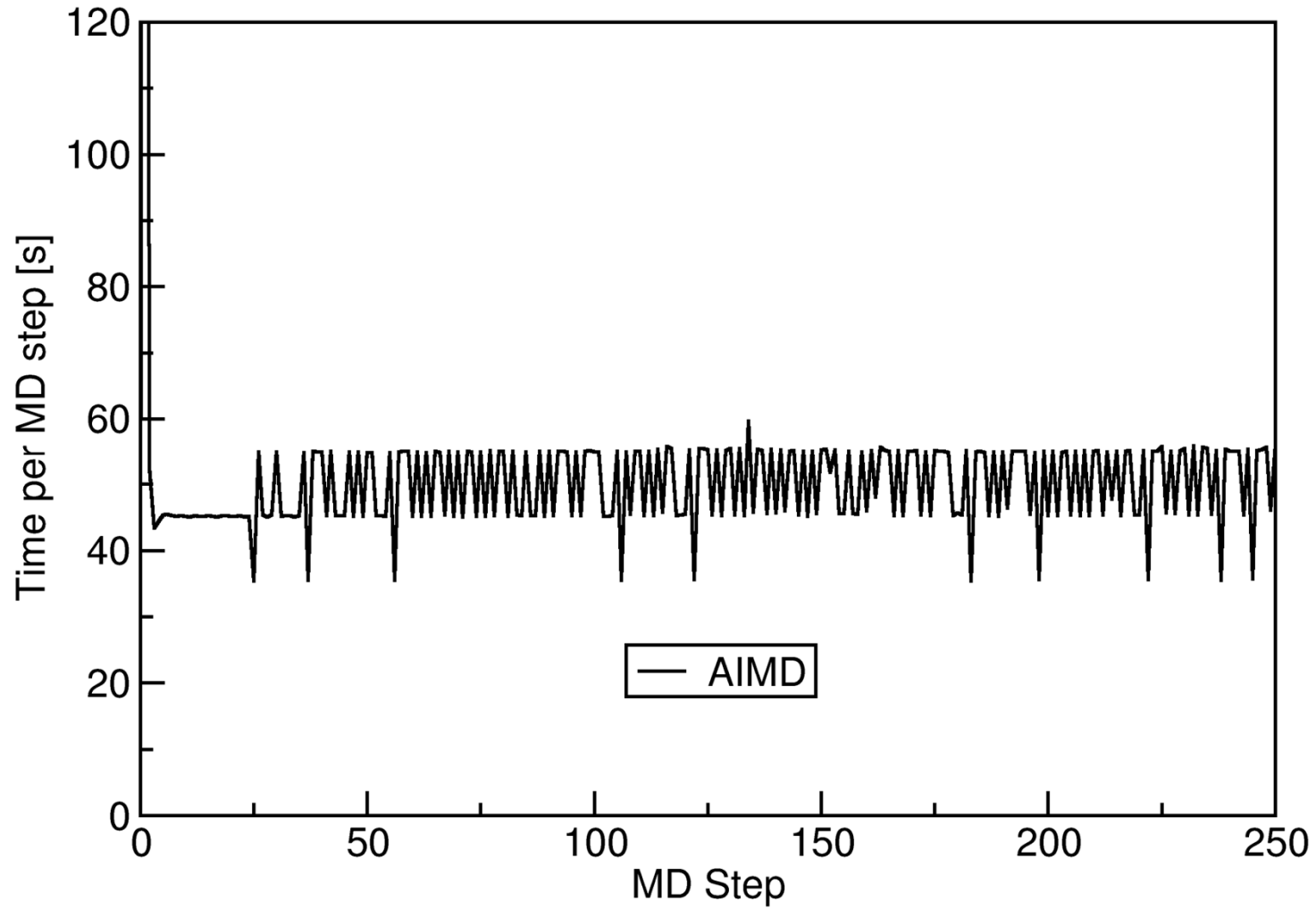


# Wannier Centers: Example

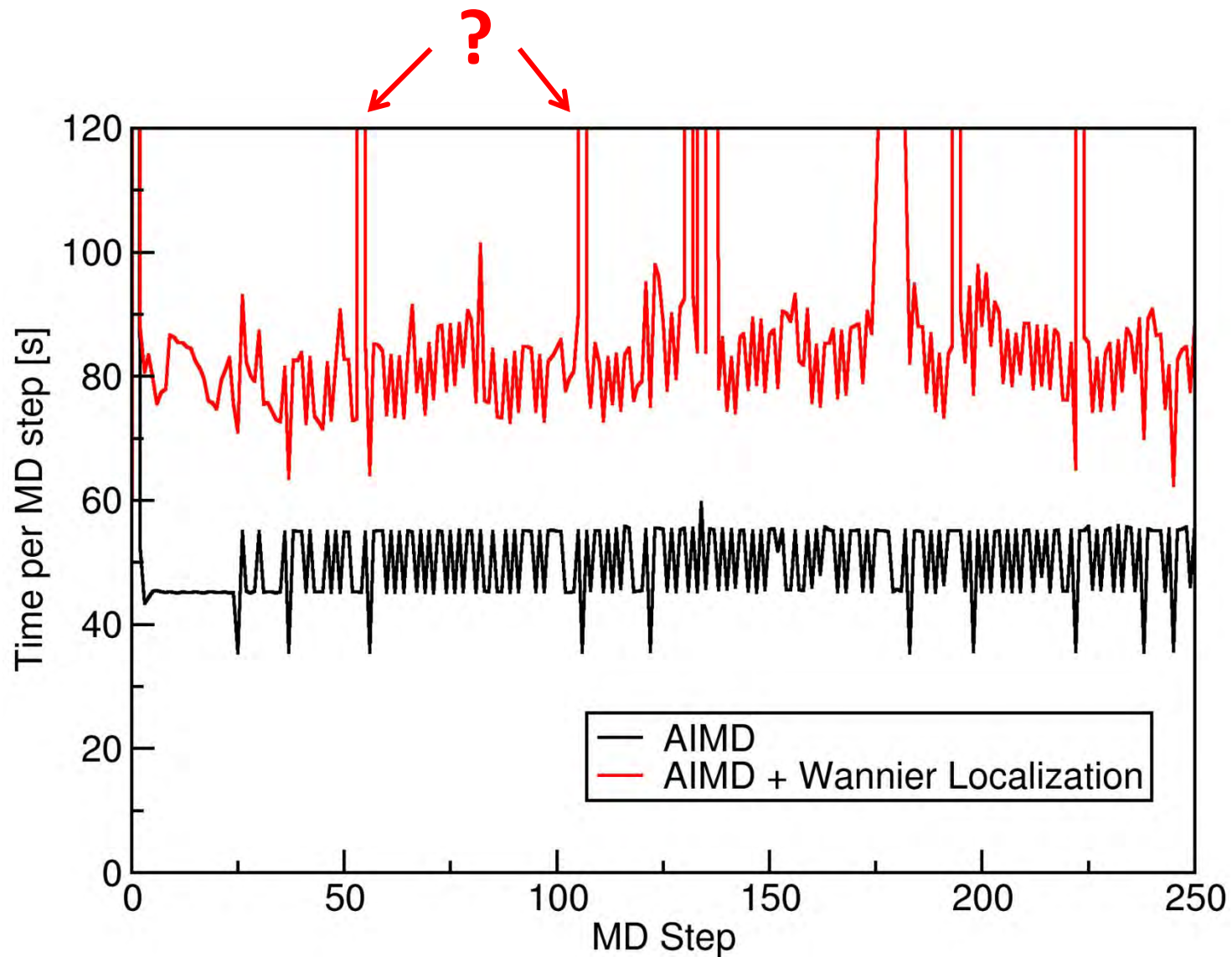
Bulk phase [EMIm][OAc], liquid, 936 atoms,  
cell 21 x 21 x 21 Å, 350 K, BLYP-D3, DZVP-MOLOPT-SR,  
2500 electrons, 8100 basis functions,  
1 node (16 cores) Intel Xeon E5-2620 v4



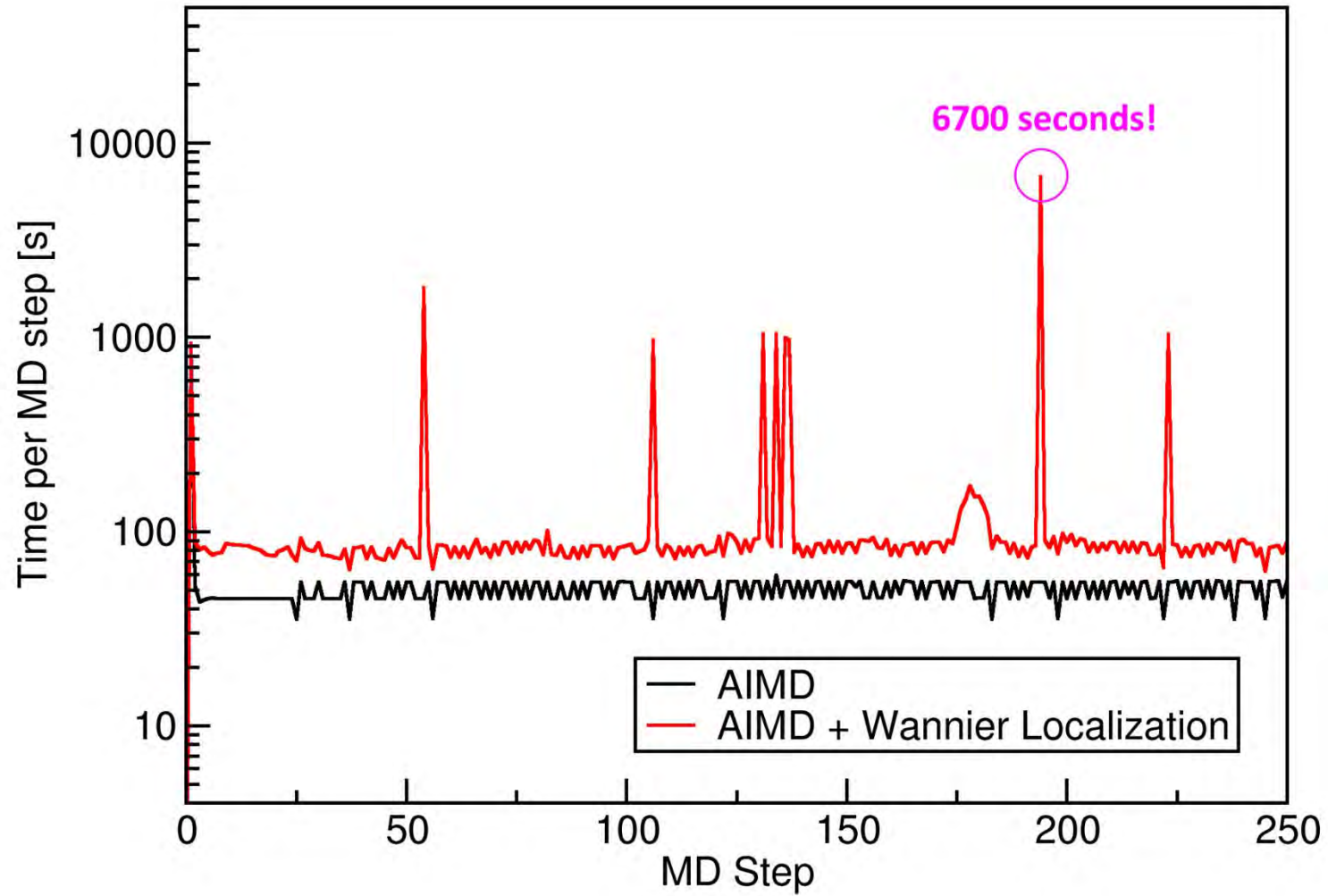
# Wannier Centers: Example



# Wannier Centers: Example



# Wannier Centers: Example





# Wannier Centers

LOCALIZATION| Computing localization properties for OCCUPIED ORBITALS. Spin: 1

Spread Functional       $\sum_i w_i \ln(|z_i|^2)$        $\sum_i w_i (1 - |z_i|^2)$

Initial Spread (Berry) :                      992039.6748362964      149090.8476625035

CRAZY	Iter	value	gradient	Max. eval	limit
CRAZY	1	759416.300978268	0.1314E+05	0.2295E+01	0.2000E+00
CRAZY	2	1825582.25180607	0.7230E+04	0.5686E+01	0.2000E+00
CRAZY	3	3504667.50291648	0.4232E+04	0.9659E+01	0.2000E+00
CRAZY	4	5213901.57181955	0.3002E+03	0.1881E+02	0.2000E+00
...					
CRAZY	497	5872400.29576750	0.2857E+03	0.2367E+03	0.2000E+00
CRAZY	498	5872334.68198040	0.3178E+03	0.2374E+03	0.2000E+00
CRAZY	499	5872451.22848857	0.3350E+03	0.2378E+03	0.2000E+00
CRAZY	500	5871893.26651500	0.2990E+03	0.2360E+03	0.2000E+00

Crazy Wannier localization not converged after 500 iterations, switching to jacobi rotations

Localization by iterative distributed Jacobi rotation

Iteration	Functional	Tolerance	Time
100	-74827.4008233787	0.1916E+04	3.361
200	-74882.2665054244	0.2077E+03	3.361
300	-74895.0539325070	0.4188E+01	3.362
...			
1200	-74895.0559064523	0.7365E-03	3.355
1300	-74895.0559064549	0.3717E-03	3.353
1400	-74895.0559064543	0.1876E-03	3.355

Localization for spin 1 converged in 1493 iterations

Spread Functional       $\sum_i w_i \ln(|z_i|^2)$        $\sum_i w_i (1 - |z_i|^2)$

Total Spread (Berry) :                      -22638.0247818508      -74895.0559064557

# Wannier Centers

LOCALIZATION| Computing localization properties for OCCUPIED ORBITALS. Spin: 1

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CRAZY	3	3504667.50291648	0.4232E+04	0.9659E+01	0.2000E+00
CRAZY	4	5213901.57181955	0.3002E+03	0.1881E+02	0.2000E+00
...					
CRAZY	497	5872400.29576750	0.2857E+03	0.2367E+03	0.2000E+00
CRAZY	498	5872334.68198040	0.3178E+03	0.2374E+03	0.2000E+00
CRAZY	499	5872451.22848857	0.3350E+03	0.2378E+03	0.2000E+00
CRAZY	500	5871893.26651500	0.2990E+03	0.2360E+03	0.2000E+00

Crazy Wannier localization not converged after 500

iterations, switching to jacobi rotations

Localization by iterative distribution

Iteration		Time
100		3.361
200		3.361
300	-74895.0539325070	3.362
...		
1200	-74895.0559064523	3.355
1300	-74895.0559064549	3.353
1400	-74895.0559064543	3.355

**112 Minutes!**

Localization for spin 1 converged in 1493 iterations

Spread Functional      sum\_in -w\_i ln(|z\_in|^2)      sum\_in w\_i(1-|z\_in|^2)

Total Spread (Berry) :                      -22638.0247818508      -74895.0559064557

# Wannier Centers

## Average frame times:

Standard AIMD: 47.9 seconds

AIMD + Wannier: 139.3 seconds

→ Wannier localization takes 91.4 seconds on average!

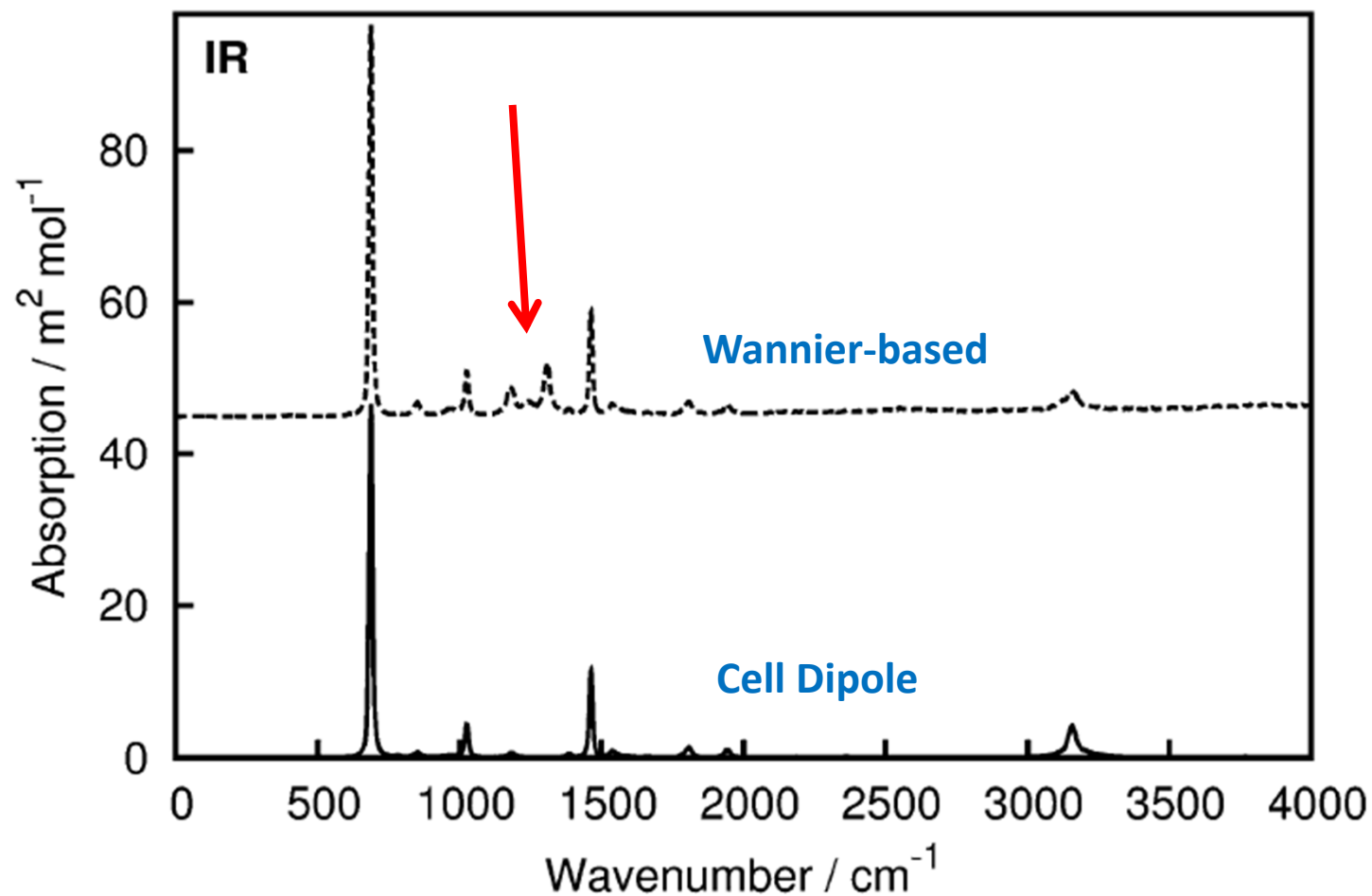
65 % of total computer time goes into localization only...

Even if CRAZY would converge in every step,  
localization would take  $\approx 30$  seconds per frame.

It can even happen that JACOBI does not converge;  
then no Wannier centers at all are available for that frame...

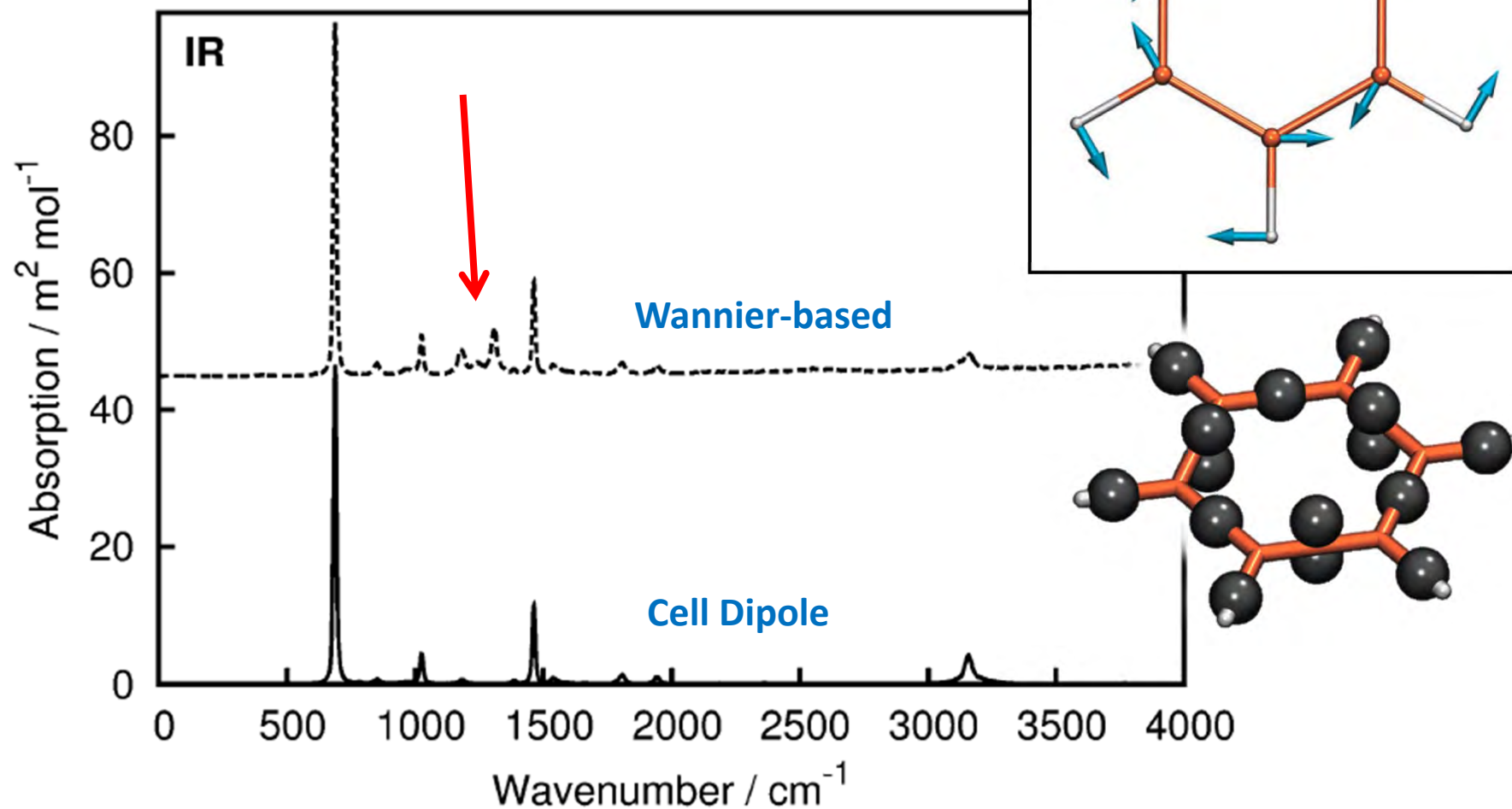
# Wannier Centers

Benzene IR spectrum: Artificial peak



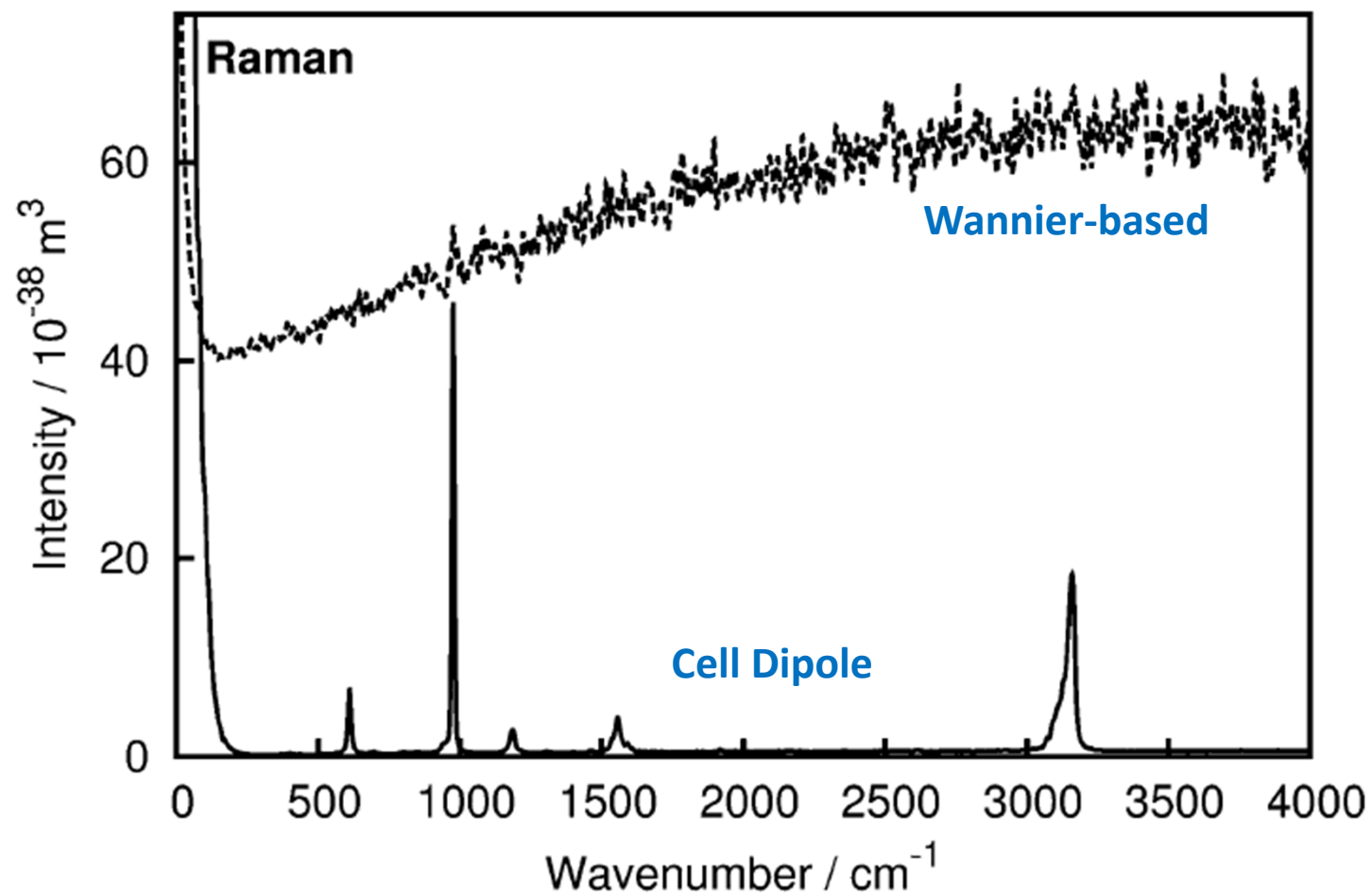
# Wannier Centers

Benzene IR spectrum: Artificial peak



# Wannier Centers

Benzene Raman: No spectrum at all, only noise...



# Wannier Centers

Most IR / Raman spectra from AIMD use Wannier centers.

## Disadvantages:

- Huge computational overhead (*for systems with  $\approx 1000$  atoms: around 65% of the total CPU time!*)
- Not guaranteed to converge at all
- Severe problems in aromatic systems (*artificial bands; polarizability not accessible*)
- Only works for electric dipole; can't reproduce quadrupole (required for ROA)  $\rightarrow$  won't work for ROA anyway

# Voronoi Integration

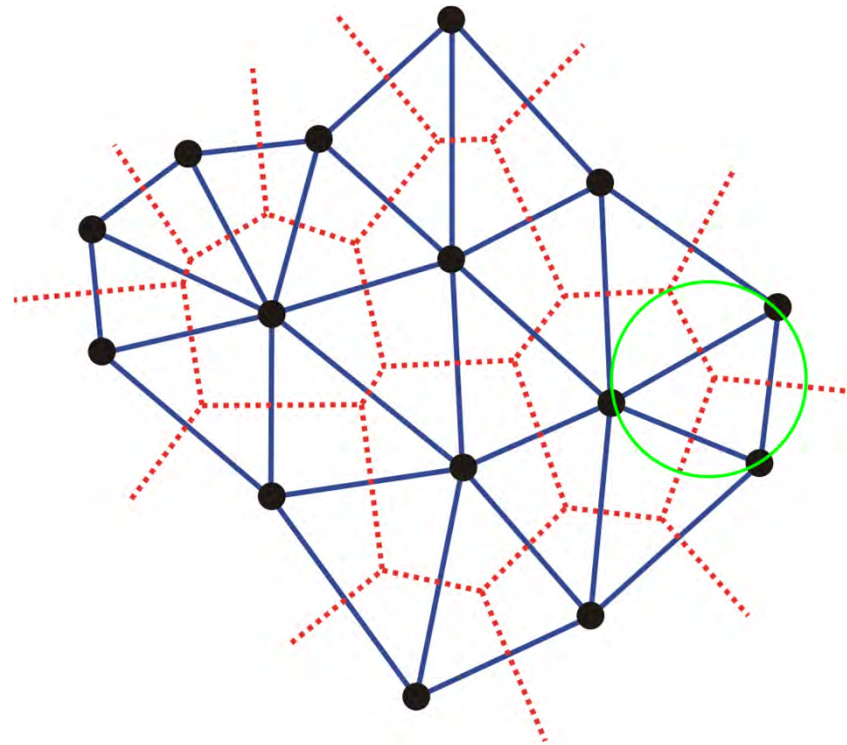
**Our idea:** Completely drop Wannier localization;  
Integrate molecular dipole via Voronoi instead.



# Voronoi Integration

**Our idea:** Completely drop Wannier localization;  
Integrate molecular dipole via Voronoi instead.

**Voronoi Tessellation (G. Voronoi, 1908):**



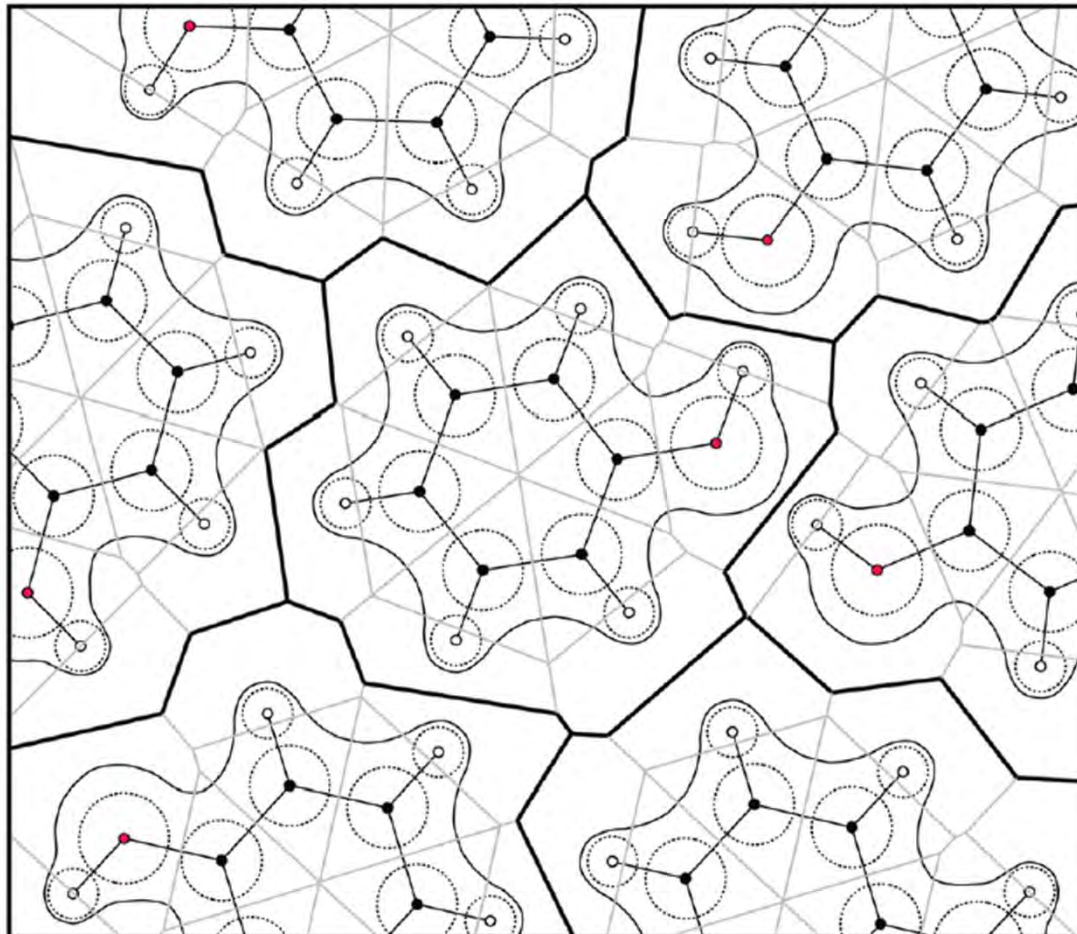
# Voronoi Integration

**Our idea:** Completely drop Wannier localization;  
Integrate molecular dipole via Voronoi instead.

Also works in 3D → show video

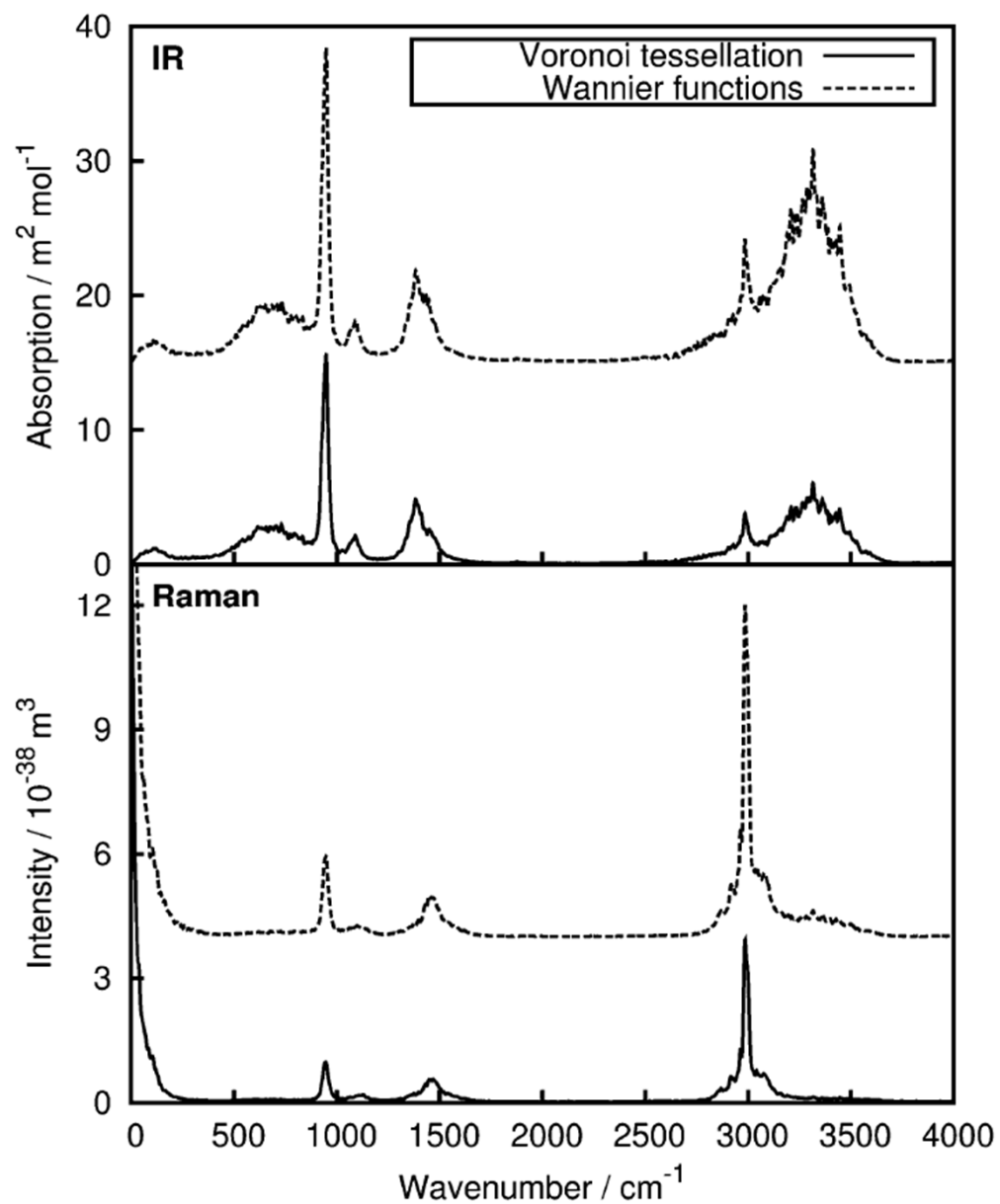
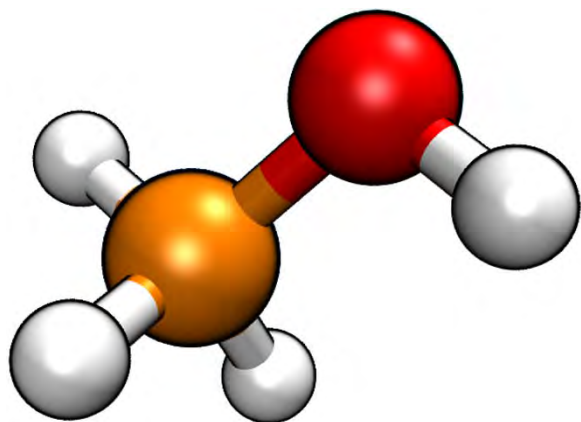
# Voronoi Integration

**Our idea:** Completely drop Wannier localization;  
Integrate molecular dipole via Voronoi instead.



# Voronoi Integration

For bulk methanol:  
No difference



# Voronoi Integration

## Average Timings

Wannier-based:

47.9 s AIMD

91.4 s Localization

---

139.3 s per frame

Voronoi Integration:

47.9 s AIMD

10.0 s Write CUBE ( $\approx 100$  MiB)

2.0 s Voronoi Integration

---

59.9 s per frame

Saves more than  
a factor of 2  
in total CPU time!

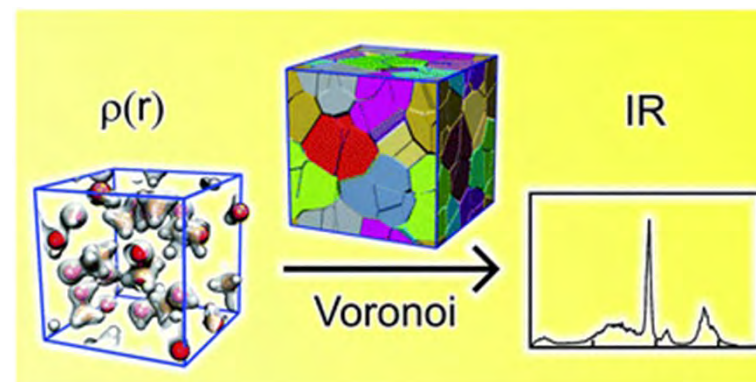
# Voronoi Integration

**Our idea:** Completely drop Wannier localization;  
Integrate molecular Dipole via Voronoi instead

- Spectra look almost identical
- Saves lots of computational time ( Factor > 2 )
- All problems due to Wannier localization are gone
- Works also for higher multipole moments

## Published:

M. Thomas, M. Brehm, B. Kirchner: "Voronoi dipole moments for the simulation of bulk phase vibrational spectra",  
*Phys. Chem. Chem. Phys.* **2015**, *17*, pp 3207-3213.

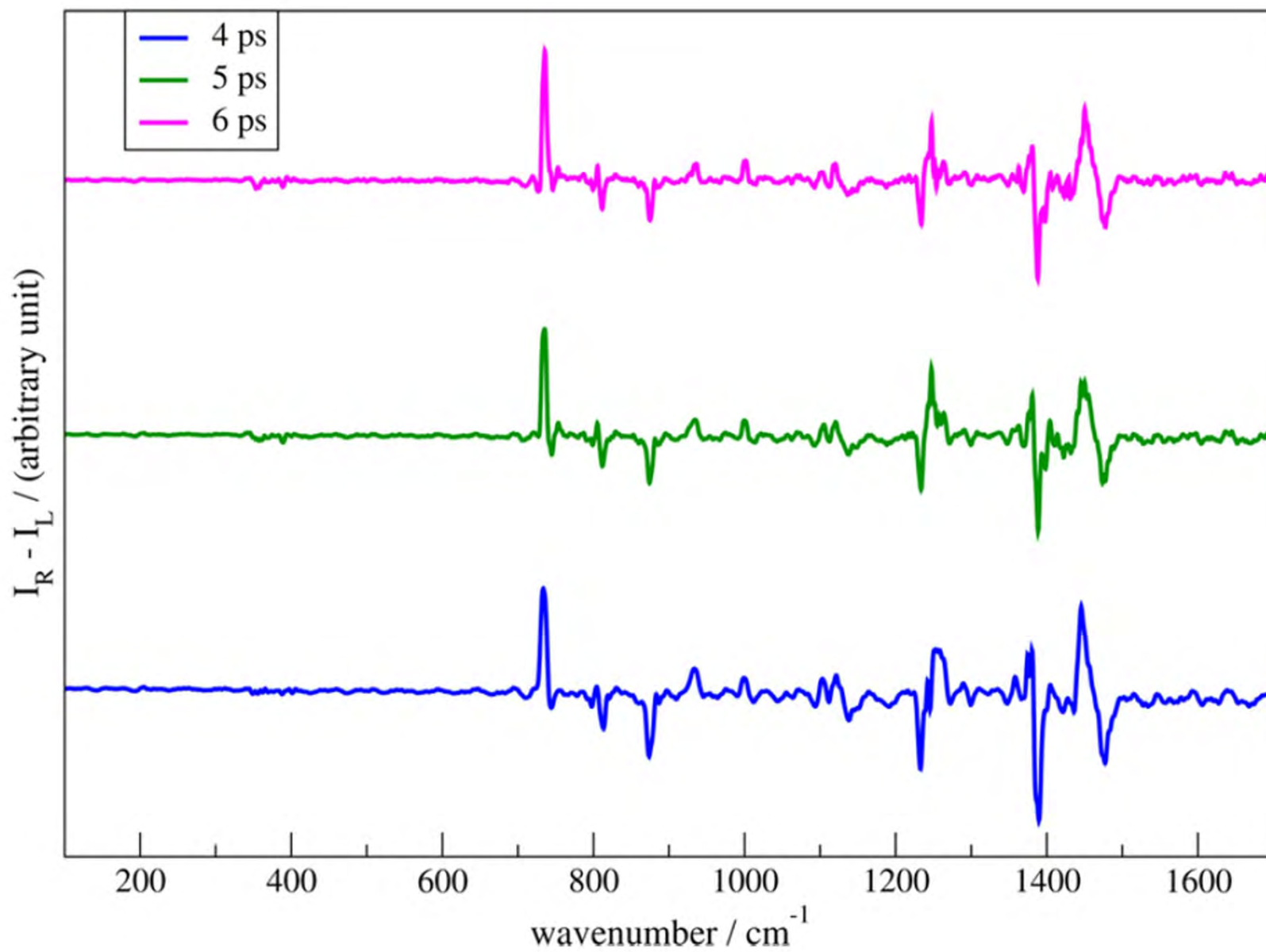




# Vibrational Spectra from AIMD

- **Infrared:** Since  $\approx 1997$   $\rightarrow$  „standard method“.
- **Raman:** Since  $\approx 2002$   $\rightarrow$  „standard method“.
- **VCD:** First bulk phase spectrum from *Thomas et al.* in Jan 2016.  
Shortly after: *Scherrer et al.* in Aug 2016.  
Both methods work nicely  $\rightarrow$  „solved“.
- **ROA:** Article from *S. Luber* in Feb 2017.  
*„the first ROA spectrum from AIMD“.*  
But only 1 molecule in vacuum (non-periodic);  
author states that application to periodic bulk systems  
remains open for the future...





S. Lubber, *J. Chem. Theory Comput.* **2017**, *13* (3), pp 1254–1262.

# Vibrational Spectra from AIMD

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But only 1 molecule in vacuum (non-periodic);  
author states that application to periodic bulk systems  
remains open for the future...

IR, Raman, VCD spectra of periodic bulk phase systems  
can be routinely computed. **ROA is still missing.**

# Magnetic Moments

Why is it so hard to obtain VCD and ROA from AIMD?

Because both require the *magnetic dipole moment*.

Magnetic moments result from electric current.

This is a time-dependent phenomenon.

However, AIMD applies the Born–Oppenheimer approximation.

→ Static limit, no time-dependence in QC, no electric current ☹️

How to overcome this dilemma?

# Magnetic Moments

## (a) Use Perturbation Theory

- Comes in different formulations (e.g. NVPT).
- Applied by *Luber* for VCD and ROA spectra, and by *Scherrer et al.* for VCD spectra
- Idea is known for a long time, but hard to implement/compute...
- Problems, e.g., with non-local pseudopotentials
- Currently works for LDA/GGA DFT only (to the best of my knowledge)

# Magnetic Moments

## (b) Use a purely classical approach

Presented by *Thomas et al.* in Jan 2016.

M. Thomas, B. Kirchner,  
*J. Phys. Chem. Lett.* **7**,  
2016, 509–513.

Apply some grave simplifications:

- Treat system as if it were classical (macroscopic).
- No eddy currents can flow.
- Currents flow only where there is some electron density.

Start with the  
continuity equation:

$$\frac{\partial \rho(\mathbf{r}, t)}{\partial t} + \nabla \cdot \mathbf{j}(\mathbf{r}, t) = 0$$

Approximate current  
as a simple product:

$$\mathbf{j}(\mathbf{r}, t) = -\rho(\mathbf{r}, t) \nabla \alpha(\mathbf{r}, t)$$

Then obtain the  
following PDE:

$$\frac{\partial \rho(\mathbf{r}, t)}{\partial t} = \nabla \rho(\mathbf{r}, t) \cdot \nabla \alpha(\mathbf{r}, t) + \rho(\mathbf{r}, t) \Delta \alpha(\mathbf{r}, t)$$

# Magnetic Moments

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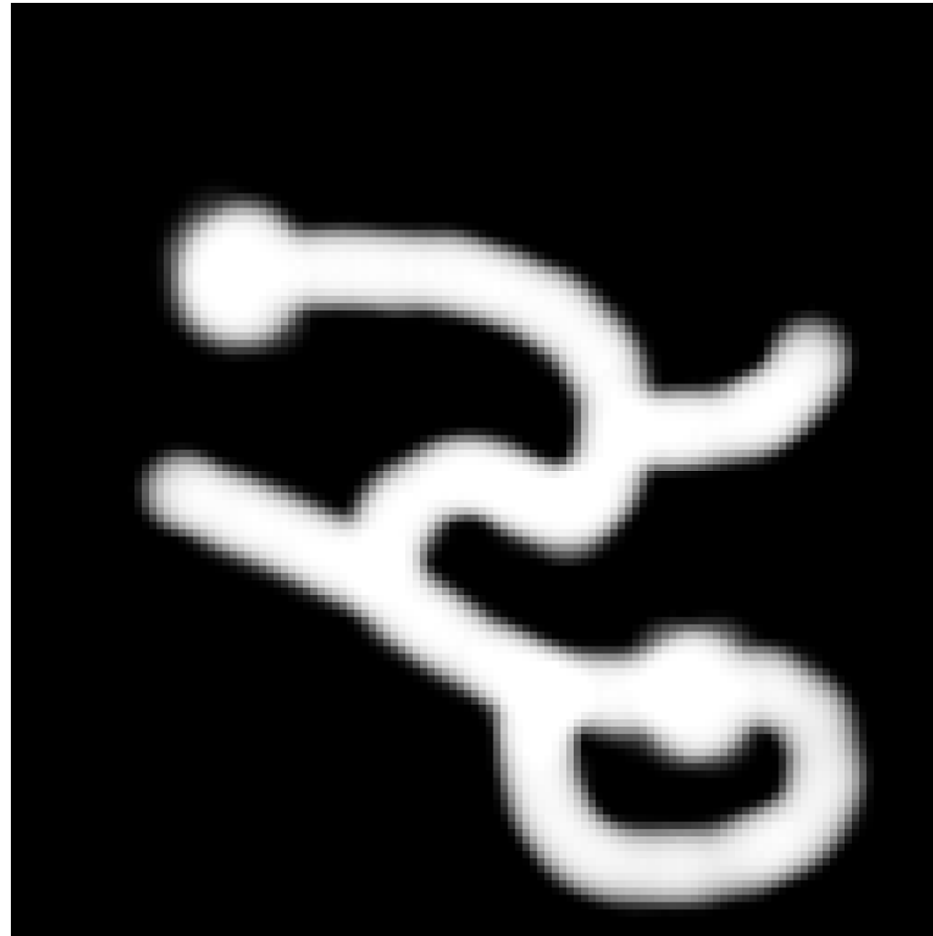
The PDE is discretized on a grid as a (huge) linear system of equations ( $10^6$  variables,  $10^6$  equations, sparse coefficient matrix).

This system is iteratively solved via the preconditioned biconjugate gradient stabilized („BiCGStab“) method.

# Magnetic Moments

(b) Use a purely classical approach

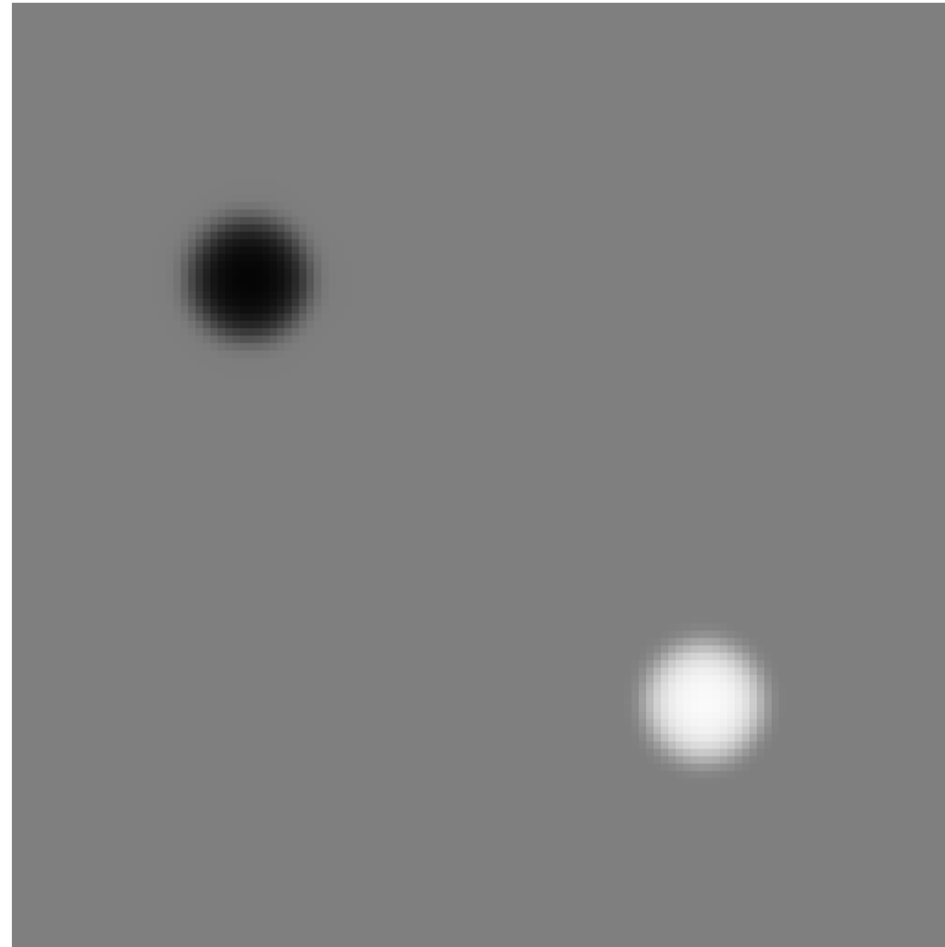
$$\rho(\mathbf{r}, t)$$



# Magnetic Moments

(b) Use a purely classical approach

$$\frac{\partial \rho(\mathbf{r}, t)}{\partial t}$$

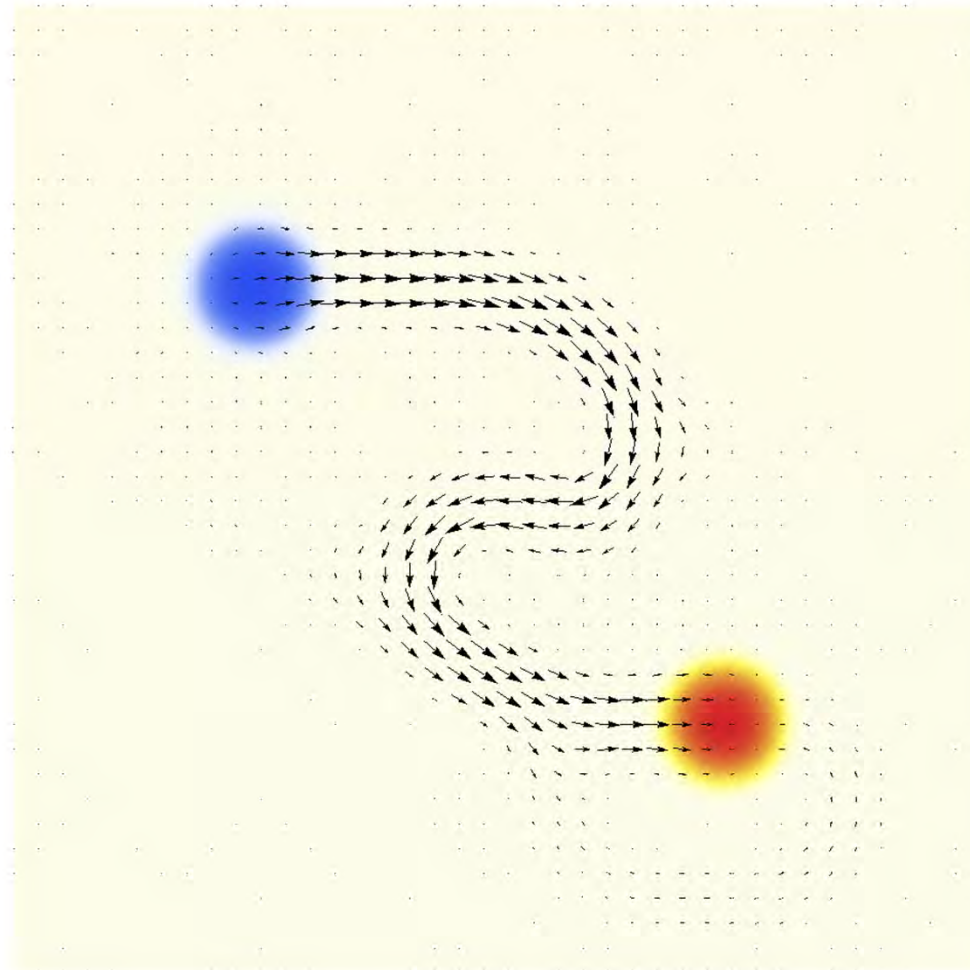




# Magnetic Moments

(b) Use a purely classical approach

$\mathbf{j}(\mathbf{r}, t)$



# Magnetic Moments

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*J. Phys. Chem. Lett.* **7**,  
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Apply some grave simplifications:

- Treat system as if it were classical (macroscopic).
- No eddy currents can flow.
- Currents flow only where there is some electron density.

Based on these assumptions, obtain current from two successive electron density snapshots on a grid.

Have to solve a partial differential equation (PDE) on a 3D grid.

# Magnetic Moments

## (b) Use a purely classical approach

**Advantage:** Only requires electron density on grid as input.

- Works with *all* electron structure methods that give total electron density (*MP2, CCSD, ...*).
  - No modification in QC code required – can be combined with *any* QC software that writes CUBE files (*e.g., CP2k*).
  - Solving the PDE is fast ( $\approx 10$  seconds per frame); total computational time is only that of a standard AIMD.
- Much faster than NVPT, very good scaling, can be applied to periodic systems with  $> 1000$  atoms (in case of DFT).

# Magnetic Moments

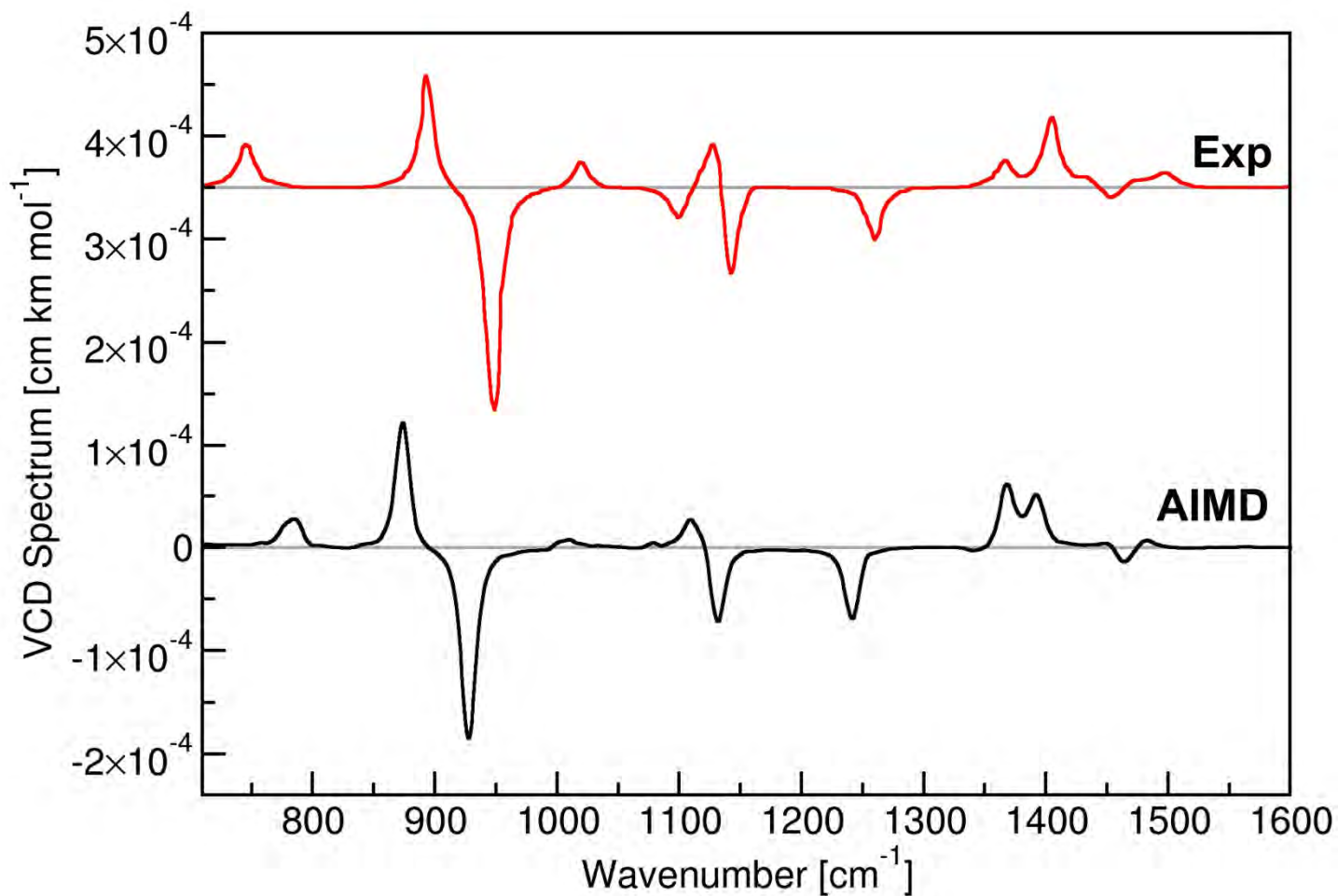
**(b) Use a purely classical approach**

**Disadvantage:** Ridiculous simplification.

„There is no physical reason why the results of this approach should make any sense.“

However, it worked very nicely for prediction of VCD.

## Predicted VCD spectrum of (*R*)-propylene oxide



→ Classical approach indeed works!

# Magnetic Moments

**(b) Use a purely classical approach**

**Disadvantage:** Ridiculous simplification.

„There is no physical reason why the results of this approach should make any sense.“

However, it worked very nicely for prediction of VCD.

→ We now have all ingredients for ROA (*since Jan 2016*)

# Our Approach to ROA

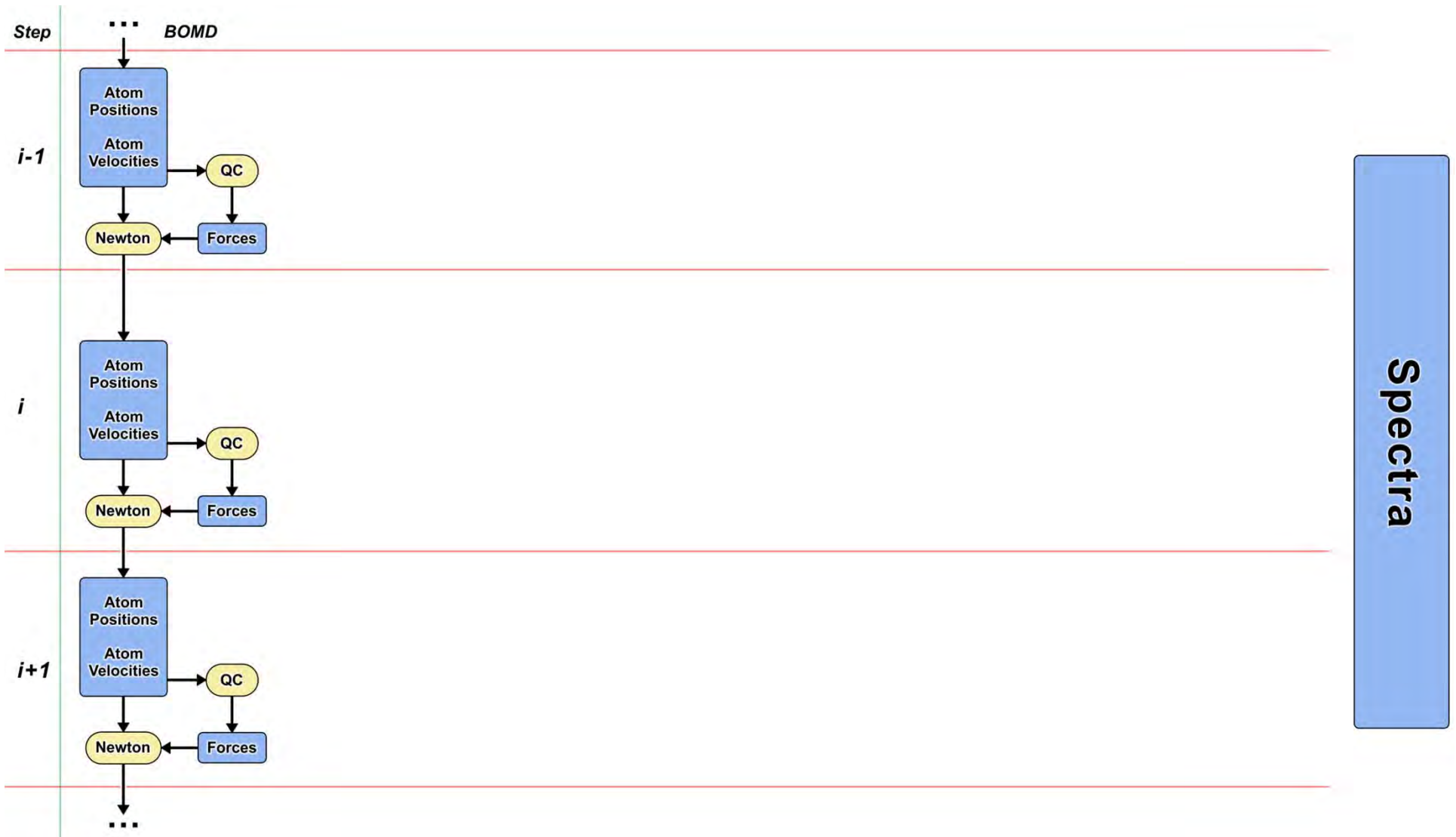
**Computation of ROA spectra requires three properties:**

- Electric dipole – electric dipole polarizability
- Electric quadrupole – electric dipole polarizability
- **Magnetic dipole – electric dipole polarizability**

The spectrum can be obtained as FT of cross-correlations of those properties along the trajectory...

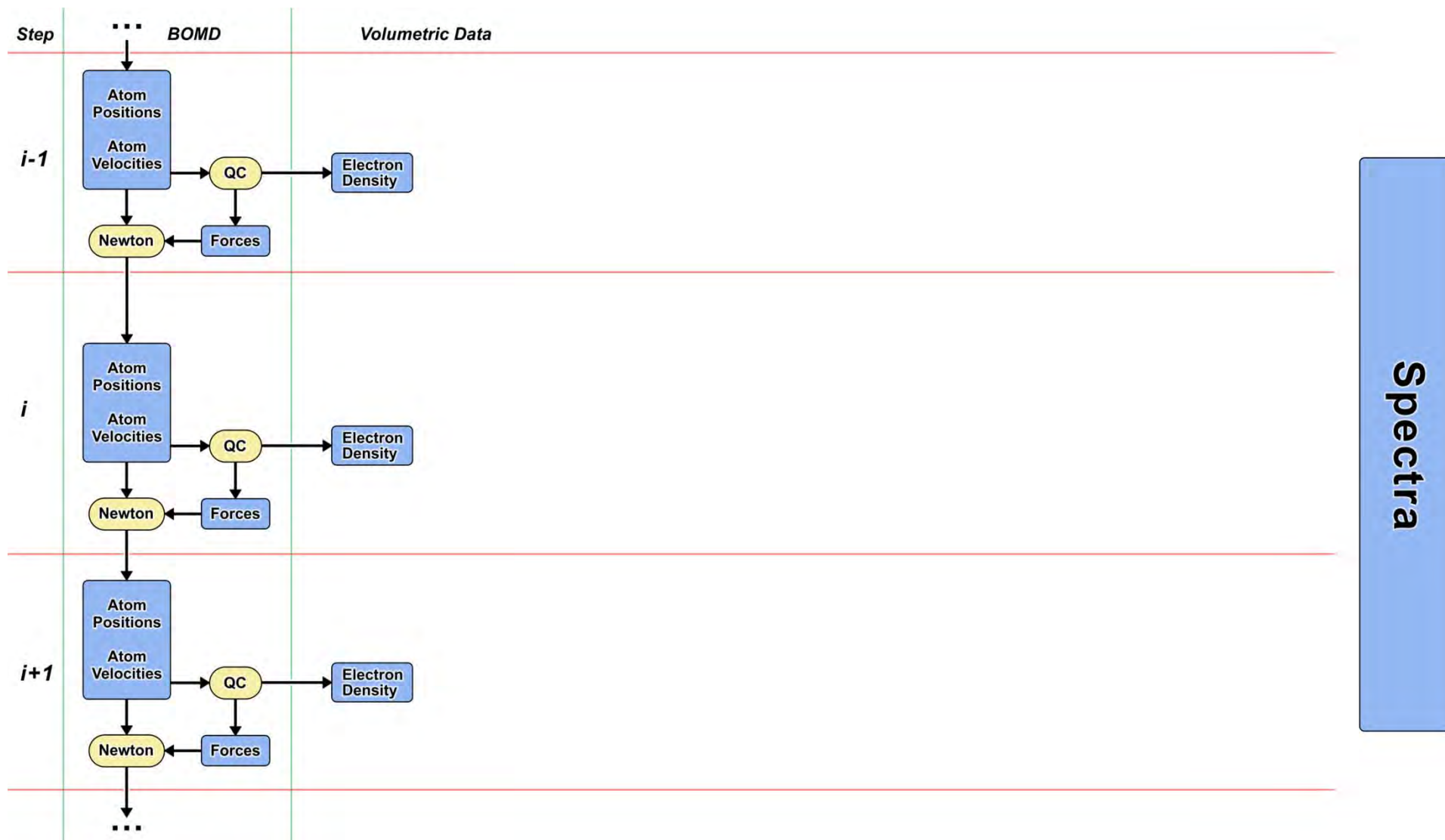
Sounds „easy“, but required  $\approx 1$  year of additional effort.

# Our Approach to ROA

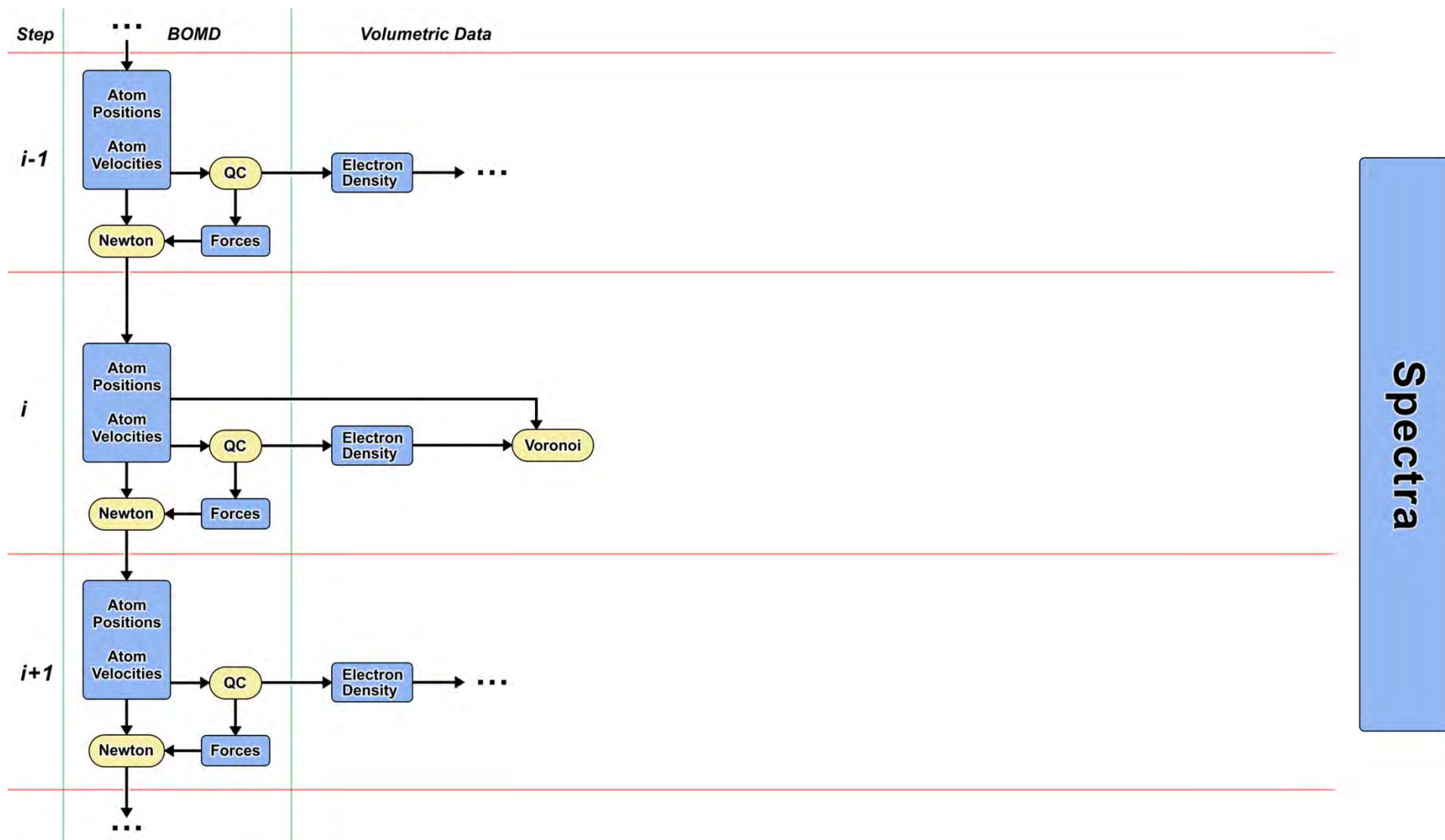




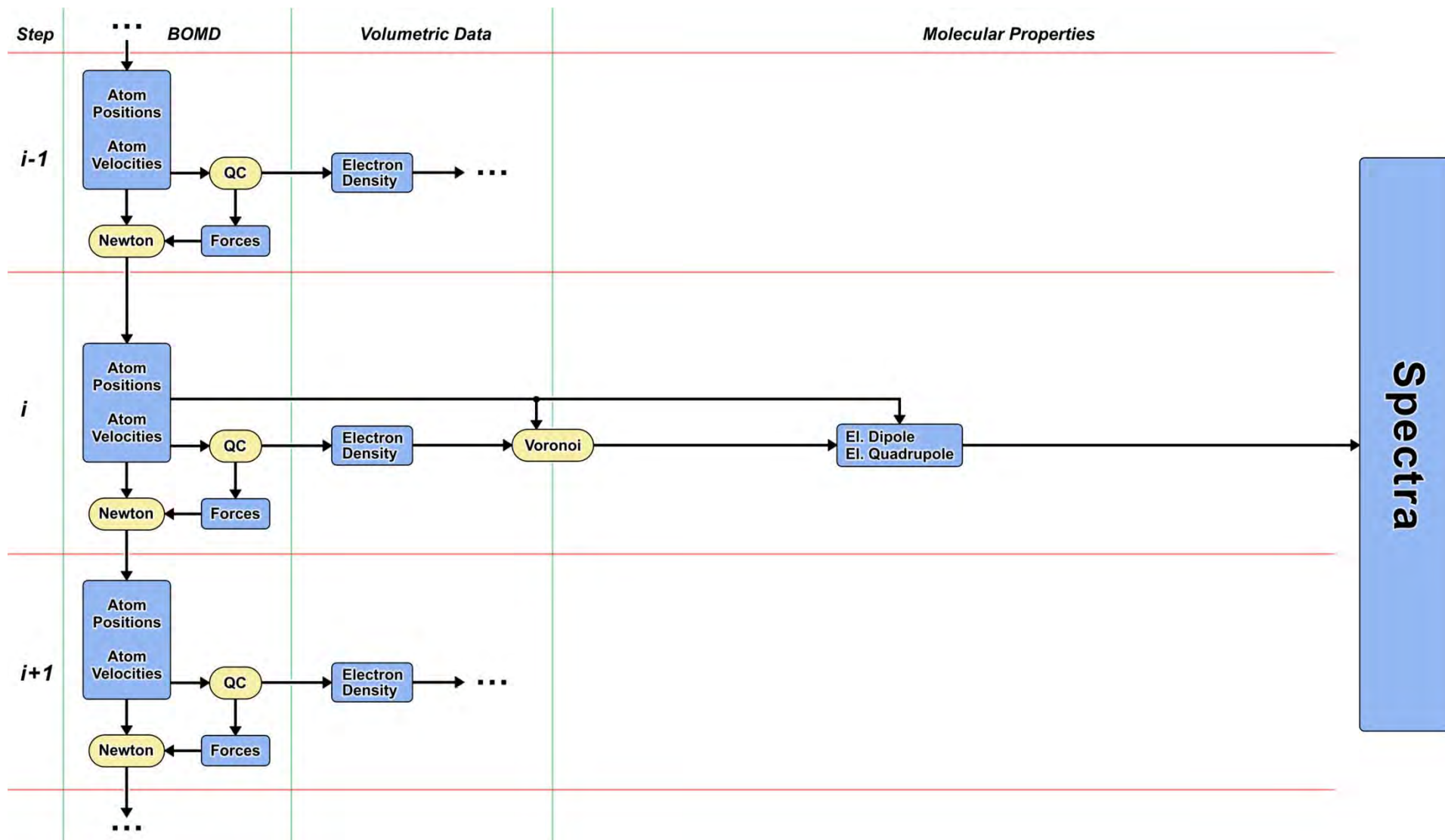
# Our Approach to ROA



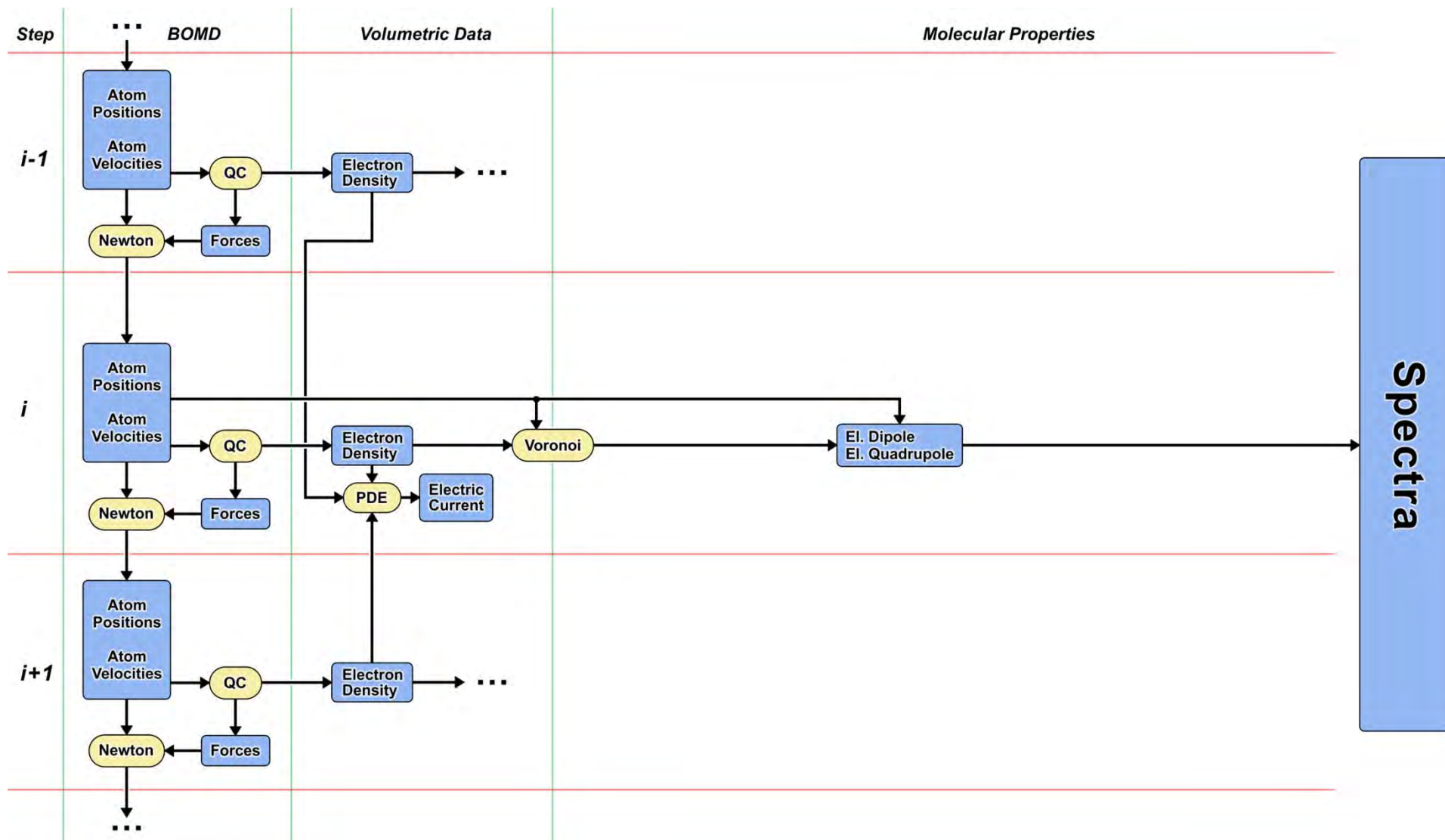
# Our Approach to ROA



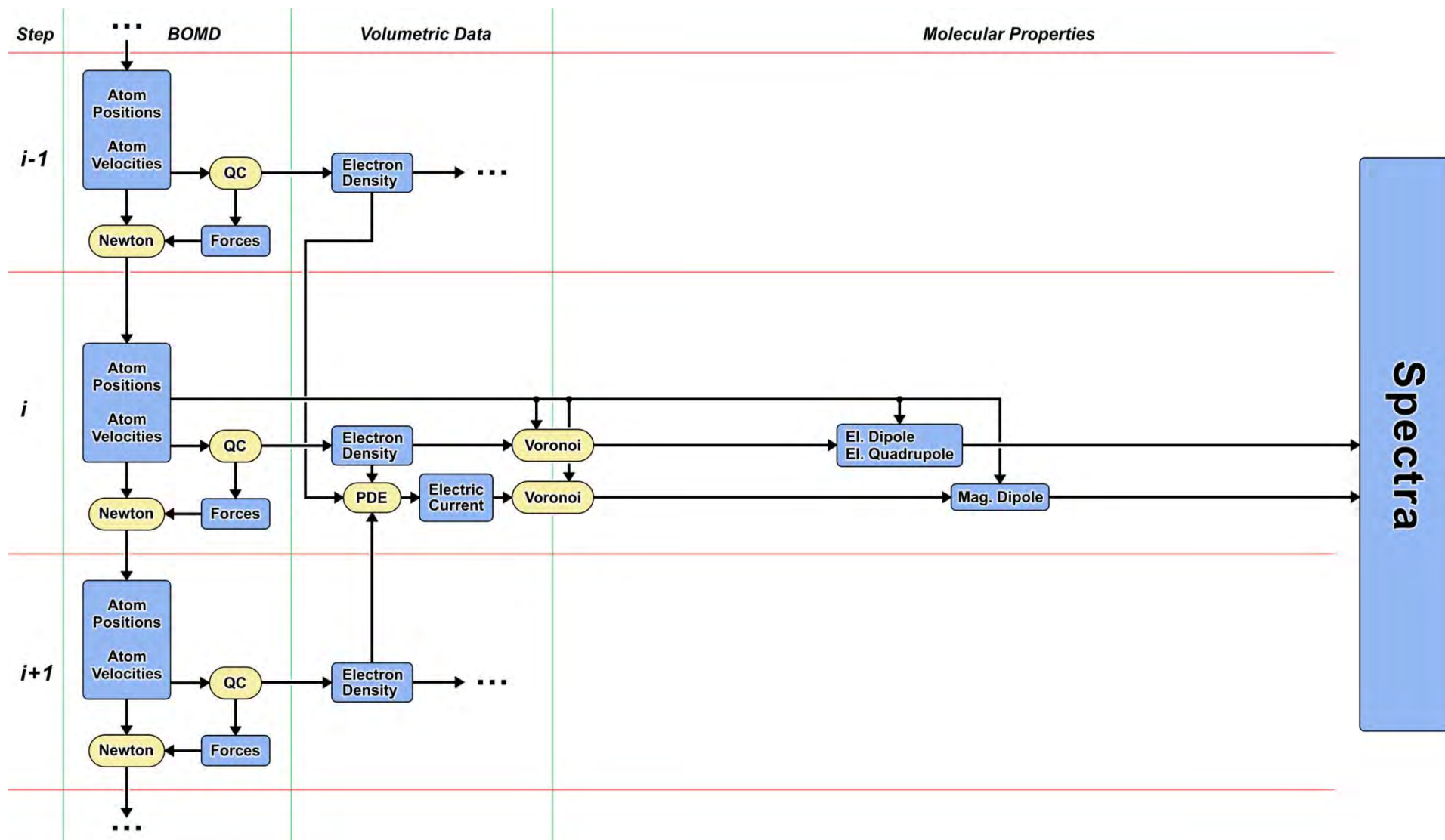
# Our Approach to ROA



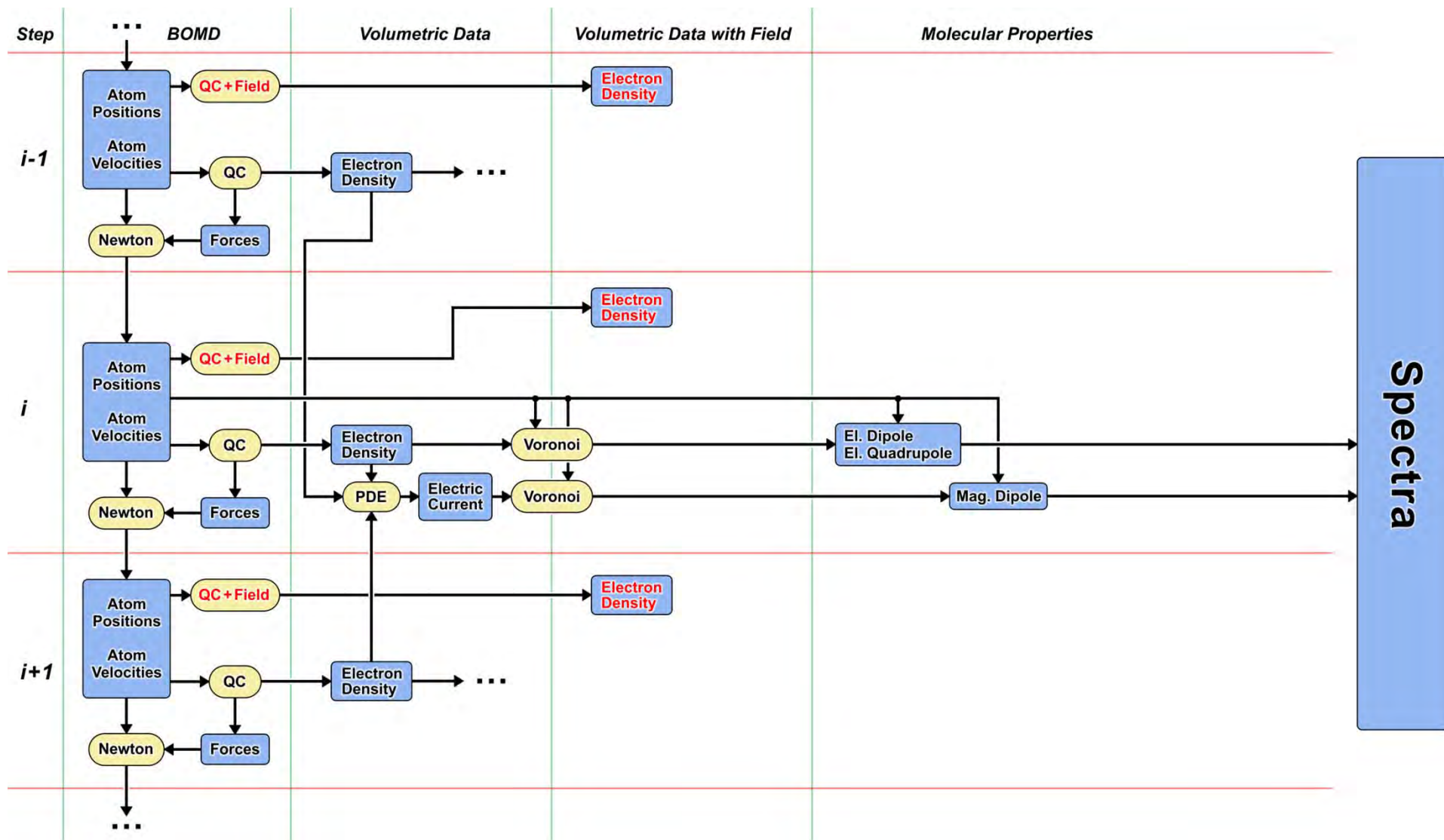
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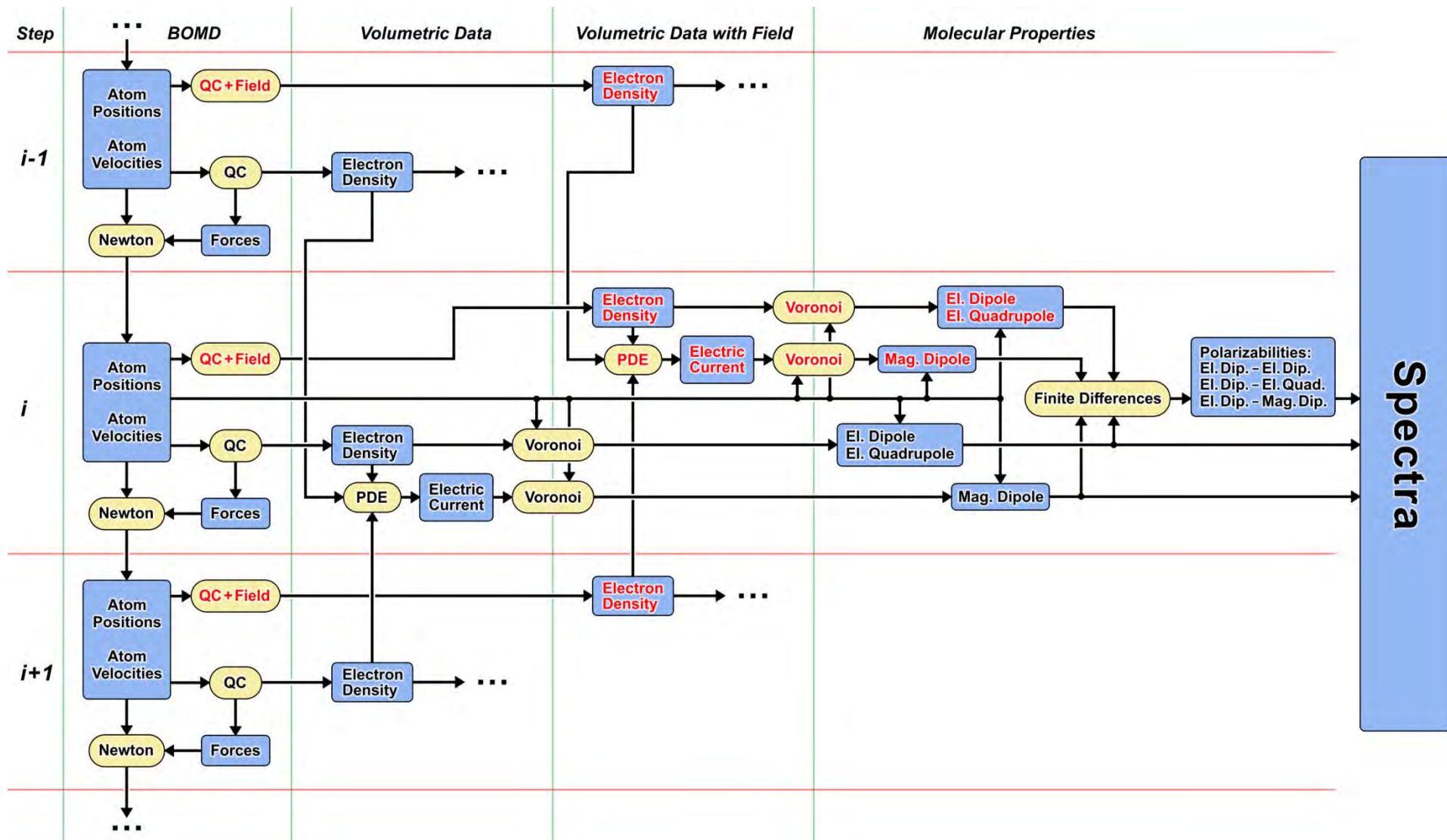


# Our Approach to ROA





# Our Approach to ROA





# Our Approach to ROA

Molecular electric dipole / quadrupole from classical expressions:

$$\mathbf{p}^{\text{Mol}} = \sum_{n=1}^{N_{\text{Mol}}} q_n \mathbf{r}_n - \int_{\text{Mol}} \rho(\mathbf{r}) \mathbf{r} d^3\mathbf{r}$$

$$\mathbf{Q}_{ij}^{\text{Mol}} = \sum_{n=1}^{N_{\text{Mol}}} q_n \left( 3\mathbf{r}_{n,i}\mathbf{r}_{n,j} - \|\mathbf{r}_n\|^2 \delta_{ij} \right) - \int_{\text{Mol}} \rho(\mathbf{r}) \left( 3\mathbf{r}_i\mathbf{r}_j - \|\mathbf{r}\|^2 \delta_{ij} \right) d^3\mathbf{r}$$

Electric current from purely classical PDE on grid.

Molecular magnetic dipole from classical expression:

$$\mathbf{m}^{\text{Mol}} = \frac{1}{2} \sum_{n=1}^{N_{\text{Mol}}} q_n (\mathbf{r}_n \times \mathbf{v}_n) - \frac{1}{2} \int_{\text{Mol}} \mathbf{r} \times \mathbf{j}(\mathbf{r}) d^3\mathbf{r}$$

We always use the molecular center of mass as coordinate origin.

# Our Approach to ROA

Polarizabilities from finite differences (external electric field):

$$\alpha^{\text{Mol}} = \frac{d}{d\mathbf{E}} \mathbf{P}^{\text{Mol}} \quad \textit{Electric dipole – Electric dipole Polarizability}$$

$$\mathcal{A}^{\text{Mol}} = \frac{d}{d\mathbf{E}} \mathbf{Q}^{\text{Mol}} \quad \textit{Electric dipole – Electric quadrupole Polarizability}$$

$$\mathcal{G}'^{\text{Mol}} = \frac{d}{d\mathbf{E}} \mathbf{m}^{\text{Mol}} \quad \textit{Electric dipole – Magnetic dipole Polarizability}$$

# Our Approach to ROA

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$$\mathcal{G}'^{\text{Mol}} = \frac{d}{d\mathbf{E}} \mathbf{m}^{\text{Mol}} \quad \textit{Electric dipole – Magnetic dipole Polarizability}$$

Obtain the required polarizabilities:

$$A^{\text{Mol}} = \mathcal{A}^{\text{Mol}} \quad \textit{Electric quadrupole – Electric dipole Polarizability}$$

$$G'^{\text{Mol}} = -(\mathcal{G}'^{\text{Mol}})^T \quad \textit{Magnetic dipole – Electric dipole Polarizability}$$

# Our Approach to ROA

Compute the ROA invariants via FT of cross-correlations:

$$aG'(\tilde{\nu}) = 2\pi c\tilde{\nu}_{\text{in}} \int_{-\infty}^{\infty} \left\langle \frac{\dot{\alpha}_{xx}(\tau) + \dot{\alpha}_{yy}(\tau) + \dot{\alpha}_{zz}(\tau)}{3} \frac{G'_{xx}(\tau+t) + G'_{yy}(\tau+t) + G'_{zz}(\tau+t)}{3} \right\rangle_{\tau} \cdot \exp(-2\pi i c\tilde{\nu}t) dt$$

$$\begin{aligned} \gamma_{G'}^2(\tilde{\nu}) = 2\pi c\tilde{\nu}_{\text{in}} \int_{-\infty}^{\infty} & \left[ \frac{1}{2} \langle (\dot{\alpha}_{xx}(\tau) - \dot{\alpha}_{yy}(\tau)) (G'_{xx}(\tau+t) - G'_{yy}(\tau+t)) \rangle_{\tau} \right. \\ & + \frac{1}{2} \langle (\dot{\alpha}_{yy}(\tau) - \dot{\alpha}_{zz}(\tau)) (G'_{yy}(\tau+t) - G'_{zz}(\tau+t)) \rangle_{\tau} \\ & + \frac{1}{2} \langle (\dot{\alpha}_{zz}(\tau) - \dot{\alpha}_{xx}(\tau)) (G'_{zz}(\tau+t) - G'_{xx}(\tau+t)) \rangle_{\tau} \\ & + \frac{3}{2} \langle \dot{\alpha}_{xy}(\tau) (G'_{xy}(\tau+t) + G'_{yx}(\tau+t)) \rangle_{\tau} + \frac{3}{2} \langle \dot{\alpha}_{yz}(\tau) (G'_{yz}(\tau+t) + G'_{zy}(\tau+t)) \rangle_{\tau} \\ & \left. + \frac{3}{2} \langle \dot{\alpha}_{zx}(\tau) (G'_{zx}(\tau+t) + G'_{xz}(\tau+t)) \rangle_{\tau} \right] \exp(-2\pi i c\tilde{\nu}t) dt \end{aligned}$$

$$\begin{aligned} \gamma_A^2(\tilde{\nu}) = \pi c\tilde{\nu}_{\text{in}} \int_{-\infty}^{\infty} & \left[ \langle (\dot{\alpha}_{yy}(\tau) - \dot{\alpha}_{xx}(\tau)) \dot{A}_{z,xy}(\tau+t) \rangle_{\tau} \right. \\ & + \langle (\dot{\alpha}_{xx}(\tau) - \dot{\alpha}_{zz}(\tau)) \dot{A}_{y,zx}(\tau+t) \rangle_{\tau} + \langle (\dot{\alpha}_{zz}(\tau) - \dot{\alpha}_{yy}(\tau)) \dot{A}_{x,yz}(\tau+t) \rangle_{\tau} \\ & + \langle \dot{\alpha}_{xy}(\tau) (\dot{A}_{y,yz}(\tau+t) - \dot{A}_{z,yy}(\tau+t) + \dot{A}_{z,xx}(\tau+t) - \dot{A}_{x,xz}(\tau+t)) \rangle_{\tau} \\ & + \langle \dot{\alpha}_{yz}(\tau) (\dot{A}_{z,zx}(\tau+t) - \dot{A}_{x,zz}(\tau+t) + \dot{A}_{x,yy}(\tau+t) - \dot{A}_{y,yx}(\tau+t)) \rangle_{\tau} \\ & \left. + \langle \dot{\alpha}_{zx}(\tau) (\dot{A}_{y,zz}(\tau+t) - \dot{A}_{z,zy}(\tau+t) + \dot{A}_{x,xy}(\tau+t) - \dot{A}_{y,xx}(\tau+t)) \rangle_{\tau} \right] \\ & \cdot \exp(-2\pi i c\tilde{\nu}t) dt \end{aligned}$$

# Our Approach to ROA

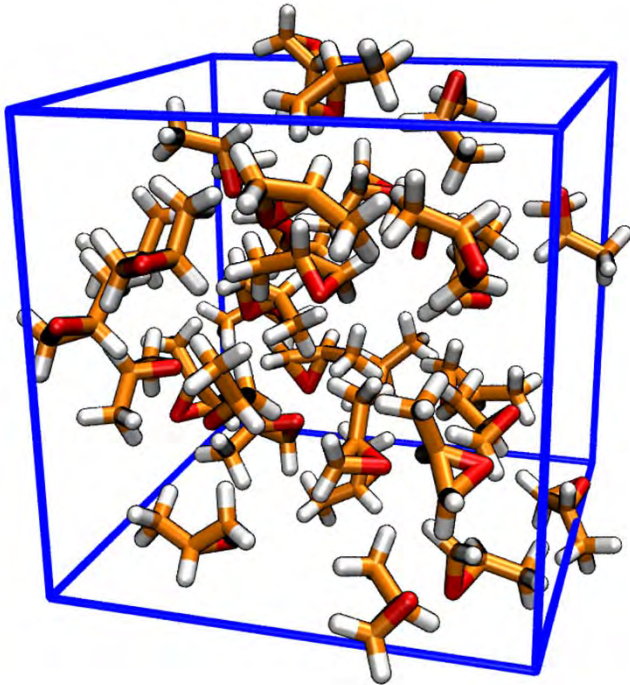
Assemble the ROA spectrum as linear combination of invariants:

$$\Delta I(\tilde{\nu}) = \frac{h}{8\varepsilon_0^2 ck_B T} \cdot \frac{(\tilde{\nu}_{\text{in}} - \tilde{\nu})^4}{\tilde{\nu} \left(1 - \exp\left(-\frac{hc\tilde{\nu}}{k_B T}\right)\right)} \cdot \frac{1}{90} \left( X \cdot aG'(\tilde{\nu}) + Y \cdot \gamma_{G'}^2(\tilde{\nu}) + Z \cdot \gamma_A^2(\tilde{\nu}) \right)$$

Coefficient values from literature:

Scattering angle	Polarization	$X$	$Y$	$Z$
$0^\circ$	$\Delta I^\perp = \Delta I^\parallel$	360	8	-8
$0^\circ$	$\Delta I$	720	16	-16
$90^\circ$	$\Delta I^\perp$	180	28	4
$90^\circ$	$\Delta I^\parallel$	0	24	-8
$90^\circ$	$\Delta I$	180	52	-4
$180^\circ$	$\Delta I^\perp = \Delta I^\parallel$	0	48	16
$180^\circ$	$\Delta I$	0	96	32

# Application



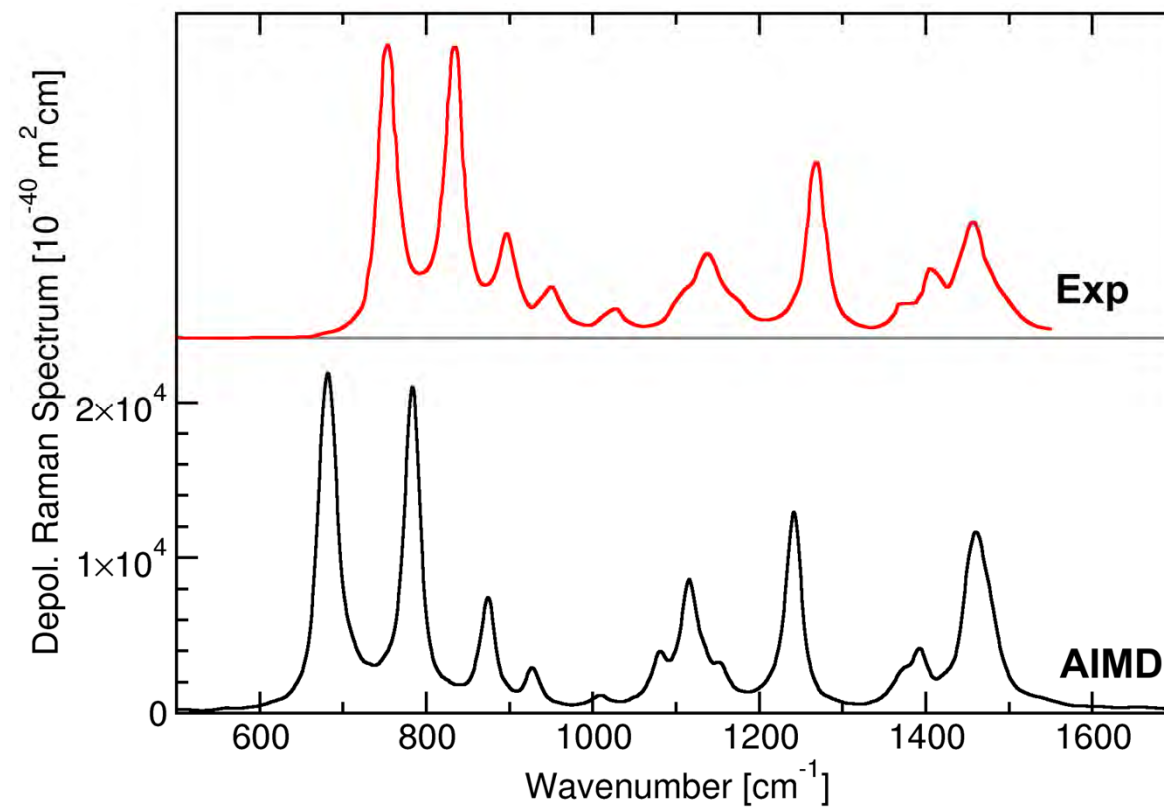
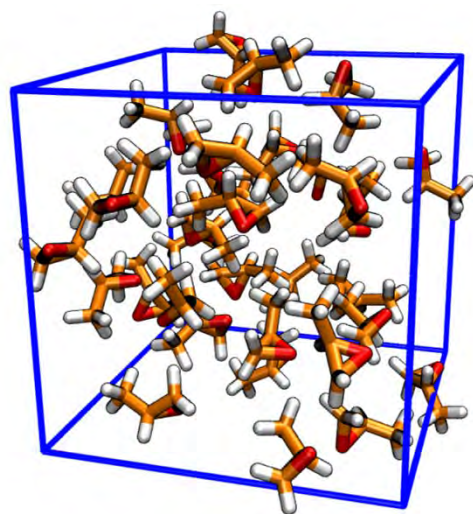
Liquid (*R*)-propylene oxide

32 molecules, 300 K

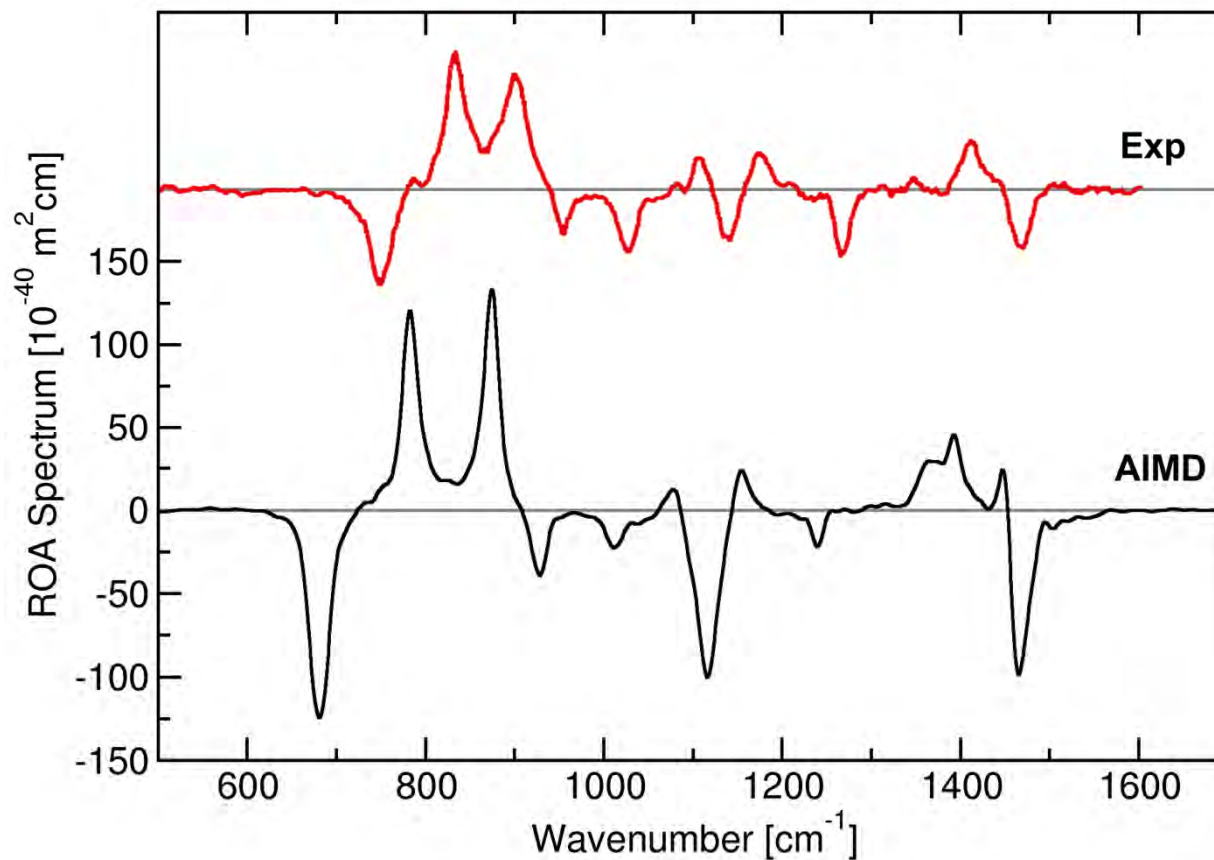
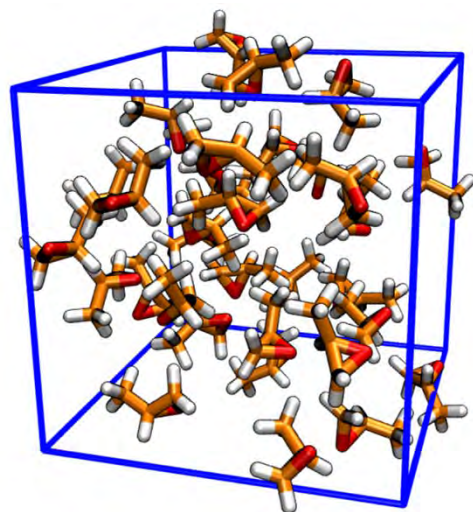
Standard AIMD (BLYP-D3)

65 000 steps (32.5 ps) production run

# Raman Spectrum



# ROA Spectrum



The goal is completely achieved 😊

First predicted bulk phase ROA spectrum.




# Published in Summer 2017:

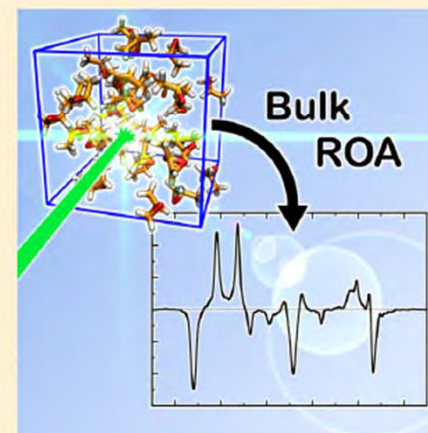
## Computing Bulk Phase Raman Optical Activity Spectra from *ab initio* Molecular Dynamics Simulations

Martin Brehm\*<sup>1</sup> and Martin Thomas

Institut für Chemie - Theoretische Chemie, Martin-Luther-Universität Halle-Wittenberg, Von-Danckelmann-Platz 4, 06120 Halle (Saale), Germany

 Supporting Information

**ABSTRACT:** We present our novel methodology for computing Raman optical activity (ROA) spectra of liquid systems from *ab initio* molecular dynamics (AIMD) simulations. The method is built upon the recent developments to obtain magnetic dipole moments from AIMD and to integrate molecular properties by using radical Voronoi tessellation. These techniques are used to calculate optical activity tensors for large and complex periodic bulk phase systems. Only AIMD simulations are required as input, and no time-consuming perturbation theory is involved. The approach relies only on the total electron density in each time step and can readily be combined with a wide range of electronic structure methods. To the best of our knowledge, these are the first computed ROA spectra for a periodic bulk phase system. As an example, the experimental ROA spectrum of liquid (*R*)-propylene oxide is reproduced very well.



M. Brehm, M. Thomas: „Computing Bulk Phase Raman Optical Activity Spectra from *ab initio* Molecular Dynamics Simulations“ , *J. Phys. Chem. Lett.* **2017**, 8 (14), pp 3409-3414.

## **Full Set of Vibrational Spectra**

Now the full set of vibrational spectra (IR, Raman, VCD, ROA) of a bulk phase system can be computed in one go with CP2k and TRAVIS.

## Power Spectra

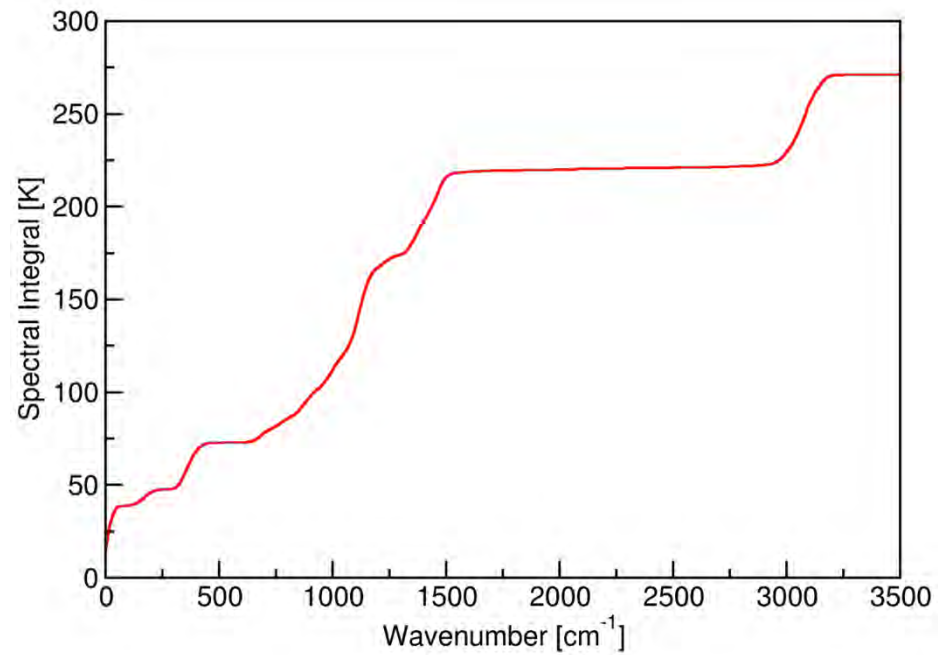
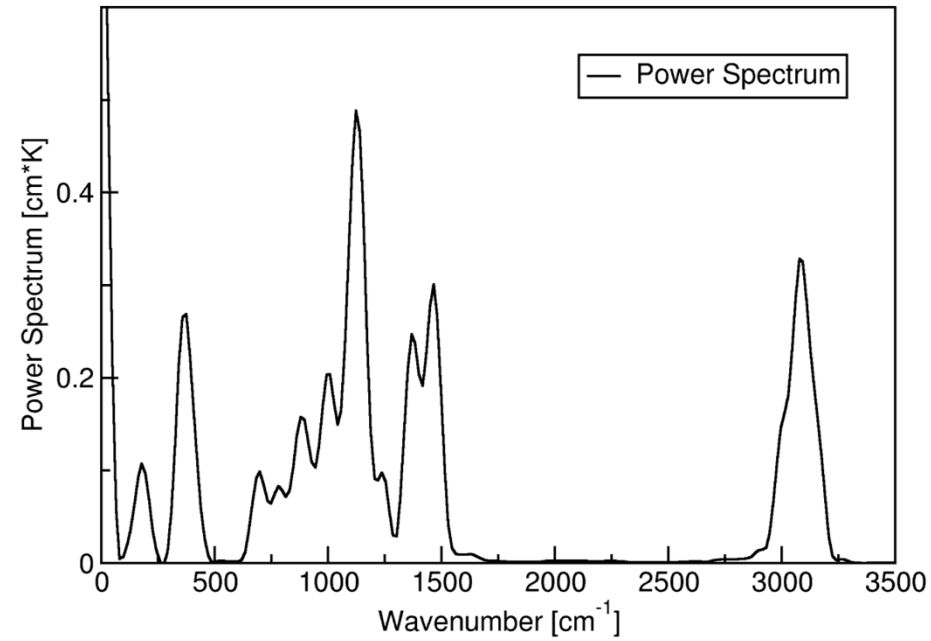
- Also known as vibrational density of states or phonon density of states
  - Can be simply computed from any standard MD as the FT of the velocity autocorrelation function
  - Contains all spectral modes of the system, no matter if they are active in IR / Raman / etc.
  - A mode in IR / Raman / VCD / ROA can only appear at positions where a band in the power spectrum is
- Power spectrum contains all band frequency information; only the intensities are determined by the different methods

## Power Spectra

- Nice feature: If the unit of the power spectrum is  $\text{cm}^{-1} \cdot \text{K}$  (such as in TRAVIS), the integral is in Kelvin
- Can determine the „temperature inside some mode“ by simply integrating over the corresponding band

This can help checking the equipartition theorem (i.e. if the trajectory is well equilibrated or not)

# Power Spectra



## Separation of Frequencies and Intensities

Possibility to compute the trajectory with one method, and then compute the electron densities with some other method

→ Much flexibility

You could, e.g., compute a MP2 dynamics trajectory, and then compute electron densities with DFT

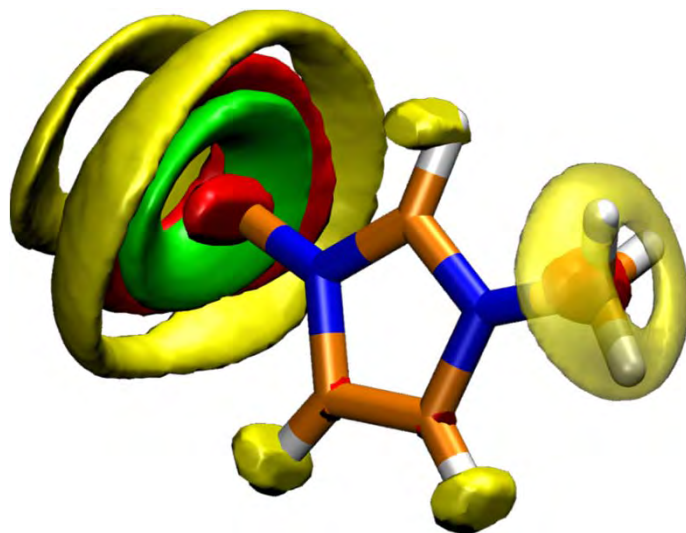
→ Correct band positions (MP2 dynamics) together with DFT band intensities

Vice versa is also possible

# Available in TRAVIS

The methods presented here are all implemented in our freeware program package TRAVIS.

<http://www.travis-analyzer.de/>



You can start computing bulk phase ROA spectra today 😊

# Computational Resources

Used core hours (ch) on Intel Xeon “Haswell” @2.4 GHz:

2 350 ch for equilibration

25 500 ch for AIMD (incl. 3 external field directions)

2 000 ch to solve the PDE

→ Approx 30 000 ch in total.

Takes  $\approx 3$  weeks on a „small“  
server with 64 cores.



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Takes  $\approx 3$  weeks on a „small“ server with 64 cores.

Available for < 10 000 € today.



# Computational Resources

What about the disk storage?

Electron density grid is  $160 \times 160 \times 160$  in our case.

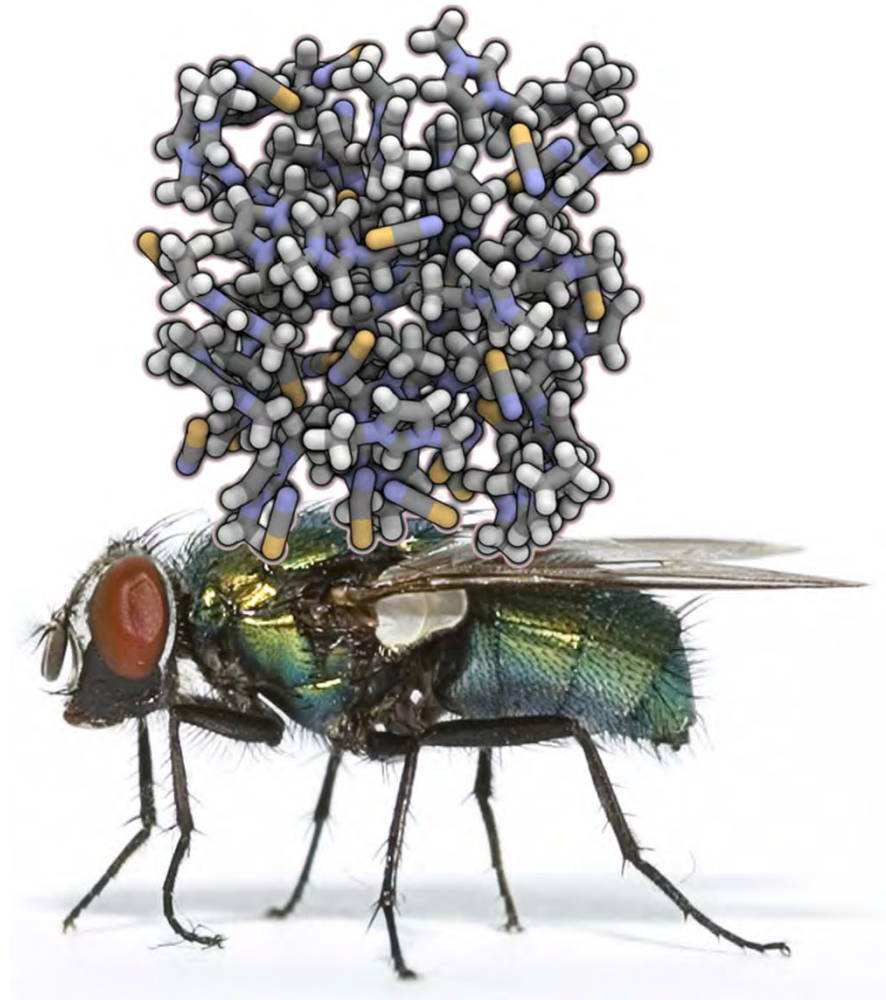
→ 4 million data points per time step.

CUBE file is 52 MiB per frame.

We require  $4 \times 65\,000$  frames.

→ 13 Terabyte of data for one ROA spectrum 

# On-the-fly Processing



→ No need to store full electron density trajectory.

# Computational Resources

Computation can be done „on the fly“:

CP2k writes CUBE files; TRAVIS reads and deletes them.

No more disk space requirements at all.

**But:** Very bad during development phase of code...

# Computational Resources

What about *bzip2*-ing the CUBE files?

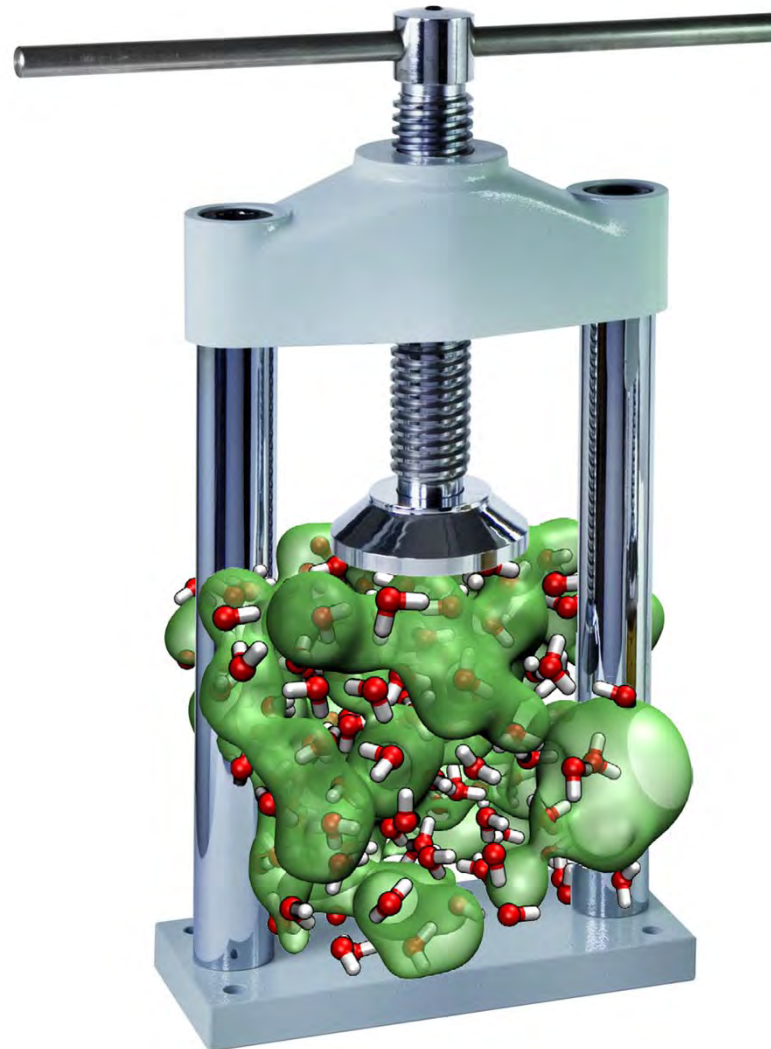
Compression ratio is around 4.5 : 1

→ Still 3 Terabyte; **very** slow to compress...

Compressing the CUBE files would take longer than the AIMD itself 



# 3. Compression of Volumetric Data

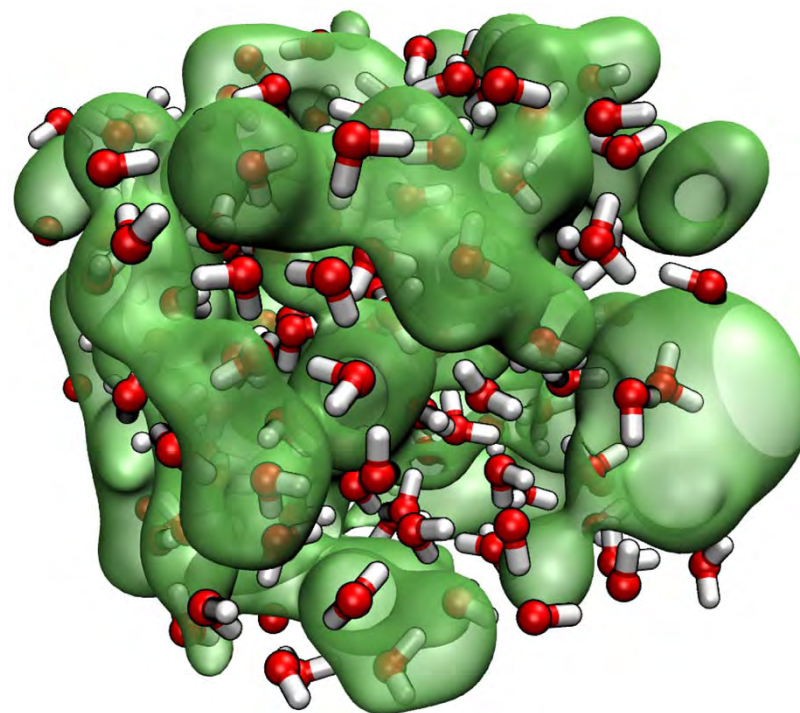


# What are Volumetric Data Trajectories?

**Answer:** A consecutive sequence of 3D cartesian grid frames with real numbers

## Examples:

- Electron Density
- Molecular Orbitals
- Electrostatic Potential

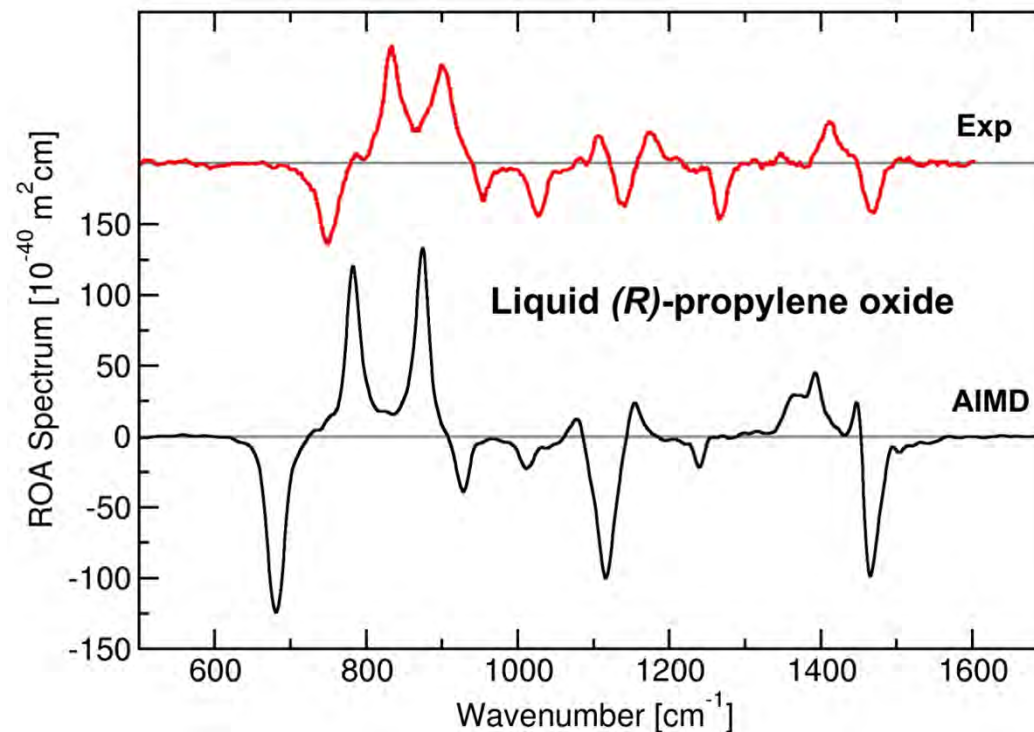




# Who needs Volumetric Data Trajectories?

## Typical Applications:

- Partial Charges from Electron Density
- Electric Dipole / Quadrupole Moments
- Vibrational Spectroscopy (IR, Raman, VCD, ROA)



# How are Volumetric Data Trajectories stored?

„Standard“ (since  $\approx 40$  years): Gaussian Cube Files

- Simple text file format
- Used by many programs (Gaussian, Orca, CP2k, CPMD, TurboMole, etc.)
- Trajectory is simple concatenation of single frames
- Typical resolution:

$100 \times 100 \times 100$

to

$300 \times 300 \times 300$

```
-Quickstep-  
ELECTRON DENSITY  
320 0.000000 0.000000 0.000000  
160 0.184053 0.000000 0.000000  
160 0.000000 0.184053 0.000000  
160 0.000000 0.000000 0.184053  
6 0.000000 20.102072 20.046646 5.104961  
6 0.000000 21.818315 19.569134 3.053013  
6 0.000000 18.173905 22.125253 4.939110  
1 0.000000 20.811234 19.834254 7.084541  
...  
1 0.000000 8.440611 6.073738 9.474705  
1 0.000000 7.949889 7.549963 12.643419  
8 0.000000 8.789560 11.402496 7.658100  
0.79844E-02 0.75825E-02 0.69447E-02 0.61531E-02 0.52995E-02 0.44648E-02  
0.37078E-02 0.30622E-02 0.25410E-02 0.21434E-02 0.18602E-02 0.16791E-02  
0.15863E-02 0.15679E-02 0.16100E-02 0.16988E-02 0.18205E-02 0.19611E-02  
0.21068E-02 0.22444E-02 0.23621E-02 0.24505E-02 0.25035E-02 0.25189E-02  
0.24984E-02 0.24468E-02 0.23716E-02 0.22808E-02 0.21822E-02 0.20823E-02  
0.19854E-02 0.18937E-02 0.18070E-02 0.17239E-02 0.16422E-02 0.15594E-02  
0.14735E-02 0.13837E-02 0.12905E-02 0.11961E-02 0.11036E-02 0.10174E-02  
0.94286E-03 0.88572E-03 0.85243E-03 0.84999E-03 0.88608E-03 0.96908E-03  
0.11082E-02 0.13135E-02 0.15953E-02 0.19631E-02 0.24228E-02 0.29723E-02  
0.35962E-02 0.42610E-02 0.49139E-02 0.54874E-02 0.59111E-02 0.61287E-02  
0.61145E-02 0.58805E-02 0.54740E-02 0.49642E-02 0.44264E-02 0.39296E-02
```

# What is the Problem?

## Consider the Bulk ROA spectrum:

- Grid of 160 x 160 x 160 points (*that's still small!*)
- 65 000 time steps
- 4 trajectories for polarizability  
(*without field and X, Y, Z field direction*)

One Cube frame is 52 MiB

$52 \text{ MiB} \times 65\,000 \times 4 = 13 \text{ TiB}$  data for **one** spectrum 

# What is the Problem?

## What about compression tools (bzip2)?

Can achieve ratio of  $\approx 4.5 : 1$   $\rightarrow$  still 3 TiB...

Compression time is longer than AIMD simulation 

$\rightarrow$  We needed a better solution.

# Two Kinds of Compression Algorithms



## Generic Algorithms

- Take *any* input data
- Always *lossless*
- Don't have any *a priori* knowledge on data structuring
- Examples: zip, gz, bz2

## Specialized Algorithms

- Very specific input data
- Exploit known structure of data (*heuristic*)
- Examples:  
mp3, jpg (*lossy*)  
ogg, png (*lossless*)

→ We need a specialized algorithm for volumetric data.

# Volumetric Data Structure

## What we need:

- Efficient **lossless** compression algorithm  
(*to the accuracy of the input data*)

## What we know:

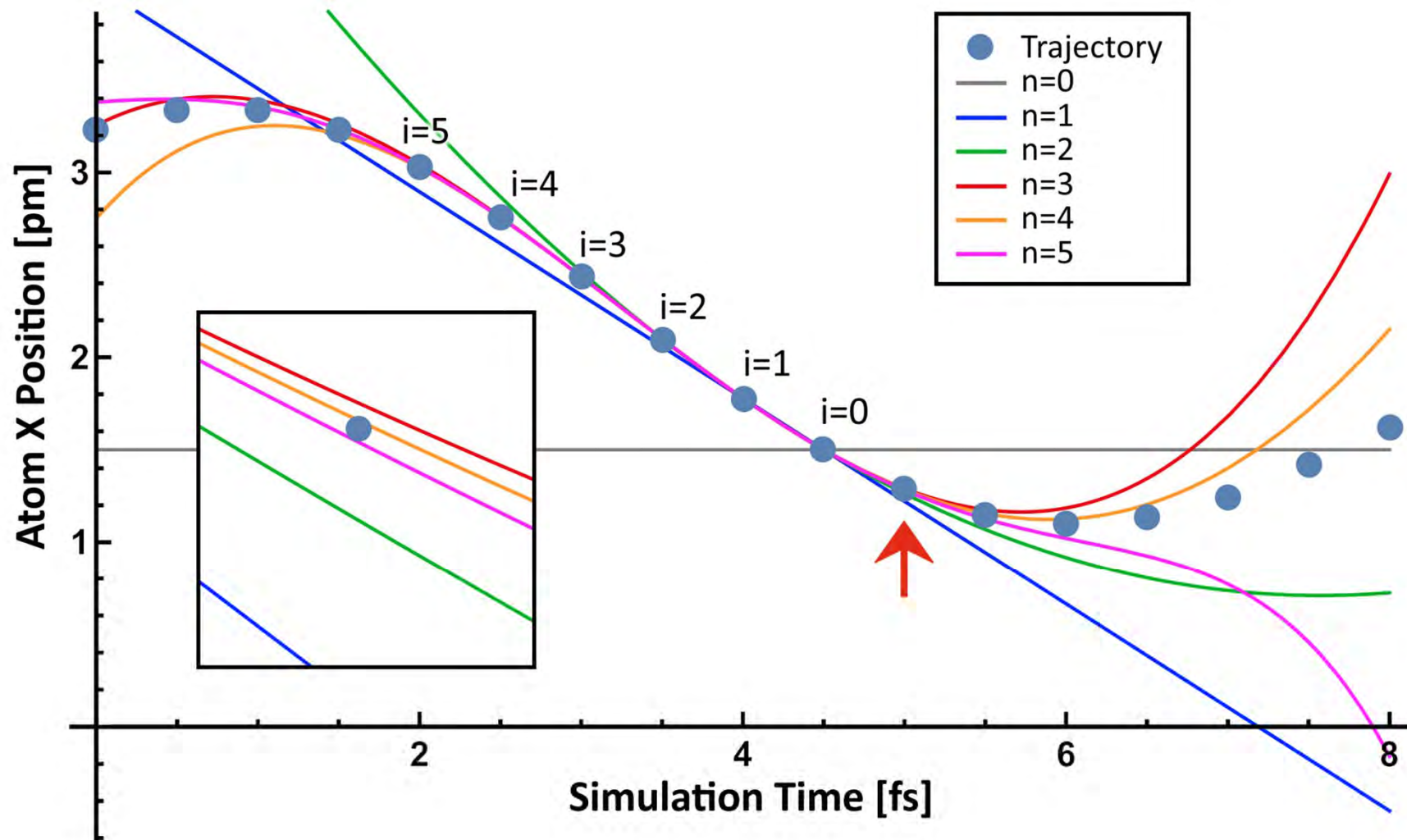
- Volumetric data is spatially continuous  
(smooth, *no sharp edges*)
  - can be exploited
- If from a MD simulation, data is also temporally continuous (*no abrupt jumps*)
  - can be exploited

# General Idea

- Before processing each bin, estimate (*extrapolate*) its value based on earlier values (*both space and time*)
- Then look at the true value and store the deviation from the estimate
- Finite precision: Deviation can be stored as integer numbers
- This converts the input grid of real values into a stream of integers
- **If the extrapolation is good, the integers are small in value (*reduction of information entropy!*)**
- Finally: Compress integer stream by entropy encoding

# Polynomial Extrapolation

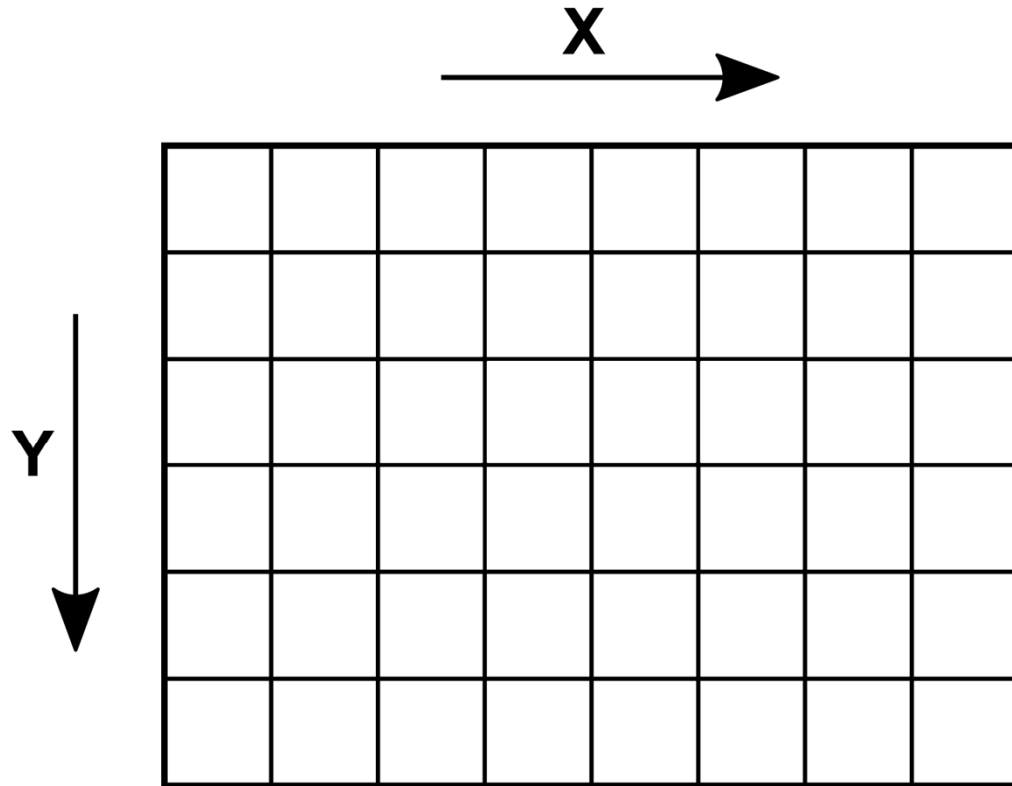
Is easy in 1D:





# Polynomial Extrapolation

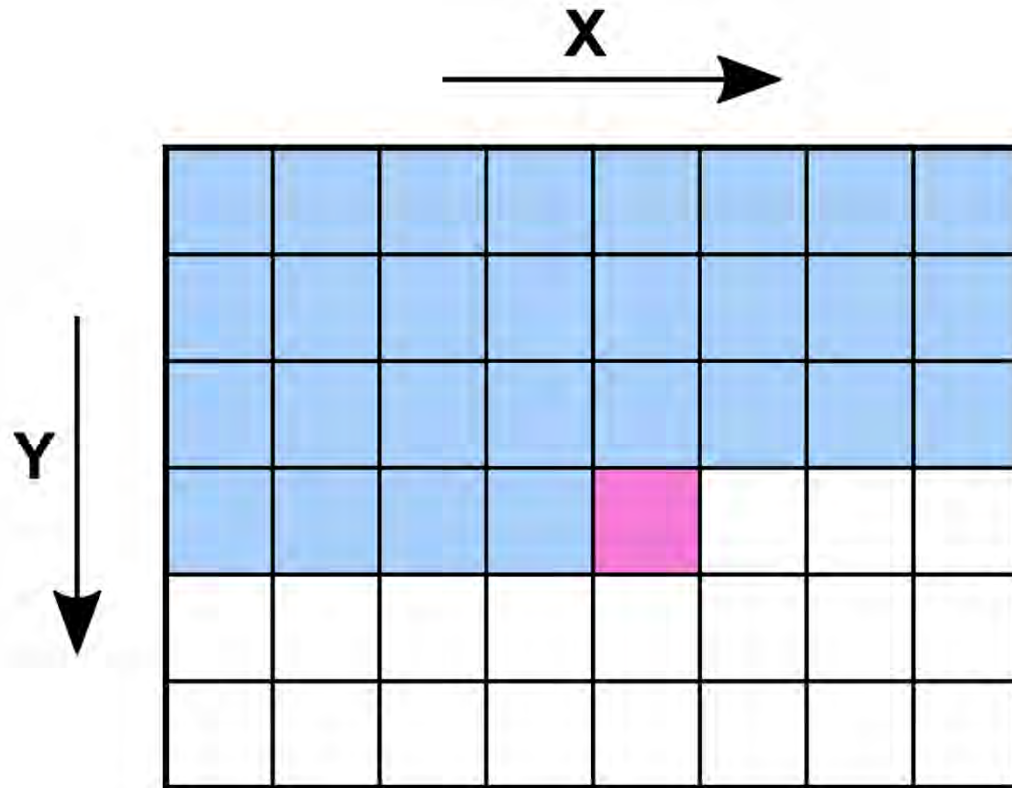
But how to proceed in more dimensions?





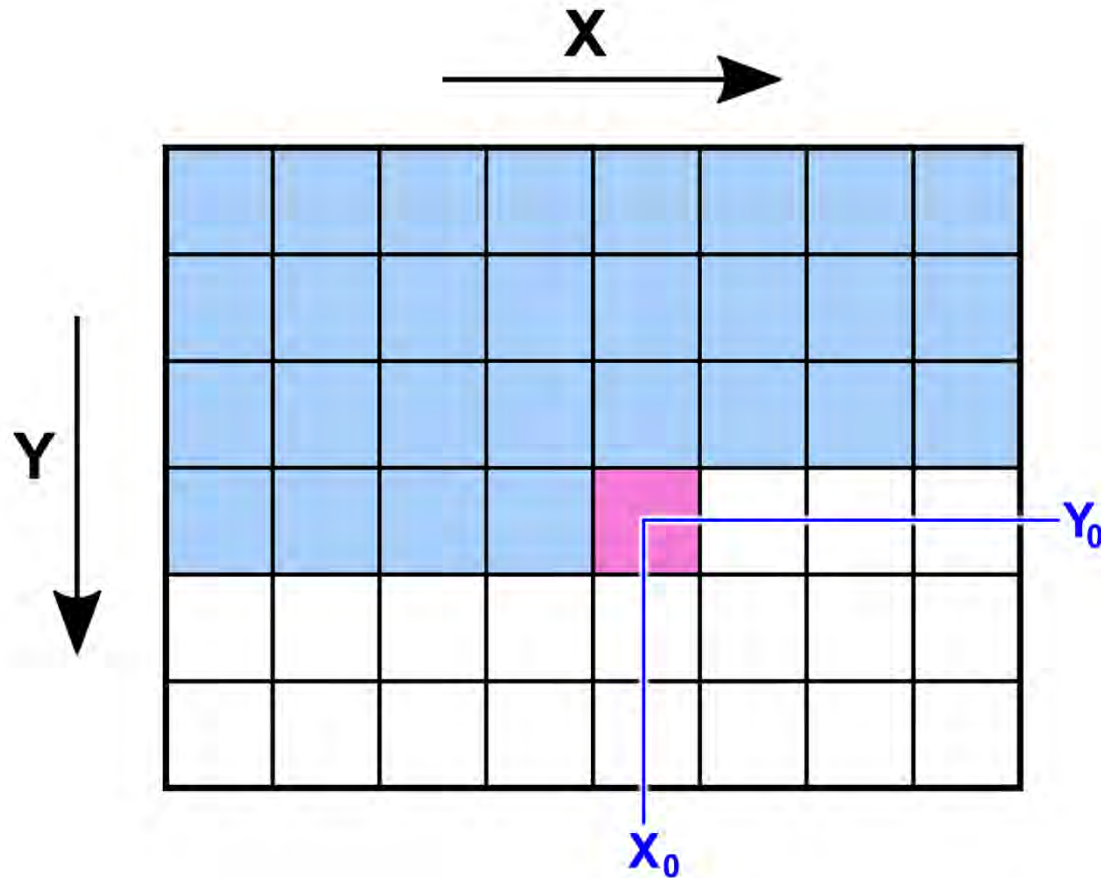
# Polynomial Extrapolation

But how to proceed in more dimensions?



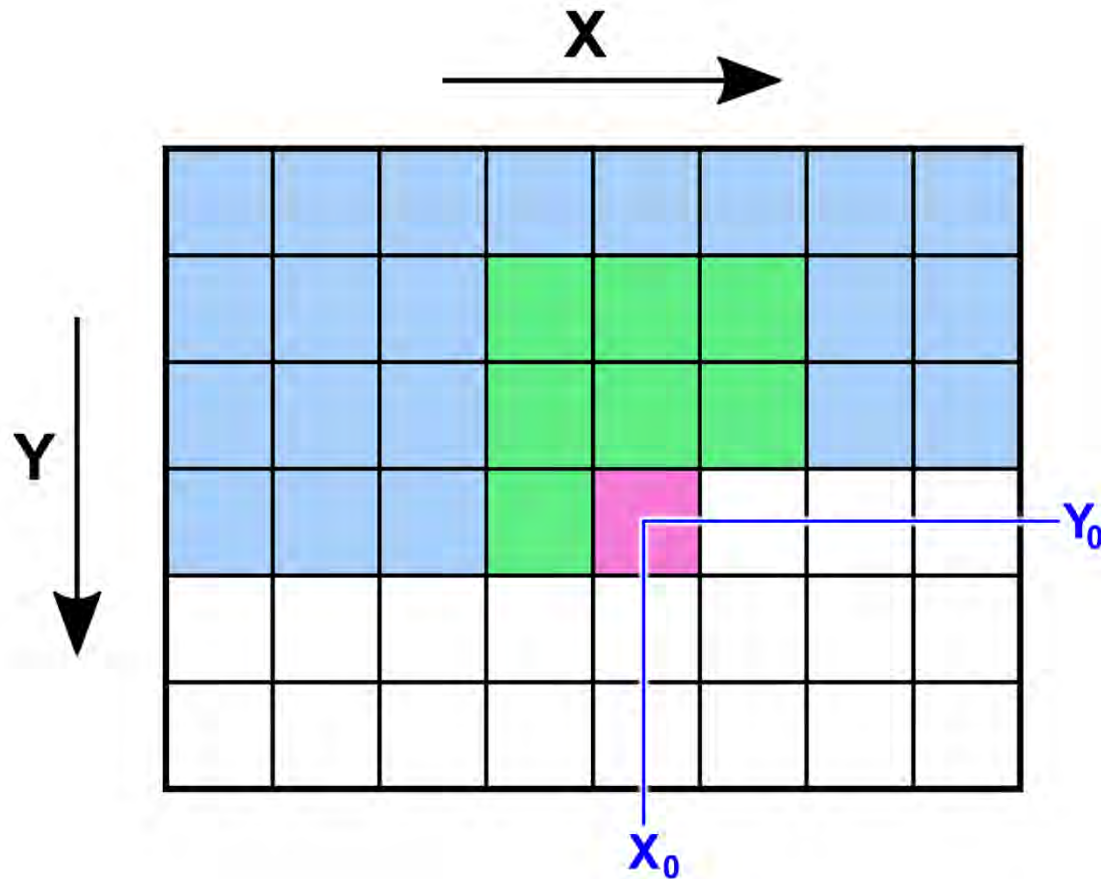
# Polynomial Extrapolation

But how to proceed in more dimensions?



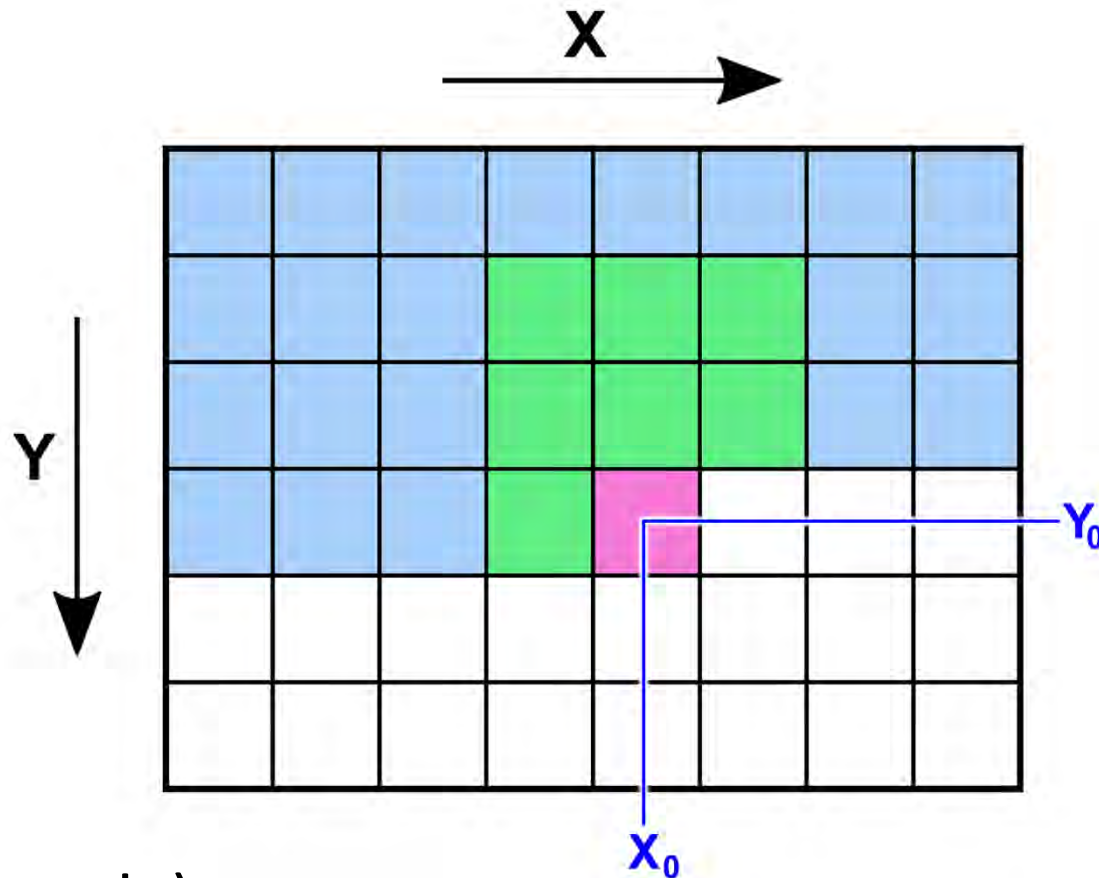
# Polynomial Extrapolation

But how to proceed in more dimensions?



# Polynomial Extrapolation

But how to proceed in more dimensions?



Ansatz (example):

$$F(x, y) = c_0 + c_x \cdot x + c_y \cdot y + c_{xy} \cdot xy + c_{x^2} \cdot x^2$$

$$F(x, y) = c_0 + c_x \cdot x + c_y \cdot y + c_{xy} \cdot xy + c_{x^2} \cdot x^2$$

→ Linear System of Equations (7 equations, 5 unknowns):

$$F(x_0-1, y_0-2) = c_0 + c_x(x_0-1) + c_y(y_0-2) + c_{xy}(x_0-1)(y_0-2) + c_{x^2}(x_0-1)^2$$

$$F(x_0, y_0-2) = c_0 + c_x x_0 + c_y(y_0-2) + c_{xy} x_0 (y_0-2) + c_{x^2} x_0^2$$

$$F(x_0+1, y_0-2) = c_0 + c_x(x_0+1) + c_y(y_0-2) + c_{xy}(x_0+1)(y_0-2) + c_{x^2}(x_0+1)^2$$

$$F(x_0-1, y_0-1) = c_0 + c_x(x_0-1) + c_y(y_0-1) + c_{xy}(x_0-1)(y_0-1) + c_{x^2}(x_0-1)^2$$

$$F(x_0, y_0-1) = c_0 + c_x x_0 + c_y(y_0-1) + c_{xy} x_0 (y_0-1) + c_{x^2} x_0^2$$

$$F(x_0+1, y_0-1) = c_0 + c_x(x_0+1) + c_y(y_0-1) + c_{xy}(x_0+1)(y_0-1) + c_{x^2}(x_0+1)^2$$

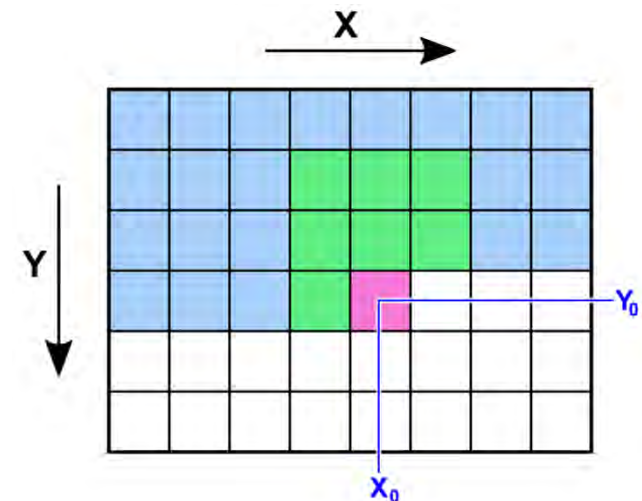
$$F(x_0-1, y_0) = c_0 + c_x(x_0-1) + c_y y_0 + c_{xy}(x_0-1) y_0 + c_{x^2}(x_0-1)^2$$

System is **over-determined**.

→ no exact solution, only least squares.

How to solve it? Bring it in matrix form!

$$A \cdot \mathbf{x} = \mathbf{b}$$



$$F(x, y) = c_0 + c_x \cdot x + c_y \cdot y + c_{xy} \cdot xy + c_{x^2} \cdot x^2$$

→ Linear System of Equations (7 equations, 5 unknowns):

$$F(x_0-1, y_0-2) = c_0 + c_x(x_0-1) + c_y(y_0-2) + c_{xy}(x_0-1)(y_0-2) + c_{x^2}(x_0-1)^2$$

$$F(x_0, y_0-2) = c_0 + c_x x_0 + c_y(y_0-2) + c_{xy} x_0 (y_0-2) + c_{x^2} x_0^2$$

$$F(x_0+1, y_0-2) = c_0 + c_x(x_0+1) + c_y(y_0-2) + c_{xy}(x_0+1)(y_0-2) + c_{x^2}(x_0+1)^2$$

$$F(x_0-1, y_0-1) = c_0 + c_x(x_0-1) + c_y(y_0-1) + c_{xy}(x_0-1)(y_0-1) + c_{x^2}(x_0-1)^2$$

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$$F(x_0-1, y_0) = c_0 + c_x(x_0-1) + c_y y_0 + c_{xy}(x_0-1) y_0 + c_{x^2}(x_0-1)^2$$

**Matrix Form:**

$$\begin{pmatrix} 1 & (x_0-1) & (y_0-2) & (x_0-1)(y_0-2) & (x_0-1)^2 \\ 1 & x_0 & (y_0-2) & x_0(y_0-2) & x_0^2 \\ 1 & (x_0+1) & (y_0-2) & (x_0+1)(y_0-2) & (x_0+1)^2 \\ 1 & (x_0-1) & (y_0-1) & (x_0-1)(y_0-1) & (x_0-1)^2 \\ 1 & x_0 & (y_0-1) & x_0(y_0-1) & x_0^2 \\ 1 & (x_0+1) & (y_0-1) & (x_0+1)(y_0-1) & (x_0+1)^2 \\ 1 & (x_0-1) & y_0 & (x_0-1)y_0 & (x_0-1)^2 \end{pmatrix} \cdot \begin{pmatrix} c_0 \\ c_x \\ c_y \\ c_{xy} \\ c_{x^2} \end{pmatrix} = \begin{pmatrix} F(x_0-1, y_0-2) \\ F(x_0, y_0-2) \\ F(x_0+1, y_0-2) \\ F(x_0-1, y_0-1) \\ F(x_0, y_0-1) \\ F(x_0+1, y_0-1) \\ F(x_0-1, y_0) \end{pmatrix}$$



## Matrix Form:

$$\begin{pmatrix} 1 & (x_0-1) & (y_0-2) & (x_0-1)(y_0-2) & (x_0-1)^2 \\ 1 & x_0 & (y_0-2) & x_0(y_0-2) & x_0^2 \\ 1 & (x_0+1) & (y_0-2) & (x_0+1)(y_0-2) & (x_0+1)^2 \\ 1 & (x_0-1) & (y_0-1) & (x_0-1)(y_0-1) & (x_0-1)^2 \\ 1 & x_0 & (y_0-1) & x_0(y_0-1) & x_0^2 \\ 1 & (x_0+1) & (y_0-1) & (x_0+1)(y_0-1) & (x_0+1)^2 \\ 1 & (x_0-1) & y_0 & (x_0-1)y_0 & (x_0-1)^2 \end{pmatrix} \cdot \begin{pmatrix} c_0 \\ c_x \\ c_y \\ c_{xy} \\ c_{x^2} \end{pmatrix} = \begin{pmatrix} F(x_0-1, y_0-2) \\ F(x_0, y_0-2) \\ F(x_0+1, y_0-2) \\ F(x_0-1, y_0-1) \\ F(x_0, y_0-1) \\ F(x_0+1, y_0-1) \\ F(x_0-1, y_0) \end{pmatrix}$$

We define  $x_0 = 0, y_0 = 0$

$$\begin{pmatrix} 1 & -1 & -2 & 2 & 1 \\ 1 & 0 & -2 & 0 & 0 \\ 1 & 1 & -2 & -2 & 1 \\ 1 & -1 & -1 & 1 & 1 \\ 1 & 0 & -1 & 0 & 0 \\ 1 & 1 & -1 & -1 & 1 \\ 1 & -1 & 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} c_0 \\ c_x \\ c_y \\ c_{xy} \\ c_{x^2} \end{pmatrix} = \begin{pmatrix} F(-1, -2) \\ F(0, -2) \\ F(1, -2) \\ F(-1, -1) \\ F(0, -1) \\ F(1, -1) \\ F(-1, 0) \end{pmatrix}$$

## Matrix Form:

$$\begin{pmatrix} 1 & (x_0-1) & (y_0-2) & (x_0-1)(y_0-2) & (x_0-1)^2 \\ 1 & x_0 & (y_0-2) & x_0(y_0-2) & x_0^2 \\ 1 & (x_0+1) & (y_0-2) & (x_0+1)(y_0-2) & (x_0+1)^2 \\ 1 & (x_0-1) & (y_0-1) & (x_0-1)(y_0-1) & (x_0-1)^2 \\ 1 & x_0 & (y_0-1) & x_0(y_0-1) & x_0^2 \\ 1 & (x_0+1) & (y_0-1) & (x_0+1)(y_0-1) & (x_0+1)^2 \\ 1 & (x_0-1) & y_0 & (x_0-1)y_0 & (x_0-1)^2 \end{pmatrix} \cdot \begin{pmatrix} c_0 \\ c_x \\ c_y \\ c_{xy} \\ c_{x^2} \end{pmatrix} = \begin{pmatrix} F(x_0-1, y_0-2) \\ F(x_0, y_0-2) \\ F(x_0+1, y_0-2) \\ F(x_0-1, y_0-1) \\ F(x_0, y_0-1) \\ F(x_0+1, y_0-1) \\ F(x_0-1, y_0) \end{pmatrix}$$

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**How to solve such a system?**

$$A \cdot \mathbf{x} = \mathbf{b}$$

$$\mathbf{x} = A^{-1} \cdot \mathbf{b} \quad ?$$

## Matrix Form:

$$\begin{pmatrix} 1 & (x_0-1) & (y_0-2) & (x_0-1)(y_0-2) & (x_0-1)^2 \\ 1 & x_0 & (y_0-2) & x_0(y_0-2) & x_0^2 \\ 1 & (x_0+1) & (y_0-2) & (x_0+1)(y_0-2) & (x_0+1)^2 \\ 1 & (x_0-1) & (y_0-1) & (x_0-1)(y_0-1) & (x_0-1)^2 \\ 1 & x_0 & (y_0-1) & x_0(y_0-1) & x_0^2 \\ 1 & (x_0+1) & (y_0-1) & (x_0+1)(y_0-1) & (x_0+1)^2 \\ 1 & (x_0-1) & y_0 & (x_0-1)y_0 & (x_0-1)^2 \end{pmatrix} \cdot \begin{pmatrix} c_0 \\ c_x \\ c_y \\ c_{xy} \\ c_{x^2} \end{pmatrix} = \begin{pmatrix} F(x_0-1, y_0-2) \\ F(x_0, y_0-2) \\ F(x_0+1, y_0-2) \\ F(x_0-1, y_0-1) \\ F(x_0, y_0-1) \\ F(x_0+1, y_0-1) \\ F(x_0-1, y_0) \end{pmatrix}$$

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**How to solve such a system?**

$$A \cdot \mathbf{x} = \mathbf{b}$$

$$\mathbf{x} = A^{-1} \cdot \mathbf{b} \quad ?$$

Can't invert non-square matrix... **Oh No!**

# Moore–Penrose Pseudo-inverse to the Rescue!

The Moore–Penrose Pseudo-inverse  $A^+$  of  $A$  is somehow a „closest match“ to a matrix inverse if the real inverse does not exist (*e.g., non-square matrices*).

**Properties:**

$$AA^+A = A$$
$$A^+AA^+ = A^+$$
$$(AA^+)^* = AA^+$$
$$(A^+A)^* = A^+A$$

Can be computed by **Singular Value Decomposition (SVD)**.

If SVD is given by  $A = U\Sigma V$ ,

then pseudo-inverse is given by  $A^+ = V\Sigma^+U^*$ .

# Moore–Penrose Pseudoinverse to the Rescue!

Once the pseudo-inverse is known, the least-squares solution to an over-determined system is simply given by

$$A \cdot \mathbf{x} = \mathbf{b}$$

$$\mathbf{x} = A^+ \cdot \mathbf{b}$$

## Matrix Form:

$$\begin{pmatrix} 1 & -1 & -2 & 2 & 1 \\ 1 & 0 & -2 & 0 & 0 \\ 1 & 1 & -2 & -2 & 1 \\ 1 & -1 & -1 & 1 & 1 \\ 1 & 0 & -1 & 0 & 0 \\ 1 & 1 & -1 & -1 & 1 \\ 1 & -1 & 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} c_0 \\ c_x \\ c_y \\ c_{xy} \\ c_{x^2} \end{pmatrix} = \begin{pmatrix} F(-1, -2) \\ F(0, -2) \\ F(1, -2) \\ F(-1, -1) \\ F(0, -1) \\ F(1, -1) \\ F(-1, 0) \end{pmatrix}$$

## Use Pseudo-inverse:

$$\begin{pmatrix} -0.29 & 0.14 & -0.57 & 0 & 0.86 & 0.57 & 0.29 \\ 0.14 & -0.24 & -0.38 & -0.17 & 0.24 & 0.88 & -0.48 \\ -0.19 & -0.24 & -0.38 & 0 & 0.24 & 0.38 & 0.19 \\ 0.29 & -0.14 & -0.43 & 0 & 0.14 & 0.43 & -0.29 \\ 0.29 & -0.48 & 0.24 & 0.27 & -0.52 & 0.26 & 0.048 \end{pmatrix} \cdot \begin{pmatrix} F(-1, -2) \\ F(0, -2) \\ F(1, -2) \\ F(-1, -1) \\ F(0, -1) \\ F(1, -1) \\ F(-1, 0) \end{pmatrix} = \begin{pmatrix} c_0 \\ c_x \\ c_y \\ c_{xy} \\ c_{x^2} \end{pmatrix}$$

# How to Perform the Extrapolation

$$\begin{pmatrix} -0.29 & 0.14 & -0.57 & 0 & 0.86 & 0.57 & 0.29 \\ 0.14 & -0.24 & -0.38 & -0.17 & 0.24 & 0.88 & -0.48 \\ -0.19 & -0.24 & -0.38 & 0 & 0.24 & 0.38 & 0.19 \\ 0.29 & -0.14 & -0.43 & 0 & 0.14 & 0.43 & -0.29 \\ 0.29 & -0.48 & 0.24 & 0.27 & -0.52 & 0.26 & 0.048 \end{pmatrix} \cdot \begin{pmatrix} F(-1, -2) \\ F(0, -2) \\ F(1, -2) \\ F(-1, -1) \\ F(0, -1) \\ F(1, -1) \\ F(-1, 0) \end{pmatrix} = \begin{pmatrix} c_0 \\ c_x \\ c_y \\ c_{xy} \\ c_{x^2} \end{pmatrix}$$

**Put the coefficients back into our ansatz function:**

$$F(x, y) = c_0 + c_x \cdot x + c_y \cdot y + c_{xy} \cdot xy + c_{x^2} \cdot x^2$$

$$\begin{aligned} F(0, 0) &= c_0 + c_x \cdot 0 + c_y \cdot 0 + c_{xy} \cdot 0 + c_{x^2} \cdot 0^2 \\ &= c_0 \end{aligned}$$

# How to Perform the Extrapolation

$$\begin{pmatrix} -0.29 & 0.14 & -0.57 & 0 & 0.86 & 0.57 & 0.29 \\ 0.14 & -0.24 & -0.38 & -0.17 & 0.24 & 0.88 & -0.48 \\ -0.19 & -0.24 & -0.38 & 0 & 0.24 & 0.38 & 0.19 \\ 0.29 & -0.14 & -0.43 & 0 & 0.14 & 0.43 & -0.29 \\ 0.29 & -0.48 & 0.24 & 0.27 & -0.52 & 0.26 & 0.048 \end{pmatrix} \cdot \begin{pmatrix} F(-1, -2) \\ F(0, -2) \\ F(1, -2) \\ F(-1, -1) \\ F(0, -1) \\ F(1, -1) \\ F(-1, 0) \end{pmatrix} = \begin{pmatrix} c_0 \\ c_x \\ c_y \\ c_{xy} \\ c_{x^2} \end{pmatrix}$$

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$$F(0, 0) = c_0 = (-0.29 \quad 0.14 \quad -0.57 \quad 0 \quad 0.86 \quad 0.57 \quad 0.29) \cdot \begin{pmatrix} F(-1, -2) \\ F(0, -2) \\ F(1, -2) \\ F(-1, -1) \\ F(0, -1) \\ F(1, -1) \\ F(-1, 0) \end{pmatrix}$$

**→ The only task that remains is a simple dot product!**



# How to Perform the Extrapolation

The 2D example (7 equations, 5 unknowns) was only educational.

A typical 3D „real world“ example:

- 350 data points (within a 7 x 7 x 7 cube) used for extrapolation
- 100 terms (all combinations up to  $x^6y^6z^6$ )

→ Coefficient matrix is 350 x 100

Pseudo-inverse of this matrix takes  $\approx 1$  second,  
but required only once in the beginning

Extrapolation for one point is a dot product between two  
350-component vectors, still reasonably fast.

# Polynomial Extrapolation

Now we can extrapolate in any number of dimensions with any number of data points.

**First Idea:** Extrapolate directly in 4D space  $(x, y, z, t)$

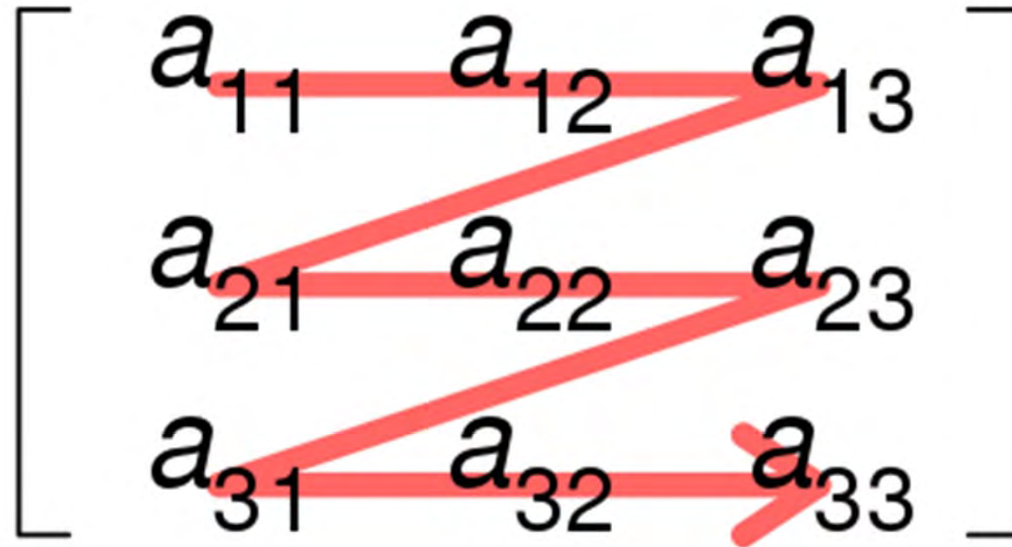
Known as „Tensor Compression“. Did not work well...

**Second Idea:** Predictor–Corrector setup

1. Predict all bin values from old frames by temporal extrapolation.
2. Correct estimate of current bin by spatially extrapolating the error in predicted values.

# Space-Filling Hilbert Curve

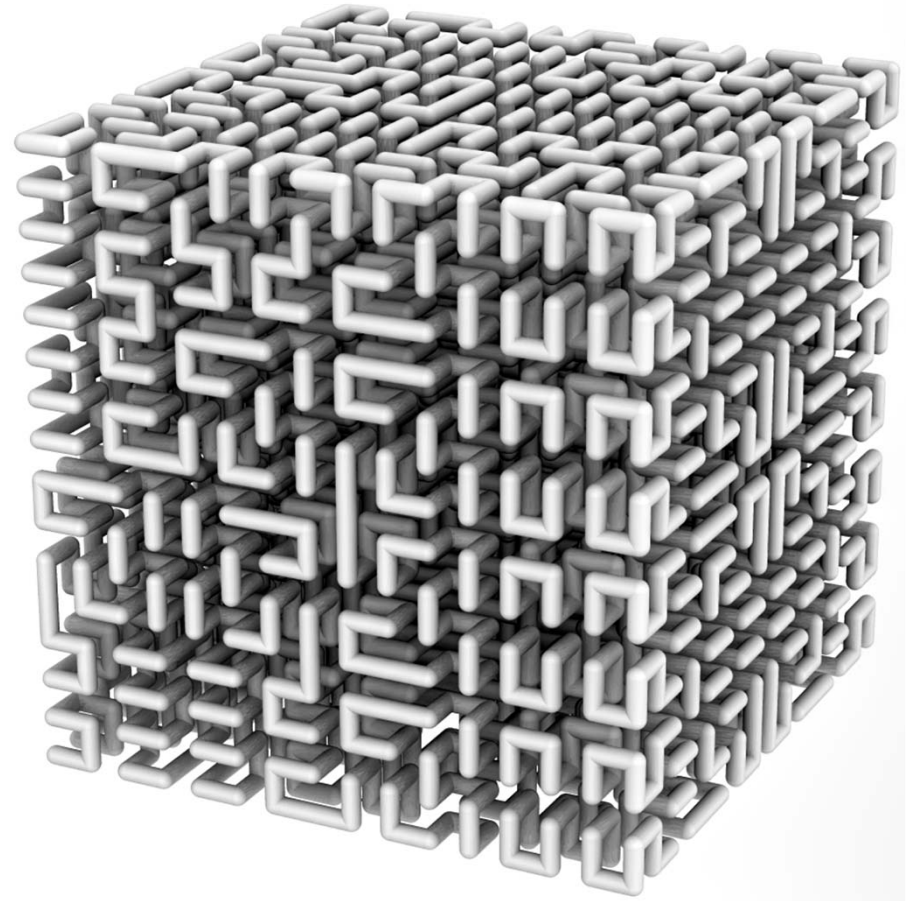
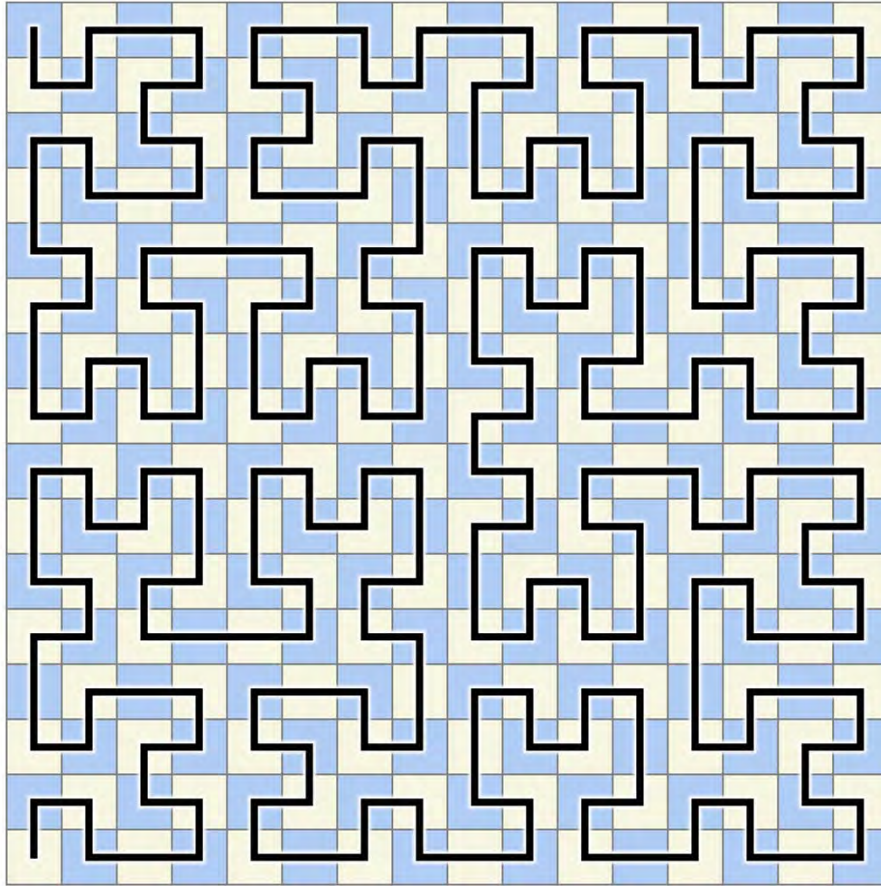
By simply storing the data line by line, we lose *locality*.



For a good compression ratio, we want to keep locality.

→ Need to re-order the data → **Space-filling curve!**

# Space-Filling Hilbert Curve



**This saves ~ 10% of space!**

# Integer Stream Compression

Until now, we did not gain anything:

Have one integer number for each grid point

→ number of data points not changed...

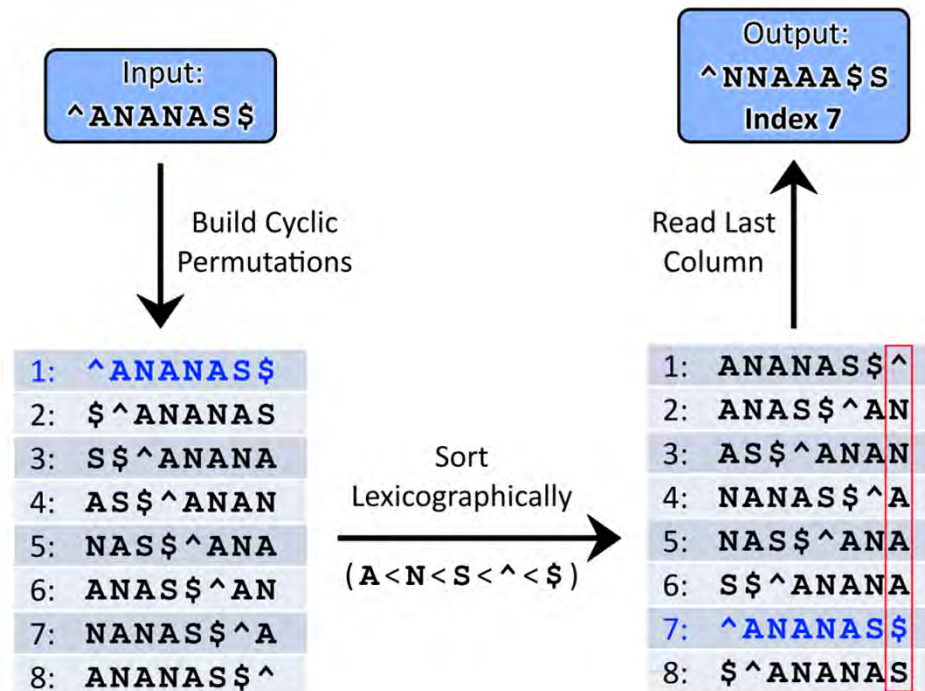
Need to exploit reduced information entropy now.

The compression algorithm is **closely related to bzip2**:

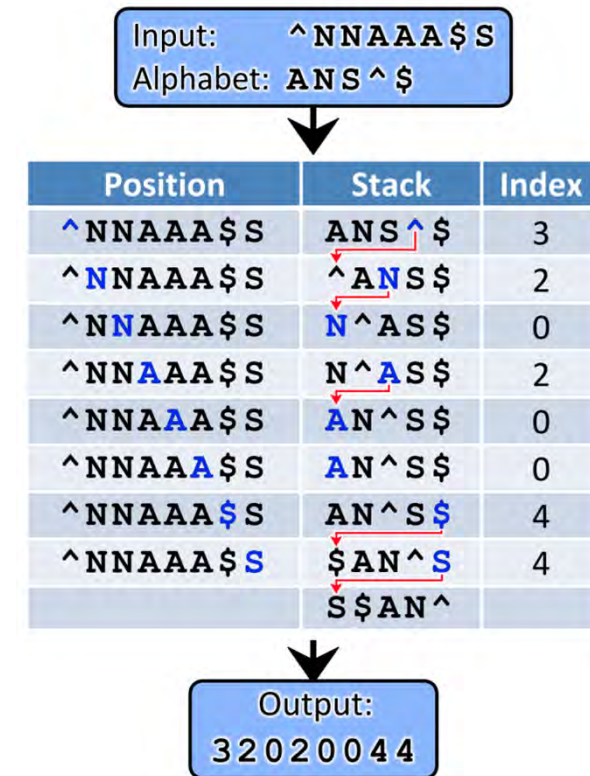
- Burrows–Wheeler Transform (block sorting)
- Move-To-Front-Transform
- Run Length Encoding
- Multi-Table Optimized Huffman Encoding using Canonical Huffman Codes

# Integer Stream Compression

## Burrows–Wheeler Transformation:



## Move-to-Front Transformation:



```
bool CompressCube(
  const char *inp,
  const char *outp,
  const char *ref,
  int start,
  int steps,
  int stride,
  int corder,
  bool optcorder,
  int aorder,
  bool optaorder,
  int eps,
  int csigni,
  int asigni,
  int csplit,
  int asplit,
  int cblock,
  int ablock,
  int ctables,
  bool optctables,
  bool cpreopt,
  int cmaxiter,
  int cmaxchunk,
  int atables,
  bool optatables,
  bool hilbert,
  double nbhfac,
  bool ccoderun,
  bool cbw,
  bool cmtf,
  bool acoderun,
  bool abw,
  bool amtf,
  bool apreopt,
  int amaxiter,
  bool asortatom,
  int amaxchunk,
  bool usecextra,
  bool useaextra,
  int aextratrange,
  int aextratorder,
  double aextratimeexpo,
  int cextrarangeX,
  int cextrarangeY,
  int cextrarangeZ,
  int cextratrange,
  int cextratorder,
  int cextraoffsetX,
  int cextraoffsetY,
  int cextraoffsetZ,
  bool cextracrossS,
  bool cextracrossT,
  bool cextrawrap,
  bool cextracrossranges,
  bool cextracrossranget,
  double cextradistexpo,
  double cextratimeexpo,
  bool cextrapredcorr,
  double cextracorrfactor,
  int optimize,
  int optsteps,
  bool onlyopt,
  bool comment,
  bool compare,
  bool dummyread,
  bool verbose
);
```

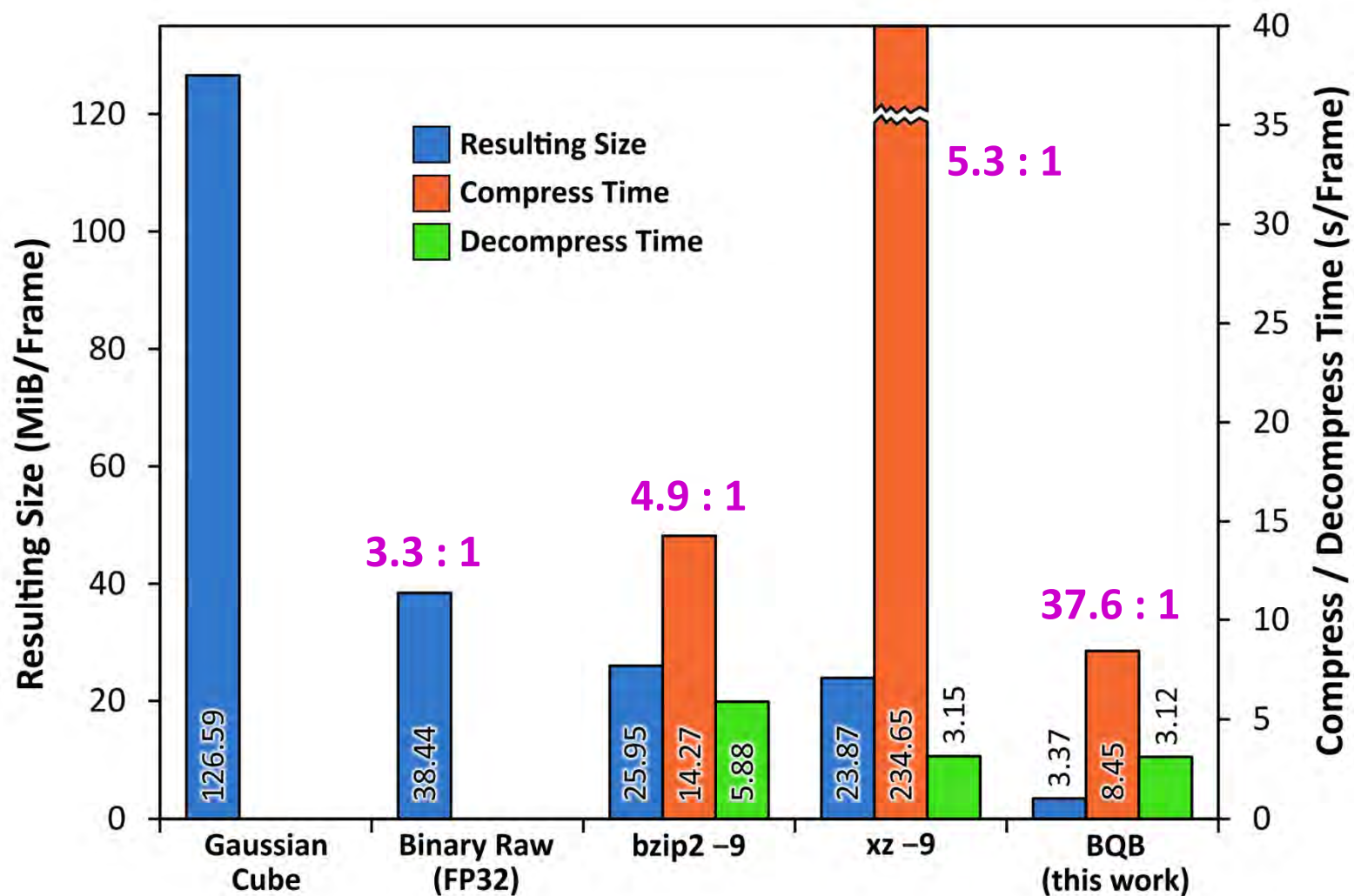
Many technical parameters determine compression ratio and time (*57 parameters!*)

Our implementation tries to optimize these parameters for each input trajectory in the beginning

4 optimization modes available  
(*from „quick“ to „exhaustive“*)

# Result: Volumetric Data

Electron Density, 36 [EMIm][OAc], 936 Atoms,  
Avg. over 1000 frames, Grid 216 x 216 x 216,  $\Delta t = 0.5$  fs.





# Single Volumetric Frames

If there is only 1 cube frame to compress, no temporal extrapolation is possible.

But spatial extrapolation can go to a higher order than 😊

→ We still achieve a ratio of  $\approx 19 : 1$

→ Also very efficient for single cube frames.

# Position Trajectories

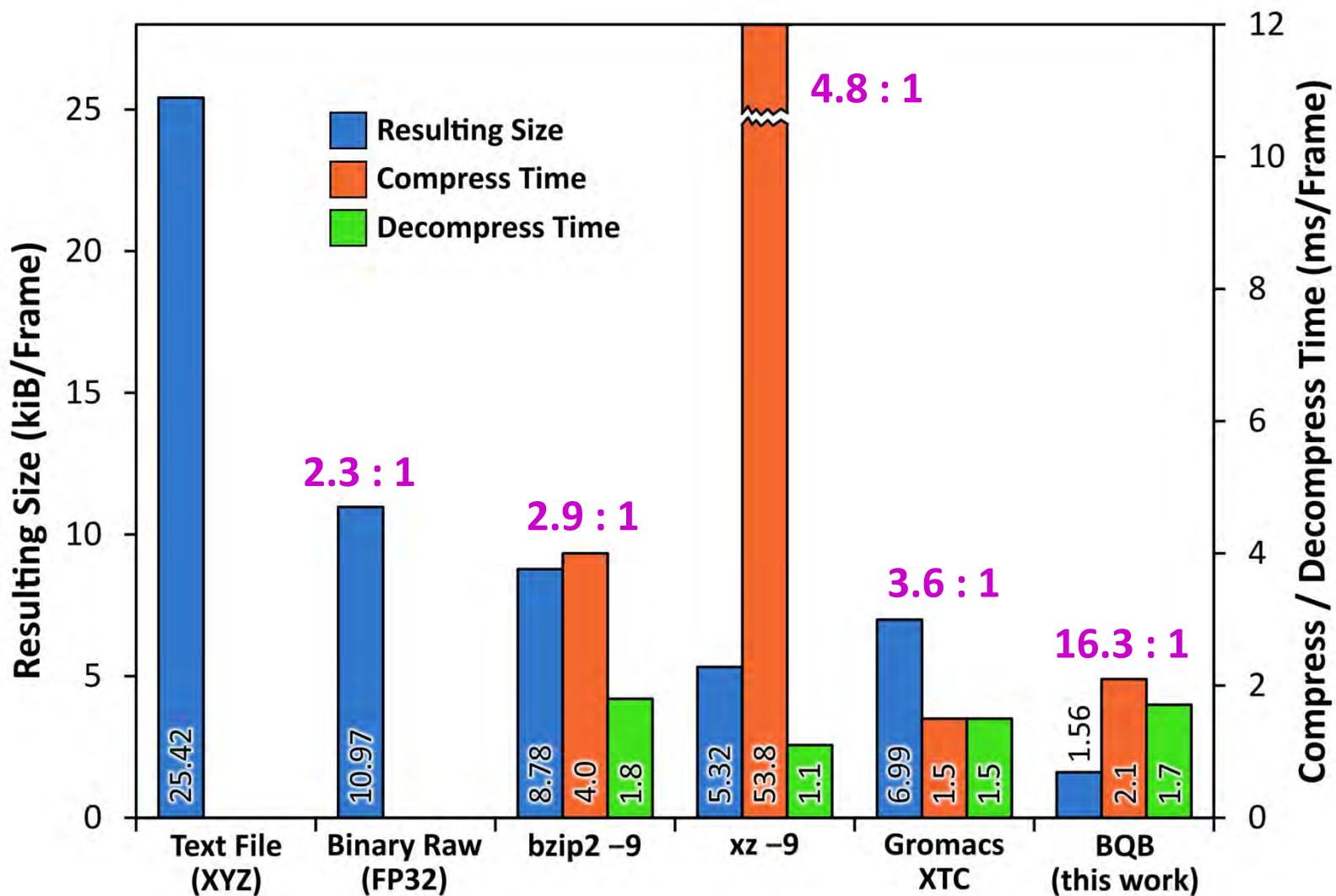
Would this also work for „normal“ position trajectories?

Use temporal extrapolation from last atom positions.

**Result: Yes, it works 😊**

# Result: Position Trajectory

36 [EMIm][OAc], 936 Atoms, Precision  $10^{-5}$  Angstrom,  
Avg. over 1000 Frames,  $\Delta t = 0.5$  fs.



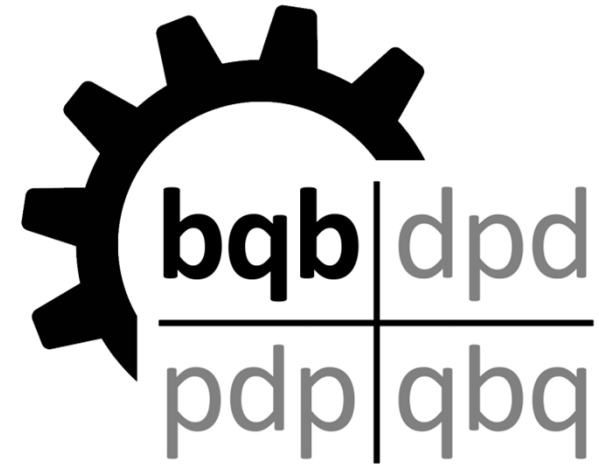
For both position trajectories („.xyz“) and volumetric trajectories („.cube“), our format has by far the best compression ratio, but is still fast to read / write.

That's nice 😊

**Example:** The 13 TiB of volumetric data from the ROA spectrum are now merely 350 GiB.

# The BQB File Format

Compressed data is saved in the newly developed BQB file format:

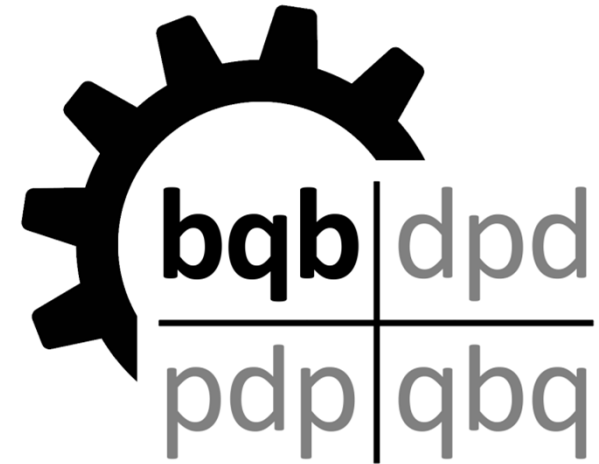


- Versatile multi-purpose format
- Open source and well documented (in future 😊 )
- Can store all required data (*cell vectors, atom labels, charges, comment lines, velocities, etc.*)
- Contains headers & checksums → Corruption resistant
- Contains index → Fast seeking and random access

We hope that the BQB format is adopted in many programs and will be widely used.

# The BQB File Format

Why didn't we use an existing format such as HDF5?



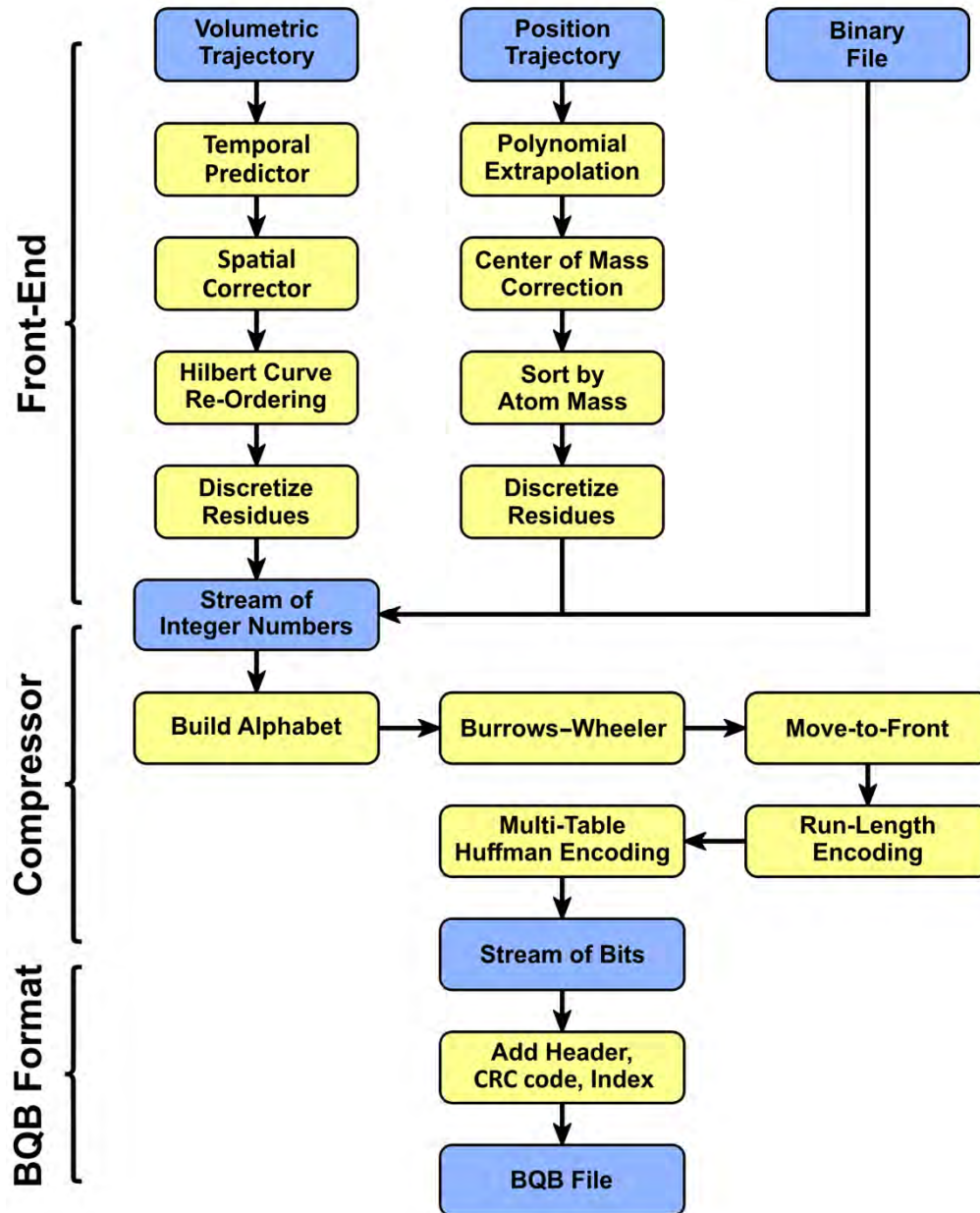
HDF5 is a general-purpose format with a **huge flexibility** for all different applications.

BQB is specifically designed for simulation trajectories, and aims at **maximum compression ratio**.

BQB stores bit streams and does not care for byte boundaries → not a single bit is wasted.

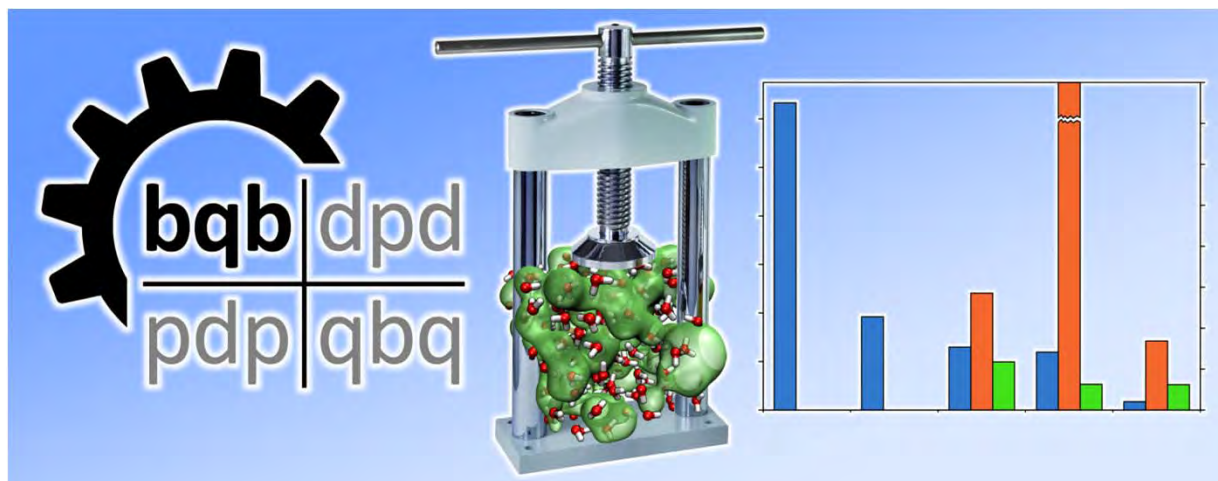
→ Both formats are not at all competitors.

# Flowchart



# Article

Article recently submitted:



M. Brehm, M. Thomas: "An Efficient Lossless Compression Algorithm for Trajectories of Atom Positions and Volumetric Data", *J. Chem. Inf. Model.* **2018**, *submitted*.

Will hopefully be accepted in the next weeks 😊



# Code will be published

As soon as the article is online, you can find the implementation and documentation on

**`www.brehm-research.de/bqb`**

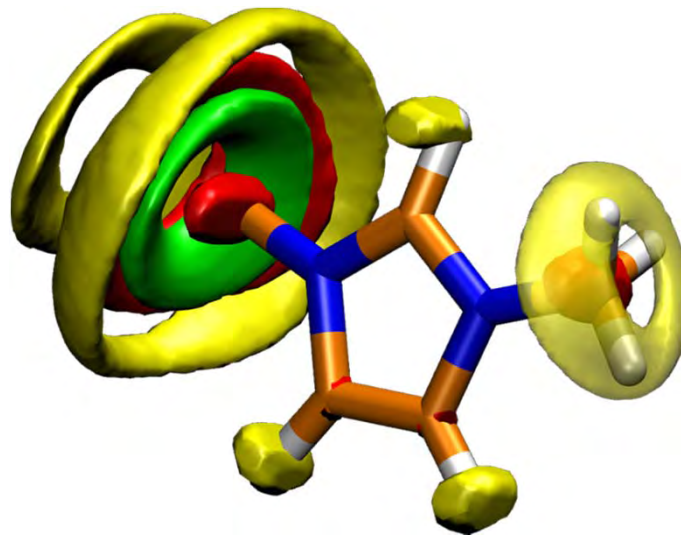
What will be available?

- **bqbtool**: Command line tool for working with bqb files
- **libbqb**: C++ library ( $\approx 36\,000$  lines) to include in other projects (maybe CP2k? 😊 )
- **Technical documentation** of the bqb file format

All licensed under **GNU LGPL v3**.

# Implemented in TRAVIS

Whole implementation is also included in TRAVIS  
(you can use TRAVIS instead of bqbttool).



Will be available to the public after the paper is out.



# 4. Practical Workflow for Spectra

```
#####/
## | /##### | ##### | ## \##/ ## | #####/
## | ## | ##/ /##### | ## ##/ ## | ##
## | ## | ## ## | ## ##/ ## | /##### |
##/ ##/ #####/ #/ ##/ #####/

Trajectory Analyzer and Visualizer - Open-source freeware under GNU GPL v3

Copyright (c) Martin Brehm (2009-2018), University of Halle (Saale)
              Martin Thomas (2012-2018)
              Sascha Gehrke (2016-2018), University of Bonn
              Barbara Kirchner (2009-2018), University of Bonn

http://www.travis-analyzer.de

Please cite: M. Brehm, B. Kirchner: J. Chem. Inf. Model. 2011, 51 (8), pp 2007-2023.

There is absolutely no warranty on any results obtained from TRAVIS.

# Running on sebsserver01 at Tue Aug 28 09:28:37 2018 (PID 14375)
# Running in /home/brehm/test
# Code version: Aug 26 2018, Compiled at Aug 26 2018, 22:52:23, Compiler "6.2.0", GCC 6.2.0
# Target platform: Linux, Compile flags: DEBUG_ARRAYS
# Machine: int=4b, long=8b, addr=8b, 0xA0B0C0D0=D0,C0,B0,A0.
# Home: /home/brehm, Executable: /home/brehm/travis_new/nobeta/travis
# Input from terminal, Output to terminal
```

# General Workflow

## 0. Preparation

→ *Starting configuration* **10 kiB**

## 1. Simulate trajectory

→ *XYZ file* **1 GiB**

## 2. Obtain electron density trajectories w/ ext. field

→ *CUBE files* **3 TiB**

## 3. Compress volumetric trajectories (optional)

→ *BQB files* **100 GiB**

## 4. Solve current PDE, perform Voronoi Integration

→ *EMP files* **1 GiB**

## 5. Compute spectra from EMP property files

→ *Spectra (text files)* **10 kiB**

# General Workflow

## 0. Preparation

→ *Starting configuration*      **10 kiB**

## 1. Simulate trajectory

→ *XYZ file*      **1 GiB**

## 2. Obtain electron density trajectories w/ ext. field

→ *CUBE files*      **3 TiB**

## 3. Compress volumetric trajectories (optional)

→ *BQB files*      **100 GiB**

## 4. Solve current PDE, perform Voronoi Integration

→ *EMP files*      **1 GiB**

## 5. Compute spectra from EMP property files

→ *Spectra (text files)*      **10 kiB**

} **CP2k**

**bqbtool**

} **TRAVIS**

## 0.) Preparation

- Decide on the system composition ☺
- Prepare bulk phase cell, e.g. with PackMol
- Run force-field MD for equilibration (e.g. with OPLS-AA)
- Extract the last snapshot as starting configuration for AIMD

```
&FORCE_EVAL
  METHOD Quickstep
  &DFT
    BASIS_SET_FILE_NAME BASIS_MOLOPT
    POTENTIAL_FILE_NAME POTENTIAL

  &MGRID
    CUTOFF 350
    NGRIDS 4
    REL_CUTOFF 40
  &END MGRID

  &QS
    EPS_DEFAULT 1.0E-12
  &END QS

  &SCF
    SCF_GUESS ATOMIC
    MAX_SCF 15
    &OT
      PRECONDITIONER FULL_KINETIC
      MINIMIZER DIIS
    &END
    &OUTER_SCF
      MAX_SCF 20
      EPS_SCF 1.0E-6
    &END
    EPS_SCF 1.0E-6
    &PRINT
      &RESTART
      &EACH
        MD 0
      &END EACH
    &END
  &END
&END SCF
```

# 1.) Trajectory

## a) Massive Equilibration (1/4)



```

&FORCE_EVAL
  METHOD Quickstep
  &DFT
    BASIS_SET_FILE_NAME BASIS_MOLOPT
    POTENTIAL_FILE_NAME POTENTIAL
    &MGRID
      CUTOFF 350
      NGRIDS 4
      REL_CUTOFF 40
    &END MGRID
  &QS
    EPS_DEFAULT 1.0E-12
  &END QS
  &SCF
    SCF_GUESS ATOMIC
    MAX_SCF 15
    &OT
      PRECONDITIONER FULL_KINETIC
      MINIMIZER DIIS
    &END
    &OUTER_SCF
      MAX_SCF 20
      EPS_SCF 1.0E-6
    &END
    EPS_SCF 1.0E-6
  &PRINT
    &RESTART
    &EACH
      MD 0
    &END EACH
  &END
&END SCF

```

# 1.) Trajectory

## a) Massive Equilibration (1/4)

- „Officially“, you would need to converge your PW cutoff...
- A PW cutoff of 350 Ry is typically Ok for organic liquids
- NGRIDS 4 is a good setting for MOLOPT basis sets
- REL\_CUTOFF 40 is default, 50 is more accurate

```
&FORCE_EVAL
METHOD Quickstep
&DFT
  BASIS_SET_FILE_NAME BASIS_MOLOPT
  POTENTIAL_FILE_NAME POTENTIAL

  &MGRID
    CUTOFF 350
    NGRIDS 4
    REL_CUTOFF 40
  &END MGRID

  &QS
    EPS_DEFAULT 1.0E-12
  &END QS

  &SCF
    SCF_GUESS ATOMIC
    MAX_SCF 15
    &OT
      PRECONDITIONER FULL_KINETIC
      MINIMIZER DIIS
    &END
    &OUTER_SCF
      MAX_SCF 20
      EPS_SCF 1.0E-6
    &END
    EPS_SCF 1.0E-6
    &PRINT
      &RESTART
      &EACH
        MD 0
      &END EACH
    &END
  &END
&END SCF
```

# 1.) Trajectory

## a) Massive Equilibration (1/4)

- EPS\_DEFAULT of  $10^{-12}$  is often a good compromise

```
&FORCE_EVAL
METHOD Quickstep
&DFT
  BASIS_SET_FILE_NAME BASIS_MOLOPT
  POTENTIAL_FILE_NAME POTENTIAL

  &MGRID
    CUTOFF 350
    NGRIDS 4
    REL_CUTOFF 40
  &END MGRID

  &QS
    EPS_DEFAULT 1.0E-12
  &END QS

  &SCF
    SCF_GUESS ATOMIC
    MAX_SCF 15
    &OT
      PRECONDITIONER FULL_KINETIC
      MINIMIZER DIIS
    &END
    &OUTER_SCF
      MAX_SCF 20
      EPS_SCF 1.0E-6
    &END
    EPS_SCF 1.0E-6
  &PRINT
    &RESTART
    &EACH
      MD 0
    &END EACH
  &END
&END
&END SCF
```

# 1.) Trajectory

## a) Massive Equilibration (1/4)

EPS\_SCF should always be  
square root of EPS\_DEFAULT

→ EPS\_DEFAULT 1.0E-12 means  
EPS\_SCF 1.0E-6

This is a good compromise for  
SCF convergence.

```

&FORCE_EVAL
  METHOD Quickstep
  &DFT
    BASIS_SET_FILE_NAME BASIS_MOLOPT
    POTENTIAL_FILE_NAME POTENTIAL

  &MGRID
    CUTOFF 350
    NGRIDS 4
    REL_CUTOFF 40
  &END MGRID

  &QS
    EPS_DEFAULT 1.0E-12
  &END QS

  &SCF
    SCF_GUESS ATOMIC
    MAX_SCF 15
    &OT
      PRECONDITIONER FULL_KINETIC
      MINIMIZER DIIS
    &END
    &OUTER_SCF
      MAX_SCF 20
      EPS_SCF 1.0E-6
    &END
    EPS_SCF 1.0E-6
  &PRINT
    &RESTART
    &EACH
      MD 0
    &END EACH
  &END
&END SCF

```

# 1.) Trajectory

## a) Massive Equilibration (1/4)

- OT („Orbital Transformation“) is very fast and efficient for molecular liquids
- Choice of preconditioner is crucial for efficiency
- FULL\_KINETIC is a fast choice for well-behaved MD runs
- If there are problems with SCF convergence, use FULL\_SINGLE\_INVERSE, or even FULL\_ALL together with ENERGY\_GAP 0.001

```

&FORCE_EVAL
  METHOD Quickstep
  &DFT
    BASIS_SET_FILE_NAME BASIS_MOLOPT
    POTENTIAL_FILE_NAME POTENTIAL

  &MGRID
    CUTOFF 350
    NGRIDS 4
    REL_CUTOFF 40
  &END MGRID

  &QS
    EPS_DEFAULT 1.0E-12
  &END QS

  &SCF
    SCF_GUESS ATOMIC
    MAX_SCF 15
    &OT
      PRECONDITIONER FULL_KINETIC
      MINIMIZER DIIS
    &END
    &OUTER_SCF
      MAX_SCF 20
      EPS_SCF 1.0E-6
    &END
    EPS_SCF 1.0E-6
  &PRINT
    &RESTART
    &EACH
      MD 0
    &END EACH
  &END
&END
&END SCF

```

# 1.) Trajectory

## a) Massive Equilibration (1/4)

- OUTER\_SCF is important to cope with convergence problems, especially in the first steps
- Make sure to use the same EPS\_SCF value at both positions!

```
&FORCE_EVAL
METHOD Quickstep
&DFT
  BASIS_SET_FILE_NAME BASIS_MOLOPT
  POTENTIAL_FILE_NAME POTENTIAL

  &MGRID
    CUTOFF 350
    NGRIDS 4
    REL_CUTOFF 40
  &END MGRID

  &QS
    EPS_DEFAULT 1.0E-12
  &END QS

  &SCF
    SCF_GUESS ATOMIC
    MAX_SCF 15
    &OT
      PRECONDITIONER FULL_KINETIC
      MINIMIZER DIIS
    &END
    &OUTER_SCF
      MAX_SCF 20
      EPS_SCF 1.0E-6
    &END
    EPS_SCF 1.0E-6
    &PRINT
      &RESTART
      &EACH
        MD 0
      &END EACH
    &END
  &END
&END SCF
```

# 1.) Trajectory

## a) Massive Equilibration (1/4)

- Stop printing WFN file in every MD step (can be large → slows down the simulation)

```
&XC
  &XC_FUNCTIONAL BLYP
  &END XC_FUNCTIONAL

  &XC_GRID
    XC_DERIV NN10_SMOOTH
    XC_SMOOTH_RHO NN10
  &END XC_GRID

  &vdW_POTENTIAL
    DISPERSION_FUNCTIONAL PAIR_POTENTIAL
    &PAIR_POTENTIAL
      TYPE DFTD3
      PARAMETER_FILE_NAME dftd3.dat
      REFERENCE_FUNCTIONAL BLYP
    &END PAIR_POTENTIAL
  &END vdW_POTENTIAL
&END XC
&END DFT

&SUBSYS
  &CELL
    ABC 19.8302 19.8302 19.8302
  &END CELL

  &COORD
    C 6.54092440 5.69704107 9.82218709
    H 6.10696440 5.01165107 10.56792709
    ...
  &END COORD
```

# 1.) Trajectory

## a) Massive Equilibration (2/4)

```

&XC
  &XC_FUNCTIONAL BLYP
  &END XC_FUNCTIONAL
  &XC_GRID
    XC_DERIV NN10_SMOOTH
    XC_SMOOTH_RHO NN10
  &END XC_GRID

  &vdW_POTENTIAL
    DISPERSION_FUNCTIONAL PAIR_POTENTIAL
    &PAIR_POTENTIAL
      TYPE DFTD3
      PARAMETER_FILE_NAME dftd3.dat
      REFERENCE_FUNCTIONAL BLYP
    &END PAIR_POTENTIAL
  &END vdW_POTENTIAL
&END XC
&END DFT

&SUBSYS
  &CELL
    ABC 19.8302 19.8302 19.8302
  &END CELL

  &COORD
    C 6.54092440 5.69704107 9.82218709
    H 6.10696440 5.01165107 10.56792709
    ...
  &END COORD

```

# 1.) Trajectory

## a) Massive Equilibration (2/4)

- Take functional of your choice 😊
- For organic liquids, often BLYP and PBE are reasonable choices.



```

&XC
  &XC_FUNCTIONAL BLYP
  &END XC_FUNCTIONAL

  &XC_GRID
    XC_DERIV NN10_SMOOTH
    XC_SMOOTH_RHO NN10
  &END XC_GRID

  &vdW_POTENTIAL
    DISPERSION_FUNCTIONAL PAIR_POTENTIAL
    &PAIR_POTENTIAL
      TYPE DFTD3
      PARAMETER_FILE_NAME dftd3.dat
      REFERENCE_FUNCTIONAL BLYP
    &END PAIR_POTENTIAL
  &END vdW_POTENTIAL
&END XC
&END DFT

&SUBSYS
&CELL
  ABC 19.8302 19.8302 19.8302
&END CELL

&COORD
  C 6.54092440 5.69704107 9.82218709
  H 6.10696440 5.01165107 10.56792709
  ...
&END COORD

```

# 1.) Trajectory

## a) Massive Equilibration (2/4)

- Smoothing mitigates the break of translational invariance due to the plane waves
- For cutoffs  $< 600 \text{ Ry}$  (as we all use), this is **absolutely mandatory**

```

&XC
  &XC_FUNCTIONAL BLYP
  &END XC_FUNCTIONAL

  &XC_GRID
    XC_DERIV NN10_SMOOTH
    XC_SMOOTH_RHO NN10
  &END XC_GRID

  &vdW_POTENTIAL
    DISPERSION_FUNCTIONAL PAIR_POTENTIAL
    &PAIR_POTENTIAL
      TYPE DFTD3
      PARAMETER_FILE_NAME dftd3.dat
      REFERENCE_FUNCTIONAL BLYP
    &END PAIR_POTENTIAL
  &END vdW_POTENTIAL
&END XC
&END DFT

&SUBSYS
&CELL
  ABC 19.8302 19.8302 19.8302
&END CELL

&COORD
  C 6.54092440 5.69704107 9.82218709
  H 6.10696440 5.01165107 10.56792709
  ...
&END COORD

```

# 1.) Trajectory

## a) Massive Equilibration (2/4)

- For organic liquids, you always want to use a dispersion correction
- Grimme's D3 is often a very good choice

```
&KIND C
  BASIS_SET DZVP-MOLOPT-SR-GTH
  POTENTIAL GTH-BLYP-q4
&END KIND

&KIND H
  BASIS_SET DZVP-MOLOPT-SR-GTH
  POTENTIAL GTH-BLYP-q1
&END KIND

&KIND N
  BASIS_SET DZVP-MOLOPT-SR-GTH
  POTENTIAL GTH-BLYP-q5
&END KIND

&END SUBSYS
&END FORCE_EVAL

&GLOBAL
  PROJECT SomeSystem
  RUN_TYPE MD
  PRINT_LEVEL LOW
  FFTW_PLAN_TYPE EXHAUSTIVE
  FFTW_WISDOM_FILE_NAME wisdom.dat
&END GLOBAL
```

# 1.) Trajectory

## a) Massive Equilibration (3/4)

```

&KIND C
  BASIS_SET DZVP-MOLOPT-SR-GTH
  POTENTIAL GTH-BLYP-q4
&END KIND

&KIND H
  BASIS_SET DZVP-MOLOPT-SR-GTH
  POTENTIAL GTH-BLYP-q1
&END KIND

&KIND N
  BASIS_SET DZVP-MOLOPT-SR-GTH
  POTENTIAL GTH-BLYP-q5
&END KIND

&END SUBSYS
&END FORCE_EVAL

&GLOBAL
  PROJECT SomeSystem
  RUN_TYPE MD
  PRINT_LEVEL LOW
  FFTW_PLAN_TYPE EXHAUSTIVE
  FFTW_WISDOM_FILE_NAME wisdom.dat
&END GLOBAL

```

# 1.) Trajectory

## a) Massive Equilibration (3/4)

- Basis sets of the type DZVP-MOLOPT-SR-GTH are a **very good compromise** for computing spectra
- For non-homogeneous systems (gas phase, interfaces), you may want to leave out the „-SR-“
- For high accuracy, you can also go to TZVP or even TZVPP.

```
&KIND C
  BASIS_SET DZVP-MOLOPT-SR-GTH
  POTENTIAL GTH-BLYP-q4
&END KIND

&KIND H
  BASIS_SET DZVP-MOLOPT-SR-GTH
  POTENTIAL GTH-BLYP-q1
&END KIND

&KIND N
  BASIS_SET DZVP-MOLOPT-SR-GTH
  POTENTIAL GTH-BLYP-q5
&END KIND

&END SUBSYS
&END FORCE_EVAL

&GLOBAL
  PROJECT SomeSystem
  RUN_TYPE MD
  PRINT_LEVEL LOW
  FFTW_PLAN_TYPE EXHAUSTIVE
  FFTW_WISDOM_FILE_NAME wisdom.dat
&END GLOBAL
```

# 1.) Trajectory

## a) Massive Equilibration (3/4)

- This takes 1-2 minutes in the start of the run, but can make each MD step faster by  $\approx 15\%$
- I recommend this for runs of 12 hours or longer
- The wisdom.dat file is written at the end, and makes FFT planning faster in following runs

&MOTION

&MD

ENSEMBLE NVT  
STEPS 2000  
Timestep 0.5  
&THERMOSTAT  
TYPE NOSE  
REGION MASSIVE  
&NOSE  
TIMECON 10.00  
&END NOSE  
&END THERMOSTAT  
TEMPERATURE 350

&END MD

&PRINT

&RESTART

BACKUP\_COPIES 0

&EACH

MD 1

&END EACH

&END RESTART

&RESTART\_HISTORY

&EACH

MD 0

&END EACH

&END RESTART\_HISTORY

&END PRINT

&END MOTION

# 1.) Trajectory

## a) Massive Equilibration (4/4)

```
&MOTION
```

```
&MD
```

```
ENSEMBLE NVT
```

```
STEPS 2000
```

```
TIMESTEP 0.5
```

```
&THERMOSTAT
```

```
TYPE NOSE
```

```
REGION MASSIVE
```

```
&NOSE
```

```
TIMECON 10.00
```

```
&END NOSE
```

```
&END THERMOSTAT
```

```
TEMPERATURE 350
```

```
&END MD
```

```
&PRINT
```

```
&RESTART
```

```
BACKUP_COPIES 0
```

```
&EACH
```

```
MD 1
```

```
&END EACH
```

```
&END RESTART
```

```
&RESTART_HISTORY
```

```
&EACH
```

```
MD 0
```

```
&END EACH
```

```
&END RESTART_HISTORY
```

```
&END PRINT
```

```
&END MOTION
```

# 1.) Trajectory

## a) Massive Equilibration (4/4)

- If you have hydrogen atoms, always use  $\Delta t = 0.5$  fs
- **Don't** feel tempted to 1.0 fs (as in force field MD); every MD step will take more SCF cycles, such that you save almost nothing
- Solving the current PDE (for VCD and ROA) requires 0.5 fs even if no hydrogen atoms are present.

&MOTION

&MD

ENSEMBLE NVT

STEPS 2000

TIMESTEP 0.5

&THERMOSTAT

TYPE NOSE

REGION MASSIVE

&NOSE

TIMECON 10.00

&END NOSE

&END THERMOSTAT

TEMPERATURE 350

&END MD

&PRINT

&RESTART

BACKUP\_COPIES 0

&EACH

MD 1

&END EACH

&END RESTART

&RESTART\_HISTORY

&EACH

MD 0

&END EACH

&END RESTART\_HISTORY

&END PRINT

&END MOTION

# 1.) Trajectory

## a) Massive Equilibration (4/4)

- Massive thermostating and rather strong coupling (time constant of 10 fs) in the start



```
&MOTION
```

```
&MD
```

```
ENSEMBLE NVT  
STEPS 2000  
Timestep 0.5  
&THERMOSTAT  
TYPE NOSE  
REGION MASSIVE  
&NOSE  
TIMECON 10.00  
&END NOSE
```

```
&END THERMOSTAT  
TEMPERATURE 350
```

```
&END MD
```

```
&PRINT
```

```
&RESTART
```

```
BACKUP_COPIES 0  
&EACH
```

```
MD 1
```

```
&END EACH
```

```
&END RESTART
```

```
&RESTART_HISTORY
```

```
&EACH
```

```
MD 0
```

```
&END EACH
```

```
&END RESTART_HISTORY
```

```
&END PRINT
```

```
&END MOTION
```

# 1.) Trajectory

## a) Massive Equilibration (4/4)

- Use temperature of your choice
- As AIMD runs are quite short (picoseconds), higher temperature helps to improve sampling
- As „room temperature“, we typically use 350K

&MOTION

&MD

```
ENSEMBLE NVT
STEPS 2000
TIMESTEP 0.5
&THERMOSTAT
  TYPE NOSE
  REGION MASSIVE
  &NOSE
    TIMECON 10.00
  &END NOSE
&END THERMOSTAT
TEMPERATURE 350
&END MD
```

&PRINT

```
&RESTART
  BACKUP_COPIES 0
  &EACH
    MD 1
  &END EACH
&END RESTART
&RESTART_HISTORY
  &EACH
    MD 0
  &END EACH
&END RESTART_HISTORY
&END PRINT
```

&END MOTION

# 1.) Trajectory

## a) Massive Equilibration (4/4)

- Stop spamming all kinds of restart backup / history files
- Only one single restart file, which is written in every MD step

# **1.) Trajectory**

## **a) Massive Equilibration**

Run for  $\approx 2000$  time steps (1.0 ps)

```
&FORCE_EVAL
  &DFT
    &SCF
      SCF_GUESS RESTART
    &END SCF
  &END DFT
&END FORCE_EVAL

&MOTION
  &MD
    &THERMOSTAT
      REGION MASSIVE
    &NOSE
      TIMECON 100.00
    &END NOSE
  &END THERMOSTAT
&END MD
&END MOTION

&EXT_RESTART
  EXTERNAL_FILE SomeSystem-1.restart
  RESTART_THERMOSTAT .FALSE.
&END
```

# 1.) Trajectory

## b) Non-massive Equilibration

```
&FORCE_EVAL
  &DFT
    &SCF
      SCF_GUESS RESTART
    &END SCF
  &END DFT
&END FORCE_EVAL

&MOTION
  &MD
    &THERMOSTAT
      REGION MASSIVE
      &NOSE
        TIMECON 100.00
      &END NOSE
    &END THERMOSTAT
  &END MD
&END MOTION

&EXT_RESTART
  EXTERNAL_FILE SomeSystem-1.restart
  RESTART_THERMOSTAT .FALSE.
&END
```

# 1.) Trajectory

## b) Non-massive Equilibration

- Restart from last WFN instead of initial guess

```
&FORCE_EVAL
  &DFT
    &SCF
      SCF_GUESS RESTART
    &END SCF
  &END DFT
&END FORCE_EVAL

&MOTION
  &MD
    &THERMOSTAT
      REGION MASSIVE
    &NOSE
      TIMECON 100.00
    &END NOSE
  &END THERMOSTAT
&END MD
&END MOTION

&EXT_RESTART
  EXTERNAL_FILE SomeSystem-1.restart
  RESTART_THERMOSTAT .FALSE.
&END
```

# 1.) Trajectory

## b) Non-massive Equilibration

- Remove the „MASSIVE“ after the first equilibration phase

```
&FORCE_EVAL
  &DFT
    &SCF
      SCF_GUESS RESTART
    &END SCF
  &END DFT
&END FORCE_EVAL

&MOTION
  &MD
    &THERMOSTAT
      REGION MASSIVE
      &NOSE
      TIMECON 100.00
      &END NOSE
    &END THERMOSTAT
  &END MD
&END MOTION

&EXT_RESTART
  EXTERNAL_FILE SomeSystem-1.restart
  RESTART_THERMOSTAT .FALSE.
&END
```

# 1.) Trajectory

## b) Non-massive Equilibration

- Weaker thermostat coupling (time constant 100 fs) for second equilibration and production run
- Strong thermostat coupling might distort the dynamics and spectra...

```
&FORCE_EVAL
  &DFT
    &SCF
      SCF_GUESS RESTART
    &END SCF
  &END DFT
&END FORCE_EVAL
```

```
&MOTION
  &MD
    &THERMOSTAT
      REGION MASSIVE
    &NOSE
      TIMECON 100.00
    &END NOSE
  &END THERMOSTAT
&END MD
&END MOTION
```

```
&EXT_RESTART
  EXTERNAL_FILE SomeSystem-1.restart
  RESTART_THERMOSTAT .FALSE.
&END
```

# 1.) Trajectory

## b) Non-massive Equilibration

- You need the EXT\_RESTART block now
- Make sure the name of the restart file matches
- After turning off MASSIVE, you want RESTART\_THERMOSTATE .FALSE.



# **1.) Trajectory**

## **b) Non-massive Equilibration**

Run for  $\approx 20\,000$  time steps (10.0 ps)

```
&EXT_RESTART  
  EXTERNAL_FILE SomeSystem-1.restart  
  RESTART_THERMOSTAT.FALSE.  
&END
```

# 1.) Trajectory

## c) Production Run

```
&EXT_RESTART  
  EXTERNAL_FILE SomeSystem-1.restart  
  RESTART_THERMOSTAT .FALSE.  
&END
```

# 1.) Trajectory

## c) Production Run

- Don't forget to remove  
RESTART\_THERMOSTATE .FALSE.  
before starting production run

# 1.) Trajectory

## c) Production Run

Run for  $\approx 60\,000$  time steps (30.0 ps)

Now we have a 30 ps production trajectory which contains all motions for the spectra.

## 2.) Electron Density

- We traverse the trajectory again, and store volumetric electron density in every  $n$ -th frame
- For IR and VCD: Only one field-free calculation required
- For Raman and ROA: Field-free + 3 field directions  $\rightarrow$  4 runs
- For IR and Raman: Sufficient to consider every 8<sup>th</sup> frame ( $\rightarrow$  every 4.0 fs)
- For VCD and ROA: Need every frame (every 0.5 fs)

```
&FORCE_EVAL
  &DFT
    &PERIODIC_EFIELD
      INTENSITY 5.0E-3
      POLARISATION 1.0 0.0 0.0
    &END PERIODIC_EFIELD

    &PRINT
      &E_DENSITY_CUBE
        STRIDE 1 1 1
        FILENAME =result.cube
        APPEND
      &END
    &END PRINT

    &LOCALIZE
      METHOD CRAZY
      JACOBI_FALLBACK
      MAX_ITER 500
      &PRINT
        &WANNIER_CENTERS
          IONS+CENTERS
          FILENAME =wannier.xyz
          &EACH
            MD 1
          &END EACH
        &END
      &END PRINT
    &END LOCALIZE

  &END DFT
&END FORCE_EVAL
```

## 2.) Electron Density (1/2)

```
&FORCE_EVAL
```

```
&DFT
```

```
&PERIODIC_EFIELD  
  INTENSITY 5.0E-3  
  POLARISATION 1.0 0.0 0.0  
&END PERIODIC_EFIELD
```

```
&PRINT
```

```
&E_DENSITY_CUBE  
  STRIDE 1 1 1  
  FILENAME =result.cube  
  APPEND
```

```
&END
```

```
&END PRINT
```

```
&LOCALIZE
```

```
METHOD CRAZY  
JACOBI_FALLBACK  
MAX_ITER 500
```

```
&PRINT
```

```
&WANNIER_CENTERS
```

```
  IONS+CENTERS  
  FILENAME =wannier.xyz  
  &EACH
```

```
    MD 1
```

```
  &END EACH
```

```
&END
```

```
&END PRINT
```

```
&END LOCALIZE
```

```
&END DFT
```

```
&END FORCE_EVAL
```

## 2.) Electron Density (1/2)

- We need an external electric field which works with periodic systems.
- An external field strength of 5.0E-3 a.u. is a good compromise between noise and linearity (corresponds to  $2.5 * 10^9$  V/m !)
- POLARIZATION gives the field vector (here: positive X direction)

```

&FORCE_EVAL
  &DFT
    &PERIODIC_EFIELD
      INTENSITY 5.0E-3
      POLARISATION 1.0 0.0 0.0
    &END PERIODIC_EFIELD

    &PRINT
      &E_DENSITY_CUBE
        STRIDE 1 1 1
        FILENAME =result.cube
        APPEND
      &END
    &END PRINT

  &LOCALIZE
    METHOD CRAZY
    JACOBI_FALLBACK
    MAX_ITER 500
    &PRINT
      &WANNIER_CENTERS
        IONS+CENTERS
        FILENAME =wannier.xyz
      &EACH
        MD 1
      &END EACH
    &END
  &END PRINT
&END LOCALIZE

&END DFT
&END FORCE_EVAL

```

## 2.) Electron Density (1/2)

- Write the electron density in each MD step to a CUBE trajectory
- STRIDE 1 1 1 is vital for Voronoi integration



```

&FORCE_EVAL
  &DFT
    &PERIODIC_EFIELD
      INTENSITY 5.0E-3
      POLARISATION 1.0 0.0 0.0
    &END PERIODIC_EFIELD

    &PRINT
      &E_DENSITY_CUBE
        STRIDE 1 1 1
        FILENAME =result.cube
        APPEND
      &END
    &END PRINT

    &LOCALIZE
      METHOD CRAZY
      JACOBI_FALLBACK
      MAX_ITER 500
      &PRINT
        &WANNIER_CENTERS
          IONS+CENTERS
          FILENAME =wannier.xyz
          &EACH
            MD 1
          &END EACH
        &END
      &END PRINT
    &END LOCALIZE

  &END DFT
&END FORCE_EVAL

```

## 2.) Electron Density (1/2)

- Don't compute Wannier centers if you don't have to (can waste **a lot** of time if CRAZY does not converge)
- If you really need it, insert this section
- Higher numbers for MAX\_ITER typically are of no use (if it did not converge after 500 iterations, it will often never converge)

## 2.) Electron Density (2/2)

```
&MOTION
  &MD
    ENSEMBLE REFTRAJ
    STEPS 1024
    &REFTRAJ
      EVAL_ENERGY_FORCES
      FIRST_SNAPSHOT 1
      TRAJ_FILE_NAME SomeSystem-pos-1.xyz
    &END REFTRAJ
  &END MD
&PRINT
  &RESTART
    &EACH
      MD 0
    &END EACH
  &END RESTART
  &RESTART_HISTORY
    &EACH
      MD 0
    &END EACH
  &END RESTART_HISTORY
&END PRINT
&END MOTION
```

```
&EXT_RESTART
  &EXTERNAL_FILE SomeSystem-1.restart
&END
```

&MOTION

&MD

ENSEMBLE REFTRAJ

STEPS 1024

&REFTRAJ

EVAL\_ENERGY\_FORCES

FIRST\_SNAPSHOT 1

TRAJ\_FILE\_NAME SomeSystem-pos-1.xyz

&END REFTRAJ

&END MD

&PRINT

&RESTART

&EACH

MD 0

&END EACH

&END RESTART

&RESTART\_HISTORY

&EACH

MD 0

&END EACH

&END RESTART\_HISTORY

&END PRINT

&END MOTION

~~&EXT\_RESTART~~

~~EXTERNAL\_FILE SomeSystem-1.restart~~

~~&END~~

## 2.) Electron Density (2/2)

- Follow the pre-computed reference trajectory instead of doing a „true“ MD
- Make sure to specify the correct reference trajectory file name
- Enter the FIRST\_SNAPSHOT and STEPS according to your needs
- EVAL\_ENERGY\_FORCES is important to re-compute the electron structure

## 2.) Electron Density (2/2)

```
&MOTION
  &MD
    ENSEMBLE REFTRAJ
    STEPS 1024
    &REFTRAJ
      EVAL_ENERGY_FORCES
      FIRST_SNAPSHOT 1
      TRAJ_FILE_NAME SomeSystem-pos-1.xyz
    &END REFTRAJ
  &END MD
  &PRINT
    &RESTART
      &EACH
        MD 0
      &END EACH
    &END RESTART
    &RESTART_HISTORY
      &EACH
        MD 0
      &END EACH
    &END RESTART_HISTORY
  &END PRINT
&END MOTION
```

```
&EXT_RESTART
  —EXTERNAL_FILE SomeSystem-1.restart
&END
```

- This time: No restart files at all, because we just follow the reference trajectory

## 2.) Electron Density (2/2)

```
&MOTION
  &MD
    ENSEMBLE REFTRAJ
    STEPS 1024
    &REFTRAJ
      EVAL_ENERGY_FORCES
      FIRST_SNAPSHOT 1
      TRAJ_FILE_NAME SomeSystem-pos-1.xyz
    &END REFTRAJ
  &END MD
&PRINT
  &RESTART
    &EACH
      MD 0
    &END EACH
  &END RESTART
  &RESTART_HISTORY
    &EACH
      MD 0
    &END EACH
  &END RESTART_HISTORY
&END PRINT
&END MOTION
```

```
&EXT_RESTART
EXTERNAL_FILE SomeSystem-1.restart
&END
```

- **EXT\_RESTART** section not required for ENSEMBLE REFTRAJ.

### 3.) Compress Volumetric Trajectories (Optional)

- Using the bqbttool, we can compress the CUBE trajectories
- Typical saving of space is factor 30 – 40 (for  $\Delta t = 0.5$  fs)

→ Gigabytes instead of Terabytes

Takes  $\approx 5$  seconds per frame on 1 CPU core

- If you don't want to keep the electron density data, you can leave out this step

#### Command:

```
bqbttool compress cube result.cube result.bqb
```

or

```
travis compress cube result.cube result.bqb
```

## 4.) Solve current PDE, perform Voronoi Integration

For every atom in each trajectory frame, we want to compute the electromagnetic properties („EMP“). These include:

- Electric dipole vector *(for IR, Raman, VCD, ROA)*
- Electric quadrupole tensor *(for ROA)*
- Electric current vector *(for VCD, ROA)*
- Magnetic dipole vector *(for VCD, ROA)*

This gives one EMP file per trajectory.

Takes around 1 second per frame *(only electric moments)*  
or around 15 seconds per frame *(magnetic moments required)*.

If we have trajectories with external electric field,  
we can compute the polarizabilities by finite differences.

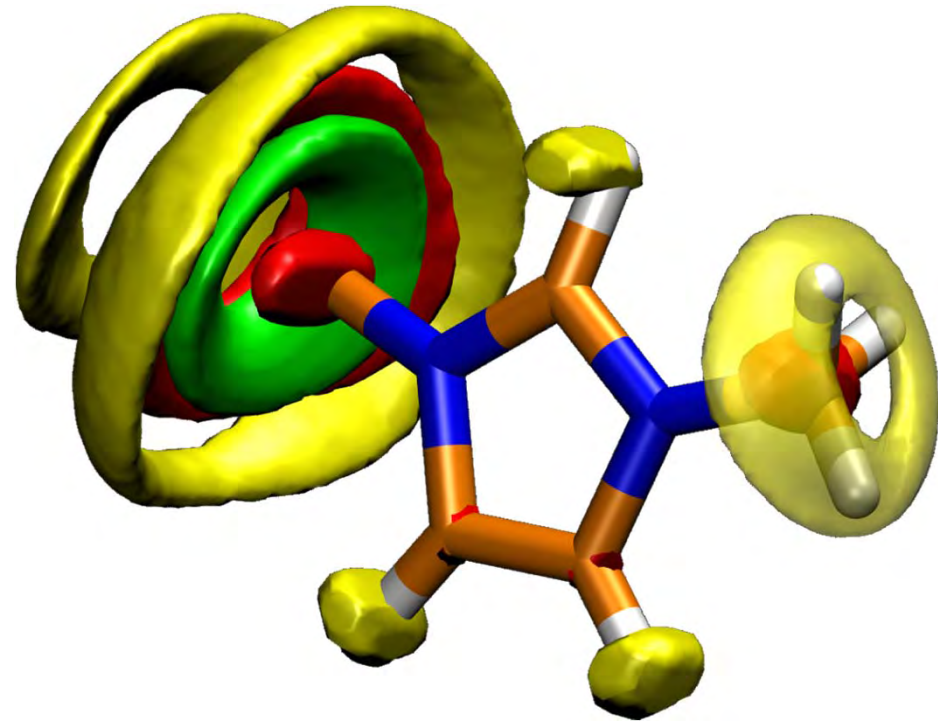
## 5.) Compute spectra from EMP property files

Supply the single field-free EMP file (*for IR, VCD*)  
or the set of four EMP files (*for Raman, ROA*) to TRAVIS.

Computation of spectra from EMP files  
takes  $\approx 1 - 2$  minutes in total 😊

All computationally demanding parts  
have already been performed before.





**Thank you for your attention!**